

March 10, 1955

~~PERSONAL AND CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

The recent survey conducted by the Training and Inspection Division as to why the Investigative Division failed to fix responsibility for errors appearing in investigative reports has caused me great concern. It is apparent that my orders that amended pages of reports should be submitted to the Bureau by cover memoranda with explanations of personnel responsible and recommendations of the Special Agents in Charge covering administrative action were not being carried out. Although I never authorized any exceptions you have advised that these instructions were complied with only if the substance of the case was affected and the errors were serious enough to require administrative action.

I cannot stress too strongly my determination to put an end to the errors which have been occurring in the field and at the Seat of Government. I must insist that in the future you and your subordinates carry out my orders in this regard.

COMM - FBI

MAR 14 1955

MAILED 25

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

DCM:bak

(4)

CC: Mr. Boardman (Personal Attention)

Let based on memo from R. T. Harbo to Mr. Tolson
3/3/55, HCVP:cs

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

55 MAR 14 1955

67-22212-349

SEARCHED

MAR 14 1955

**FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE**

REPORT OF PERFORMANCE RATING

Name of Employee: ALEX ROSEN

Where Assigned: Investigative Division
(Division) (Section, Unit)

Payroll Title: Assistant Director

Rating Period: from April 1, 1954 to March 31, 1955

ADJECTIVE RATING: SATISFACTORY
Outstanding, Satisfactory, Unsatisfactory

Employee's
Initials

Rated by: Coyde A. Tolson Associate Director 3/31/55
Signature Title Date

Reviewed by: _____
Signature Title Date

Rating approved by: [Signature] Assistant Director APR 8 1955
Signature Title Date

TYPE OF REPORT

RECORDED - 147

(x) Official
(x) Annual

() Administrative

() 60-day

() Transfer 2 APR 8 1955

() Separation from service

() Special

Searched 1/1

FEDERAL BUREAU OF INVESTIGATION

91
18 APR 12 1955

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

4-1-55

I certify that I have received the following Government property for official use:
~~returned~~

OPERATIONS AND PROCEDURES MANUAL ON PERSONNEL MATTERS No. 34

APR 6 1955

FILE
3-M
PER 7/2

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MULTILATE IT IN ANY WAY.

A. Rosen
A. Rosen
Very truly yours,

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

DATE: 2/10/55

FROM : R. T. Harbo RO

SUBJECT: STREAMLINING SURVEY
SPECIAL INQUIRY SECTION
INVESTIGATIVE DIVISION Insp to

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Mohr
Tele. Room
Holloman
Gandy

In accordance with the Director's instructions, a survey is being made of the entire Investigative Division. This memorandum sets out the results determined from a survey of the Special Inquiry Section. The results of the survey of the remaining sections of the Investigative Division are being handled separately.

SYNOPSIS:

During the calendar year 1954 in the Special Inquiry Section the average total pending cases was 5244 and the average number of employees was 67.8, making an average of 77.3 cases per employee. The 67.8 employees was made up of 26.2 Special Agents, 10.8 Reviewer Analysts and 30.8 clerical employees. The average voluntary overtime for the section ranged from a low of 1 hour 41 minutes to a high of 2 hours 4 minutes during 1954. On 1/21/55 cases pending were 2733 or 52.1% of the average total pending cases for the calendar year 1954. On 1/21/55 the total personnel was 64 or 94.3% of the average personnel for the calendar year 1954. The average number of cases per employee amounted to 42.7. The 64 employees were made up of 26 Special Agents, 10 Reviewer Analysts and 28 clerical employees.

In the 4 units of the Special Inquiry Section a comparison of the 1954 calendar year average case load with the 1/21/55 case load is as follows:

Total Personnel Assigned 1/21/55	1954 Calendar Year Case Load	Present Personnel	Case Load of 1/21/55
Section Chief, #1 Man, #2 Man, 2 Secretaries	4278.7	2204	51.5%
10 Atomic Energy Unit	841.2	372	44.1%
12 Departmental Applicant Unit	33.8	31	91.7%
6 Special Inquiry Unit	89.6	126	140.6%
6 United Nations-Staff Department Unit			
2 Mr. Boardman's Room			
64			

To bring the number of personnel in line with the total of pending cases would necessitate a reduction of 31 personnel.

Mr. Boardman
Mr. Mohr

55 APR 7 1955

31 RECEIVED - MOHR

3-00

in the Special Inquiry Section from 64 to 48 (52.1% of 67.8) or a reduction of 28 employees. However, the Atomic Energy Commission has authorized referral of 1,000 reinvestigation cases to the Bureau at the rate of 150 cases per week commencing 2/1/55. Therefore, the total pending cases should increase by approximately 150 cases per week and reach approximately 3733 cases by the end of March 1955. This 3733 cases would be 71.1% of the average total pending cases for calendar year 1954. To bring the number of personnel in line with the total of 3733 cases would necessitate reducing personnel in the Special Inquiry Section from 64 to 48 (71.1% of 67.8),* a reduction of 16 employees. Based upon the number of employees (64) who were assigned to the Special Inquiry Section on 1/21/55 and which consisted of 26 Special Agents, 10 Reviewer Analysts and 28 clerical employees, a proportionate breakdown of the employees who should be released consist of 6 Special Agents, 2 Reviewer Analysts and 8 clerical employees.

Section Chief G. C. Callan, #1 Man J. R. Malley and Mr. Rosen do not agree that a reduction of personnel is necessary and their objections in substance to this recommendation are as follows together with the answers of the Training and Inspection Division:

The Investigative Division does not believe that a statistical analysis of case loads as related to personnel assigned was an adequate basis for arriving at the true personnel situation because it did not take into consideration the difference in various types of cases supervised in the section, the tightening up of the whole Bureau structure of supervision of cases of investigative nature including applicant type investigations, the fact that special projects and problems rob a section of personnel, thus throwing out of balance the relation between case loads and personnel assignments, that as a result of the different kinds of work performed in each of the various units of a section, various problems arise and a varying time element is required in the handling of different types of cases. Inspector points out: with regard to the aforementioned comments, it appears that comparable situations to those referred to also existed during the calendar year 1954 and were taken into consideration during the present survey.

The Investigative Division also stated that the survey did not take into consideration the manner in which fraud cases must be handled under the Atomic Energy program. However, as

*Carried out fractionally this amounts to 48.2% or a reduction of 15.8 employees. For convenience this reduction figure has been carried at 16 employees throughout this memorandum.

the Investigative Division themselves point out, the present procedure or method in the handling of fraud cases under the Atomic Energy program has been in effect since April 1954.

The Investigative Division stated that in 1954 a large number of cases was handled involving Atomic Energy reinvestigations and investigation of all incumbents under the Departmental program, that these accounted for an increase in the case load; however, such cases were of a nonexpedite nature and were handled on a long deadline basis. These cases were transitory in nature and additional personnel were not obtained because this was a temporary condition. The present survey has taken into consideration the 1000 similar type reinvestigation cases to be referred to the Bureau by the Atomic Energy Commission during February and March 1955. In view thereof, curtailment is being recommended only by 16 employees whereas if personnel were actually brought in line with the actual case load of 2733 cases pending on 1/21/55, curtailment by 28 employees would be necessary.

The Investigative Division noted that the general tightening up of procedures and criteria, the need for raising the caliber of work and the constant attention being directed by Congressional Committees, certain pressure groups and the general public toward background and loyalty of government employees and the security program emphasizes the need now more than ever that there must be closer supervision to prevent dissemination of misinformation. In response thereto, it appears that during 1954, as well as at the present time, there were attempts to exert pressure on the Bureau by Congressional Committees, certain pressure groups and the general public with regard to the Bureau's work and the Bureau has constantly endeavored to insure that the caliber of work performed by its personnel was maintained as high as possible by close supervision.

The Investigative Division noted that the necessity for planning for additional work with which the section is immediately confronted throws a comparison of the case loads during 1954 and the personnel assigned out of balance, also considerable additional work is facing the Special Inquiry Section momentarily. Regarding this, it is noted that the only additional increase to the case load which is definitely assured in the immediate future is the 1000 reinvestigation cases previously mentioned to be received during February and March 1955. This was taken into consideration and provided for in the survey analysis.

The Investigative Division advised that reduction in case loads during the period under consideration was primarily in the volume unit, that is the Atomic Energy Unit, whereas there was an increase in case load in the United Nations - State Department Unit where the majority of cases are comparable to full field loyalty cases. In response to this it is to be noted that there were decreases in case loads in 3 of the 4 Units as set out in tabulation form previously in this memorandum.

The Investigative Division has stated that the proper method of arriving at whether or not the section has too much personnel is to analyze each unit with regard to work presently in that unit, work which confronts the unit, nature of work and time element in which such work must be handled. They state that such an analysis unit by unit does not indicate that the section is overassigned at this time, especially taking into consideration other factors set out above. The factors mentioned were taken into consideration in the present survey and comparable factors also existed during the calendar year 1954.

CONCLUSION:

It appears that the most accurate and satisfactory method of determining personnel needs is by an examination of the case loads and taking into consideration all of the other factors which may give rise to special or peculiar problems existing in any particular section. It is noted that comparable situations existed during 1954 to those presented at the present time and should not serve as a basis for continuing the excess personnel presently assigned to the section. It appears obvious that the Special Inquiry Section is overstaffed by 16 employees, and that inadequate attention has been afforded this matter by Section Chief G. C. Callan, #1 Man J. R. Malley and Mr. Rosen in keeping personnel down to the lowest practical level. If the case load in the Special Inquiry Section does increase in the future, it may be necessary to increase the personnel but it does not seem wise to retain excess personnel on the possibility that the case load may increase.

*This is shocking
particularly in view
of an Appraisal
Division & my
specific reference to
surplus personnel
at the Staff Meetings.*

RECOMMENDATIONS:

1. That the personnel assigned to the Special Inquiry Section of the Investigative Division be reduced from 64 to 48, a reduction of 16 employees consisting of 6 Special Agents, 2 Reviewer Analysts and 8 clerical employees. It is suggested that the Special Agent personnel be assigned to the Washington Field Office where they will be readily available in the event it may be necessary to use their services in the Special Inquiry Section in the future.

*6 agents being transferred to WFO
ERL 2-16*

RD

*I agree
2/11*

*I agree
2/12*

*I agree
2-14*

I concur.

H.

2. That Mr. G. C. ~~Callan~~, Chief of the Special Inquiry Section, Mr. J. R. ~~Malley~~, #1 Man, and Mr. ~~Rosen~~ be censured by letter and placed on probation, for failure to detect and recommend necessary personnel reductions.

I recommend censure only.

RD

H. H. H.

*I agree
2/11*

L. B. B.

I recommend strong letters

It is discouraging of censure to Callan, that Bureau officials Malley, Rosen and also others are so callously independent to my knowledge and also for lack of my tight supervision.

*I agree
2-14*

*2-12
Yes. H.*

The information developed covering survey of remaining sections of the Investigative Division is being submitted separately.

Attachments: Memo from Mr. Callan to Mr. Rosen dated 2/3/55 re Streamlining Survey, Special Inquiry Section of Investigative Division
PERSONNEL BRIEFS OF G. C. CALLAN AND J. R. MALLEY

DETAILS

As of 1-21-55 survey was made by SA W. H. Buys of the Inspection Staff as to the personnel needs in the Special Inquiry Section of the Investigative Division.

During the calendar year 1954, average number of pending cases for Special Inquiry Section was 5,244 and average number of employees was 67.8, an average of 77.3 cases per employee. The 67.8 is made up of 26.2 Special Agents, 10.8 Reviewer Analysts and 30.8 clerical employees. Average voluntary overtime for the Section ranged from a low of 1 hour and 41 minutes to a high of 2 hours and 4 minutes during 1954. It would appear that average total cases pending during calendar year 1954 would be the most accurate and fair standard for determining adequate employees as compared to cases pending. The previous inspection of Investigative Division was made August 17 to September 21, 1954, and in Special Inquiry Section on August 31, 1954, there was a total of 5,205 cases and total personnel of 69.

Cases pending 1-21-55 totaled 2,733 or 52.1% of the average cases pending for the calendar year 1954. Personnel on 1-21-55 totaled 64 or 94.3% of the average personnel for calendar year 1954. As of 1-21-55 the average cases per employee amounted to 42.7. The 64 was made up of 26 Special Agents, 10 Reviewer Analysts and 28 clerical employees.

To reduce personnel to 52.1% of the average personnel for the calendar year 1954 which would bring the total personnel in line with total cases pending as of 1-21-55 would mean a reduction from 64 to 36 or a reduction of 28 employees. However, the Atomic Energy Commission has authorized the referral of 1,000 reinvestigation cases to the Bureau at the rate of 150 cases per week commencing 2-1-55. There appears to be no definite way of ascertaining the exact amount of work to be referred to the Bureau and cases are not received on an even flow.

It would appear, however, that the receipt of the reinvestigation cases will increase the total pending cases by approximately 150 per week until the pending cases total by 3-31-55 will reach approximately 3,733 cases. This figure is 71.1% of the average total pending cases for the

calendar year 1954. To bring personnel in the Special Inquiry Section in line with this percentage it would be necessary to reduce the personnel from 64 on 1-21-55 to 71.1% of 87.8 or 48 employees, making a reduction of 16 employees which should consist of 6 Special Agents, 2 Reviewer Analysts and 8 clerical employees. (This is a break down proportionate to that of 1-21-55 total of 64 employees which consisted of 26 Special Agents, 10 Reviewer Analysts and 28 clerical employees.)

To reflect the fluctuation in total pending cases, following tabulation is being set forth reflecting total cases pending as of the end of each month of calendar year 1954 and as of 1-21-55 as well as the 1954 average case load and percentage of decrease or increase from the 1954 average to the 1-21-55 case load.

<u>MONTH</u>	<u>YEAR</u>	<u>DEPARTMENT APPLICANT UNIT</u>	<u>SPECIAL INQUIRY UNIT</u>	<u>VOA, ERP, IDP, AND UN UNIT</u>	<u>ATOMIC ENERGY UNIT</u>	<u>TOTAL NUMBER CASES</u>
January	1954	498	83	65	3,375	4,021
February	1954	506	74	71	4,561	5,212
March	1954	841	36	72	5,750	6,679
April	1954	1,694	28	76	6,086	7,884
May	1954	1,455	31	64	5,641	7,191
June	1954	958	28	82	6,253	7,321
July	1954	1,021	29	97	5,291	6,438
August	1954	835	22	102	4,246	5,205
September	1954	616	25	105	3,106	3,852
October	1954	577	15	106	2,593	3,291
November	1954	648	17	113	2,370	3,148
December	1954	452	18	123	2,093	2,686
January 21	1955	372	31	126	2,204	2,733
1954 Average		841.7	53.8	89.6	4,276.7	5,244
Case Load						
Percentage of Increase or Decrease From 1954 Average to 1/21/55 Case Load		-55.8%	-8.2%	+40.6%	-48.4%	-47.8%
Percentage Comparison of 1954 Average to 1/21/55 Case Load		-44.1%	31.7%	+40.6%	-51.5%	-52.1%

For purposes of comparison of total personnel in the Special Inquiry Section with the total pending cases, following tabulation is being set forth reflecting personnel as of the end of each month during the calendar year 1954 and as of January 21, 1955:

<u>MONTH</u>	<u>YEAR</u>	<u>SPECIAL AGENTS</u>	<u>REVIEWER ANALYSTS</u>	<u>CLERICAL EMPLOYEES</u>	<u>TOTAL PERSONNEL IN SECTION</u>
January	1954	26	10	30	66
February	1954	26	10	29	65
March	1954	26	11	30	67
April	1954	27	10	32	69
May	1954	27	12	33	72
June	1954	27	12	31	70
July	1954	26	12	32	70
August	1954	26	11	32	69
September	1954	26	11	31	68
October	1954	26	11	29	66
November	1954	26	10	31	67
December	1954	26	10	29	65
January 21	1955	26	10	28	64

It appears that amount of actual work necessary to be performed in connection with applicant-type cases fluctuates proportionately with the fluctuation in total pending cases to a close degree. This is true in the Special Inquiry Section inasmuch as the procedure of work to be performed on any one case of any of the four units of the Special Inquiry Section is similar to a considerable degree to that of all other cases in the same unit. Also, the various types of cases are to be closed within specific periods of time.

It appears that the fluctuation in the total pending cases from time to time may require that appropriate additional personnel be assigned to the Special Inquiry Section to take care of increases in volume of cases received; however, it would appear that a staff should be maintained sufficient to properly deal with only the present work load plus any definite foreseeable increase in the very near future, in order that the total employees would be adequate but not excessive.

Detailed comments of the Investigative Division are attached.

Conclusion and recommendations are set forth at the end of the synopsis.

Mr. Tolson

3/11/55

R. T. Harbo

b6

[REDACTED]
SSN [REDACTED]

SSA, 1948; CONSCIENTIOUS OBJECTOR

b6

SYNOPSIS

BACKGROUND: By letter dated 2/8/55 the Albuquerque Division furnished three copies of amended page 11 of the report of SA Robert W. Carnes dated 1/10/55 at Albuquerque. It was indicated therein that the original pages stated "Enclosures to Bureau: Two photostatic copies of registrant's Selective Service file." The amended pages stated "Two photostatic copies of pertinent portions of . . ." This material was received at the Bureau and initialed to the file by Supervisor [REDACTED]. No explanations or recommendations were requested by the Bureau or submitted by the field.

GOVERNING INSTRUCTIONS: SAC Letter 54-23, 5/4/54, provides "In the future all errors to be corrected in reports after they have been forwarded to the Bureau must be submitted by cover letter containing an explanation for the error, together with two copies of any corrections to be made on reports previously disseminated." Section 4A 1 j, Manual of Rules and Regulations, provides, "Amended pages of reports are to be submitted to the Bureau by cover letter. The cover letter must contain an explanation for the error, recommendations for any administrative action, if necessary, together with two copies of the amended pages of reports."

EXPLANATIONS REQUESTED: Bureau letter 2/23/55 to Albuquerque with copy to Investigative Division, prepared in connection with a survey conducted by Inspector H. C. Van Pelt covering such errors, called attention to foregoing instructions and requested field and SOG explanations.

Attachments

b6

cc - Mr. Boardman
Mr. Rosen
Mr. Mohr

cc - SOG Personnel Files:

SAC D. A. Bryce
ASAC H.L. McConnell
SA Robert W. Carnes
SA [REDACTED]

Section Chief Courtney A. Evans
#1 Man E. H. Winterrowd
Assistant Director A. Rosen

SOG Files: Albuquerque Division
Investigative Division

RDH:cs

(17) 137
55 MAR 29 1955

PAFOT RECORDED

EXPLANATIONS RECEIVED: By memorandum dated 2/24/55 Supervisor [] Accounting and Fraud Section, Investigative Division, advised that upon receipt of the corrected pages from the Albuquerque Office, the desirability of securing explanations and recommendations was considered but that due to the nature of the error and since the field was alert enough to correct their own error prior to any dissemination, no such action was felt necessary.

By letter dated March 8, 1955, the Albuquerque Division submitted as attachments the explanations of SA Robert W. Carnes, the reporting Agent; SA Gordon Jackson, Relief Supervisor and ASAC H. L. McConnell. SA Carnes was unable to explain why he omitted certain pertinent words from referenced report stating that it was done inadvertently and that the entire matter was an oversight on his part.

Relief Supervisor Gordon Jackson advised that he was the individual who approved the submission of the corrected pages to the Bureau. He stated that he did not have any definite recollection of this matter, that he is aware of existing requirements and that it was done undoubtedly through an oversight on his part.

ASAC McConnell stated that he approved the original report in the first instance and that he cannot recall the circumstances which existed at that time. He added that the omission was observed two days later resulting in the submission of the corrected pages. ASAC McConnell recommends censure for himself and SA Carnes and a letter of caution for Relief Supervisor Jackson.

CONCLUSION:

Although the omission of the above words appears to be of little consequence, it could have caused considerable difficulty as it completely changes the meaning of the sentence. In addition, needless time and effort ~~was~~^{were} expended, not to mention the preparation of additional correspondence, as a result of the failure on the part of Albuquerque and the responsible Bureau Supervisor to submit and request the necessary explanation and recommendations.

RECOMMENDATIONS:

(1) SAC D. A. Bryce, Albuquerque....censure....for weakness in the functioning of his office.

(2) ASAC H. E. McConnell, Albuquerque....censure....for approving an inaccurate report.

(3) SA Robert W. Carnes, Albuquerque....censure.... preparing an inaccurate report in the first instance and for failing to submit an explanation for the error in the second instance.

b6

(4) SA [] Investigative Division....censurefor failing to request appropriate explanations and recommendations for administrative action from the Albuquerque Division at the time the amended pages were received.

(5) Section Chief Courtney Evans, Accounting and Fraud Section....recommendation being submitted separately covering this and other similar matters.

(6) #1 Man E. H. Winterrowd, Investigative Division.... recommendation being submitted separately covering this and other similar matters.

(7) Assistant Director A. Rosen, Investigative Division
.....no action.....in view of his being recommended separately for
censure for this and similar matters.

b6

PERMANENT BRIEFS OF ASAC MCCONNELL AND SAs CARNES AND ATTACHED
HERETO.

DETAILS

*Not repeated herein in view of being fully covered in synopsis
and in the investigative file.*

Mr. Tolson

3-9-55

R. T. Harbo

b6

ASN RA [] - DESERTER

SYNOPSIS

BACKGROUND: Subsequent to the receipt of the report of SA [] dated January 14, 1955, at Chicago, the Investigative Division directed an error form letter (0-17) to Chicago on January 25, 1955, requesting an amended administrative page explaining the need for directing a copy of this report to the Kansas City Office.

b6

In reply by memorandum dated February 11, 1955, the Chicago Division furnished amended copies of page 5 of referenced report. Bufile reflects that this matter was initialed to the file by SOG Supervisor Seymour Phillips; that no explanations or recommendations for administrative action were requested by the Bureau and that the Chicago Division neglected to follow existing Bureau instructions.

GOVERNING INSTRUCTIONS: SAC Letter 54-23, 5-4-54, provides "In the future all errors to be corrected in reports after they have been forwarded to the Bureau must be submitted by cover letter containing an explanation for the error, together with two copies of any corrections to be made on reports previously disseminated." Section 4A 1 j, Manual of Rules and Regulations, provides, "Amended pages of reports are to be submitted to the Bureau by cover letter. The cover letter must contain an explanation for the error, recommendations for any administrative action, if necessary, together with two copies of the amended pages of reports."

EXPLANATIONS REQUESTED: Bureau letter dated February 23, 1955, with a copy for the Investigative Division

Attachments

cc: Mr. Boardman
Mr. Rosen
Mr. Mohr

cc: SOG Personnel Files:
SAC Donald S. Hostetter

b6

SA []
SA []
SA []

SA Seymour F. Phillips
Section Chief F. L. Price
#1 Man E. H. Winterrowd

Assistant Director A. Rosen
SOG Files, Chicago Field Division
Investigative Division

RGH:rlc
(18)

55 MAR 29 1955

prepared in connection with a survey conducted by Inspector H. C. Van Pelt covering such errors, called attention to foregoing instructions and requested field and SOG explanations.

EXPLANATIONS RECEIVED: By letter dated March 1, 1955, SAC D. S. Hostetter stated that SA [] inadvertently forgot to mention Kansas City specifically on page five of the referenced report. b6

SAC Hostetter also advised that referenced report was approved by relief Supervisor [] who stated that he checked the administrative page for information copies and through inadvertence failed to note that Kansas City was not included with the other offices mentioned therein. b6

In reply to the Bureau's request for amended copies of page five of referenced report Chicago advised that relief Supervisor George A. Murphy approved the outgoing communication and was of the opinion that the error in question was an obvious oversight on the part of the reporting Agent and as such not substantive in nature. SAC Hostetter recommended no administrative action with respect to any of the above-mentioned individuals.

SOG Supervisor Seymor Phillips advised that he did not request explanations of the Chicago Division or recommendations as to administrative action insofar as this incident is concerned inasmuch as he interpreted Bureau instructions to apply only to errors of substance.

CONCLUSION: Although the above error is one of form rather than substance and in no way affected the outcome of this investigation, needless expense and unnecessary correspondence was brought about as a result of the failure of responsible Agents to adhere to existing Bureau instructions. Had the explanations and recommendations been submitted in the first instance, considerable correspondence would have been avoided.

RECOMMENDATIONS:

1. SAC Donald S. Hostetter, Chicago, Illinois... recommendations being submitted separately covering this and other similar matters.

b6

(2) SA [] Chicago, Illinois....censure....
for having failed in the first instance to prepare the report
in the proper manner.

b6

(3) SA [] Chicago, Illinois....censure...for
failing to note the error in the first instance.

(4) SA George H. Murphy, Chicago, Illinois....censure....
for failing to submit required explanations and recommendations
pursuant to existing regulations.

(5) SOG Supervisor Seymôr F. Phillips.....censure.....for failing to request explanations and recommendations from the field pursuant to existing Bureau regulations.

(6) F. L. Price, Section Chief, Criminal Division..... recommendations being submitted separately covering this and other similar matters.

(7) E. H. Winterrowd, #1 Man, Investigative Division..... recommendations being submitted separately covering this and other similar matters.

(8) Assistant Director A. Rosen, Investigative Division..... no action.....in view of his being recommended separately for censure for this and other similar matters.

b6

PERMANENT BRIEFS OF SAs MURPHY AND PHILLIPS ATTACHED HERETO.

DETAILS

Not repeated herein in view of being fully covered in synopsis and in the investigative file.

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

DATE: 3/2/55

FROM : R. T. Harbo *RT*

SUBJECT: AMENDED DOCUMENTS
(CORRECTED OR REVISED REPORTS)

Tolson
Boardman
Nichols
Belmont
Rosen
Parsons
Lamm
Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

BACKGROUND: Survey conducted by Inspector H. C. Van Pelt in accordance with Director's instructions to make thorough check as to why voluminous number of errors and changes in reports, which apparently have had to be made, were never called to Director's attention, and apparently no steps were taken by either Domestic Intelligence or Investigative Divisions to fix responsibility for such errors.

FINDINGS: Review was made by the Inspector of 22 files wherein corrections in reports were in the process of being made through amended pages submitted by field. In each instance, cover communications were received from field transmitting amended pages of reports without providing Bureau with explanations or supplying recommendations regarding administrative action.

in the 22 files Inspector found no instance wherein field's failure to comply with existing instructions concerning submission of amended pages was brought to attention of any Assistant Director. Files reviewed by Inspector show that cover communications from field transmitting amended pages have been approved by Agent Supervisors in Domestic Intelligence and Investigative Divisions, and were routed by them to Records Section for handling. Recommendations being submitted separately covering responsibility of both field and SOG personnel concerning each of these 22 cases.

EXPLANATIONS OF MESSRS. BOARDMAN, BELMONT AND ROSEN:

Said explanations point out that Domestic Intelligence and Investigative Divisions have not followed existing instructions and insisted upon amended pages of reports being submitted by cover memoranda with explanations of personnel responsible and recommendations of SACs covering administrative action.

cc - Mr. Boardman, Mr. Nichols

Mr. Belmont

Mr. Rosen

Mr. Lamm

HCV:Pas

(2) Attachment

55 MAR 29 1955

Memorandum to Mr. Tolson

necessary. Messrs. Boardman, Belmont and Rosen advised that such a procedure was followed only if the substance of the case was affected and the errors were serious enough to require administrative action. In other instances it was not felt necessary to obtain explanations from responsible employees and recommendations from SACs as to administrative action.

never authorized any exceptions. H.
Mr. Boardman stated that the voluminous number of errors made by the field shows that insufficient action was taken by Domestic Intelligence Division and Investigative Division to reduce the volume.

TYPES OF ERRORS INVOLVED

There is an attached sheet identifying the errors relating to the 22 cases previously mentioned. Although Mr. Belmont stated at the Executives Conference on 3/8/55 that the 12 errors referred to his Division were of "form-type" the attached sheet shows some very serious substantive errors causing undue expense and unnecessary correspondence. An example is the Internal Security case involving [redacted] wherein the Philadelphia Office on 1/10/55; submitted three amended pages involving:

- A. Changing [redacted] statement that both she and her husband prefer to reside in the United States... changed to eliminate husband.
- B. "She further stated she could read her mother's script..." changed to insert the word "not" ... completely changing the substance.
- C. Change to delete Confidential Informant T-1 and insert instead "records of Immigration and Naturalization Service in Philadelphia."

In addition, the Seat of Government Supervisor found it necessary to amend five pages in the same report in order to remove information which would prevent dissemination of the document to other agencies.

The above situation is purely an example set forth for convenience.

[Handwritten signatures and initials at the bottom of the page]

Errors relating to Domestic Intelligence Division

b6

1. [] **INTERNAL SECURITY - R**

Philadelphia letter 1/10/55, contains no explanation as to why the errors were made and contains no recommendation as to administrative action; transmitted three amended pages of a report submitted 12/31/54. The errors were of substance and involved:

b6

(a) Originally [] was quoted as saying that "both she and her husband" prefer to reside in the United States.. the amended page eliminated her husband.

(b) It was initially stated "she could read her mother's script..." which was changed to "she could not read her mother's script."

b6

(c) Delete Confidential Informant T-1 and substitute instead "records of Immigration and Naturalization Service at Philadelphia." Thereafter on 1/19/55, Supervisor [] transmitted to Philadelphia five additional amended pages; these amended pages were necessary in order to prepare the report of 12/31 for dissemination and relate to such matters as love affairs, miscarriages and abortions. Domestic Intelligence Division requested no explanation from the Philadelphia Office.

b6

2. [] **INTERNAL SECURITY - YUGOSLAV**

Bureau letter to Newark 1/25/55, prepared by Supervisor [] Espionage Section, pointed out to Newark that report of Agent Reid, 1/11/55, failed to reflect that subject was questioned about travel to Yugoslavia in 1950 to attend a meeting of the Congress of Anti-Fascist Womens' Front; two elective positions in a Yugoslav newspaper; whether Yugoslav diplomatic personnel who were her acquaintances sought Security-type information from her. Bureau communication also instructed that a garbled sentence be corrected. No explanation was requested from the Newark Office; Newark submitted 9 revised pages on 2/4/55, without explanation. It was subsequently necessary to instruct Newark to make additional corrections and no explanations were requested in this instance. These were substantive weaknesses.

ENCLOSURE

67-149000-2153

b6

3. [REDACTED] INTERNAL SECURITY - C

Bureau letter 1/28/55 referred to incomplete documentation of report of SA Troy F. Wilder, San Francisco, 1/6/55. Said letter requested San Francisco to expedite correction so that report could be disseminated. The incomplete documentation was due to stenographic and relief supervisory failures. It caused undue expense and unnecessary correspondence.

4. [REDACTED] INTERNAL SECURITY - R

b6

Bureau letter 1/31/55, called attention to report of SA [REDACTED] Milwaukee, 1/20/55, which indicated subject was living under name of [REDACTED] ~~The Bureau~~ The Bureau letter instructed Milwaukee that said name be included in the title of the next report. Milwaukee letter 2/11/55, advised that SA [REDACTED] report of 1/20/55, was in error, that the name should have been [REDACTED] and amended pages were attached correcting same. No explanation of personnel responsible was submitted although additional expense and unnecessary correspondence were required.

5. [REDACTED] INTERNAL SECURITY - R

b6

Bureau letter 1/21/55, to Milwaukee called attention to another report of SA [REDACTED] dated 1/12/55, which failed to indicate whether [REDACTED] was questioned as to whether the names of [REDACTED] and [REDACTED] were known to him, as requested in New York report of SA [REDACTED] 12/21/54. Milwaukee letter 2/3/55 transmitted a corrected page to show that [REDACTED] was so questioned but advised said names are not familiar and mean nothing to him. No explanation of personnel was submitted although additional expense and unnecessary correspondence were required.

b6

6. [REDACTED] SECURITY MATTER - C

Detroit routing slip 2/15/55 furnished Bureau with amended pages of report of SA [REDACTED] Detroit, 12/13/54, to provide identity of source for T-7. It does not appear that this was an error, but to provide the Bureau with documentation not available at the time said report was submitted. Bureau instructions provide that reports are not to be delayed for this purpose.

7. MARION DOLORES DODD, SECURITY MATTER - C

This is similar to case described next above.

8. [REDACTED] INTERNAL SECURITY - C

This is similar to case described next above.

b6

9. [REDACTED] SECURITY MATTER - C
Bureau error form received New York 1/20/55 called attention to incorrect dates in report of SA [REDACTED], New York, 6/19/53, and New York submitted amended pages by routing slip 2/2/55 to clarify same. No explanation of responsible personnel was submitted although additional expense and unnecessary correspondence were required.
10. [REDACTED] SECURITY MATTER - C
Albany letter 2/15/55 enclosed two corrected pages of report of SA George P. Simpson, 9/24/54. The corrections were required to transfer a twelve-line paragraph containing personal opinions and the reasons why subject was not recommended for listing in the Security Index, from the details of said report to the administrative page. No explanation was provided although additional expense and unnecessary correspondence resulted.
11. [REDACTED] SECURITY MATTER - C
New York routing slip 2/10/55 transmitted amended copies of two pages of report of SA Gerard A. Pondo, 1/26/55. This correction occurred as a result of Bureau error form sent to New York 2/4/55, to change "Thompson Hill Club, Communist Political Association, 1938 - 1945" to "Thompson Hill Branch of Communist Party, 1938 - 1944, and Thompson Hill Branch of Communist Political Association, 1944 - 1945." Said change was required in both synopsis and details. No explanation was submitted, although additional expense and unnecessary correspondence were involved.
12. [REDACTED] SECURITY MATTER - C
Honolulu routing slip 2/15/55, submitted three corrected pages of report of SA Leo S. Brenneisen, 1/27/55. These corrections were requested per Bureau error form 2/2/55, because the original report (1) did not include in the details date information was obtained from Confidential Informant T-1 and (2) concluded the details with the statement "subject's Security Index card is current." No explanation was submitted despite additional expense and unnecessary correspondence required.

b6

b6

b6

Errors concerning Investigative Division. It is to be noted that in no instances were explanations requested of the field nor were recommendations as to administrative action submitted.

13. [REDACTED] ET AL; THEFT FROM
INTERSTATE SHIPMENT (15-32697)

b6

Fugitive matter involving 20 individuals. Names listed in title followed by word "FUGITIVES." Bureau requested amended page listing "FUGITIVE" behind name of each subject so no mistake could result.

14. [REDACTED] OPERATING ENGINEER, WATER
DEPARTMENT INSTALLATION DIVISION, DEPARTMENT OF
THE AIR FORCE, KELLY AIR FORCE BASE, TEXAS;
THEFT OF GOVERNMENT PROPERTY (52-65154)

b6

Title of report did not include subject's Government employee title; required to ensure proper routing and dissemination.

15. [REDACTED] WA.;
[REDACTED] WAS; METROPOLITAN STATE BANK,
DERBY, COLORADO, JULY 19, 1954; BANK ROBBERY;
CONSPIRACY; ESCAPE AND RESCUE (91-7777)

b6

Investigative report contained incomplete title. Name of bank, location and date of robbery omitted by stenographer. Poor spelling and sentence structure in two parole reports - messy typing. Responsible stenographer resigned January 28, 1955.

16. [REDACTED]
[REDACTED] DBA H. A. THOMAS & SON;
ASCERTAINING FINANCIAL RESPONSIBILITY (93-8792)

b6

Field set out lead to determine whether subject planning to handle certain matters with Assistant United States Attorney. Bureau suggested this a matter more properly handled by AUSA. Field corrected lead to indicate AUSA being followed.

Errors concerning Inv. Div. (contd)

b6

17. UNKNOWN SUBJECT; [REDACTED]
[REDACTED] - VICTIM; CRIME ON GOVERNMENT
RESERVATION - ASSAULT (70-21946)

Substantive confusion in report as to names and identity of two individuals. Determined names inadvertently interchanged during dictation. Corrected by field.

b6

18. [REDACTED] THEFT
FROM INTERSTATE SHIPMENT (15-32498)

Details of investigative report did not indicate where certain inquiry conducted. Corrected pages submitted with caption, At McKinney, Texas, set out.

19. [REDACTED] WA; [REDACTED] b6
[REDACTED] WAS. - FUGITIVE;
INTERSTATE TRANSPORTATION OF STOLEN MOTOR
VEHICLE (26-189648)

Administrative page of investigative report failed to identify "T-1" mentioned in details. Discovered and corrected by field supervisor. Unnecessary correspondence resulted.

b6

20. [REDACTED] SSN [REDACTED]
SELECTIVE SERVICE ACT - 1948;
CONSCIENTIOUS OBJECTOR (25-372500)

Statement on last page of report regarding, Enclosures to Bureau. Pertinent words omitted; giving incorrect information.

b6

21. [REDACTED] ASN RA [REDACTED]
DESERTER (42-113625)

Copy of report designated to Kansas City, with no leads. No indication why report so designated.

22. [REDACTED] WAS. - FUGITIVE; INTERSTATE
TRANSPORTATION OF STOLEN PROPERTY; MAIL FRAUD (87-24923) b6

Error form to field for incomplete character - synopsis incomplete - one page missing from all copies of report, indicating lack of review in field.

Mr. Tolson

3/10/55

Mr. Harbo

UNKNOWN SUBJECT

b6

LT. (JG) [REDACTED]

VICTIM; CRIME ON GOVERNMENT RESERVATION -
ASSAULT

SYNOPSIS

BACKGROUND: Bureau letter January 17, 1955, to Philadelphia instructed that copies of the report of SA Clyde P. Aderhold dated December 7, 1954, at Miami were to be made available to local office of ONI. Philadelphia letter January 31, 1955, to Bureau and Miami pointed out a possible discrepancy in referenced report with respect to individuals named and requested Miami to advise whether an error existed and if so to provide amended pages so that dissemination could be made pursuant to Bureau instructions.

Miami letter February 8, 1955, to Bureau with copies to Philadelphia furnished amended copies of page 2 of referenced report. Bureau Supervisor Robert E. Gebhardt initialed same for file requesting no explanations of Miami Division regarding error or recommendations with respect to administrative action. Miami in submitting amended pages neglected to submit explanations and recommendations pursuant to Bureau instructions.

GOVERNING INSTRUCTIONS: SAC Letter 54-23, 5/4/54, provides "In the future all errors to be corrected in reports after they have been forwarded to the Bureau must be submitted by cover letter containing an explanation for the error, together with two copies of any corrections to be made on reports previously disseminated." Section 4A 1 j, Manual of Rules and Regulations, provides, "Amended pages of reports are to be submitted to the Bureau by cover letter. The cover letter must contain an explanation for the error, recommendations for any administrative action, if necessary, together with two

Attachments

cc - Mr. Nichols
Mr. Boardman
Mr. Rosen
Mr. Mohr

cc - SOG Personnel Files:
SAC Charles E. Weeks
SA Clyde P. Aderhold
SA [REDACTED]
SA Robert E. Gebhardt
Section Chief F. L. Price
#1 Man E. Hugo Winterrowd
Assistant Director A. Rosen
SOG Files - Miami Division
Investigative Division

b6

RGH:gsr
(18)

55 MAR 28 1955

67-NOT RECORDED-2

copies of the amended pages of reports."

EXPLANATIONS REQUESTED: Bureau letter 2/23/55 to Miami with copy to Investigative Division, prepared in connection with a survey conducted by Inspector H. C. Van Pelt covering such errors, called attention to foregoing instructions and requested field and SOG explanations.

EXPLANATIONS RECEIVED: By memorandum dated 2/25/55, SAC Charles E. Weeks, Miami, advised that upon reviewing the explanations furnished by the responsible personnel he was recommending that letters of censure be directed to SA Aderhold, the reporting Agent, and field supervisor Dupuy who approved the report.

SOG Supervisor Robert E. Gebhardt, in his explanation, states he was of the opinion that the error involved was one of form rather than substance and as such required no explanation on the part of the field. b6

NATURE OF ERROR: The original page of referenced report stated, "She stated on another occasion she awoke and found [redacted] going through the dresser drawers and fondling her [redacted] underwear." The amended page changed this to "[redacted]s)." b6

CONCLUSION: It appears that, contrary to the opinion of Bureau Supervisor Gebhardt, the above error is one of substance in that names were interchanged which had they had not been caught might possibly have resulted in embarrassment to the Bureau. This is particularly true inasmuch as it was intended that this report be disseminated to the Office of Naval Intelligence. In addition, Supervisor Gebhardt and the Miami Division ignored existing Bureau instructions in that no explanations or recommendations were requested and none were submitted.

RECOMMENDATIONS

1. SAC Charles E. Weeks, Miami . . . recommendation being submitted separately covering this and other similar matters.
2. SA Clyde P. Aderhold, Miami . . . censure . . . for failing to comply with Bureau instructions by submitting explanation for error with amended pages and for failing to have caught the error in the first instance.
3. SA [redacted], Miami . . . recommendation being submitted separately covering this and other similar matters. b6

4. SOG Supervisor Robert E. Gebhardt . . . censure . . . for having failed to request appropriate explanations from the field and recommendations as to administrative action in line with existing Bureau instructions.

5. F. L. Price, Section Chief, Criminal Section . . . recommendation being submitted separately covering this and other similar matters.

6. E. H. Winterrowd, #1 Man, Investigative Division . . . recommendation being submitted separately covering this and other similar matters.

7. Assistant Director A. Rosen . . . no action . . . in view of his having been previously recommended for censure for this and other similar matters.

PERMANENT BRIEFS OF SAS ADERHOLD AND GEBHARDT ATTACHED.

DETAILS

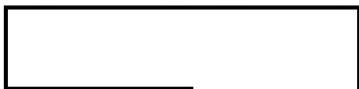
Not reported herein in view of being fully covered in synopsis and in the investigative file.

3/9/55

Mr. Tolson

R. T. Harbo

b6



dba

H. A. THOMAS AND SON
ASCERTAINING FINANCIAL ABILITY

b6

SYNOPSIS

BACKGROUND: On 1/27/55 an O-1 Form sent to Miami pointed out that the report of SA [redacted] dated 1/19/55 at Miami, contained a lead for action by that office which was not properly the function of the Bureau and requested amended pages. Referenced report contained a lead for the Miami Division to determine from one of the subjects whether he was planning to complete certain arrangements with the Assistant U. S. Attorney. Miami, by letter dated 2/10/55, furnished 3 copies of page 2 of referenced report which were to be substituted for those in the original report. No explanations for the error were requested of Miami and none were submitted. Miami made no comment regarding possible administrative action.

GOVERNING INSTRUCTIONS: SAC Letter 54-23, 5/4/54, provides "In the future all errors to be corrected in reports after they have been forwarded to the Bureau must be submitted by cover letter containing an explanation for the error, together with two copies of any corrections to be made on reports previously disseminated." Section 4A 1 j, Manual of Rules and Regulations, provides, "Amended pages of reports are to be submitted to the Bureau by cover letter. The cover letter must contain an explanation for the error, recommendations for any administrative action, if necessary, together with two copies of the amended pages of reports."

EXPLANATIONS REQUESTED: Bureau letter 2/23/55 to Miami, copy to Mr. Rosen, prepared in connection with a survey conducted by Inspector H. C. Van Pelt covering such errors, called attention to foregoing instructions and requested field and SOG explanations.

Attachments

cc - Mr. Nichols
Mr. Boardman
Mr. Rosen
Mr. Mohr

cc - SOG Personnel Files:
SAC Charles E. Weeks

SA

SA

SA Robert A. Garvey

Sec. Chief Courtney A. Evans

#1 Man E. Hugo Winterrowd

Assistant Dir. A. Rosen

SOG Files - Miami Field Div.
Investigative Div.

RGH:jla
(18)

55 MAR 28 1955

67-NOT RECORDED-2

EXPLANATIONS RECEIVED: By memorandum dated 2/25/55, SAC Charles E. Weeks of the Miami Division advised that it was his opinion that SA [] should be criticized for failure to set out the correct lead in the first instance and that Field Supervisor [] should be criticized for having subsequently approved this report. SAC Weeks recommended letters of censure be directed to these two agents.

b6

SOG Supervisor Robert J. Garvey advised that upon reviewing referenced report he felt it advisable to direct an inquiry to the Miami Division to determine whether the lead set out for that office was not one more properly handled by the U. S. Attorney's office. Miami's report contained a lead for that office to reinterview [], one of the subjects, to ascertain if and when he was planning to consummate certain arrangements with the Assistant U. S. Attorney. SA Garvey advised that he did not instruct the Miami Division to submit amended pages but merely questioned their actions and suggested same in the event his comment was correct. He stated further that upon reviewing the entire matter subsequent to the receipt of the amended pages from the Miami Division, he did not feel that this was a matter requiring explanations or administrative action.

CONCLUSION: It appears that Supervisor Garvey took improper action with respect to incorrect reporting on the part of the Miami Division. The amended pages were initialed for the file by Supervisor Garvey without requiring any explanations on the part of the field. The change made by the field was in regard to an improper lead which, in effect, is incorrect reporting. As a result of the failure of the responsible individuals to comply with existing Bureau regulations, unnecessary expense and undue correspondence was incurred.

RECOMMENDATIONS

(1) SAC Charles E. Weeks, Miami....Recommendation being submitted separately covering this and other similar matters.

(2) SA [] Miami....censure....for having not set forth the proper lead in his report in the first instance and having not complied with existing regulations resulting in excessive correspondence and waste of time and money.

(3) SA [] Miami....Recommendation being submitted separately covering this and other similar matters.

(4) SOG Supervisor Robert A. Garvey....censure....for having neglected to request explanations from the field and recommendations as to appropriate administrative action with respect to the errors observed in Miami's report.

(5) Chief Courtney A. Evans, Accounting and Fraud SectionRecommendation being submitted separately covering this and other similar matters.

(6) E. H. Winterrowd, #1 Man, Investigative Division.... Recommendation being submitted separately covering this and other similar matters.

(7) Assistant Director A. Rosen, Investigative Division....
No action....In view of his being previously censured for this
and other similar matters.

PERMANENT BRIEFS OF SAs AND GARVEY ARE ATTACHED. b6

DETAILS

Not reported herein in view of being fully covered in
synopsis and in the investigative file.

Mr. Tolson

3-10-55

R. T. Harbo

b6

[REDACTED], WAS. FUGITIVE
ITSP, MAIL FRAUD

SYNOPSIS

BACKGROUND: An error form letter (0-17) was directed to the Chicago Division by the Bureau under the date of 1-11-55 pointing out that the character of the case and the synopsis in the report of SA John B. Harrington dated 1-6-55 at Chicago were incomplete and that page 42 of the report had been omitted. It was requested that amended copies of page 1 be submitted to the Bureau together with sufficient copies of the omitted page in order to make the report complete. By letter dated 2-8-55 the Chicago Division furnished as attachments amended copies of page 1 of referenced report and copies of the missing page as requested. No explanations or recommendations were requested or submitted.

GOVERNING REGULATIONS: SAC Letter 54-23, 5-4-54, provides "In the future all errors to be corrected in reports after they have been forwarded to the Bureau must be submitted by cover letter containing an explanation for the error, together with two copies of any corrections to be made on reports previously disseminated." Section 4A, 1j, Manual of Rules and Regulations, provides, "Amended pages of reports are to be submitted to the Bureau by cover letter. The cover letter must contain an explanation for the error, recommendations for any administrative action, if necessary, together with two copies of the amended pages if necessary."

EXPLANATIONS REQUESTED: Bureau letter 2-23-55 to Chicago, copy to Mr. Rosen, prepared in connection with a survey conducted by Inspector H. C. Van Pelt covering such errors, called attention to foregoing instructions and requested field and SOG explanations.

Attachments

cc: Mr. Boardman
cc: Mr. Rosen
cc: Mr. Mohr

b6
cc: SOG Personnel Files:
SAC Donald D. Hostetter
SA [REDACTED]
SA John B. Harrington
SA [REDACTED]
Stenographer [REDACTED]
SA H. A. Fitzgibbon
#1 Man E. Hugo Winterrowd

cc: SOG Personnel
Files:
Sec. Chief
F. L. Price
Asst. Dir.
A. Rosen

SOG Files of:
Investigative Div.
Chicago Field Div.
RGH:lab
(18)

55 MAR 28 1955

RECORDED

EXPLANATIONS RECEIVED: By letter dated 3-4-55 Chicago Division furnished the explanations of the responsible individuals. The reporting Agent, John B. Harrington, advised that from information available to him at the time that he dictated he had no way of knowing that this was a dual character violation. He added that with respect to the incomplete synopsis he had purposely omitted material which he felt was of a general background nature and as a result unnecessary. He stated that this error had been called to his attention and that it would not recur in the future.

In proofreading the stencil SA Harrington stated it was noted that all of the pages were present but that in checking the complete report the omission was apparently overlooked.

b6

Field relief supervisor [] advised that he was the individual who approved this report at the time that it first left the Chicago Office. He stated that from the information available in the Chicago Office there was nothing to indicate that this material should have had a dual character. No explanation was submitted regarding the incomplete synopsis and insofar as the missing page in the report is concerned SA [] states that there were 47 copies of this, a 52 page report, to be mailed and that as a result not all the copies were checked as to page sequence.

b6

Field supervisor [] advised that he was the individual who approved the manner of submitting the amended pages requested by the Bureau. He stated that he was familiar with provisions of SAC Letter 54-23 and that every effort would be made in the future to strictly comply with these instructions.

[], stenographer, advised that page 42 of referenced report was inadvertently omitted. She stated the due to a large volume of work received on that day this report was assembled at approximately 5:00 p.m. and required excessive attention. Assistance was obtained from other stenographers. A thorough check of the page sequence was apparently not made.

b6

SAC Hostetter advised that he personally reprimanded all of the responsible individuals and feels that such reprimand is sufficient administrative action.

SOG Supervisor H. A. Fitzgibbon advised that he feels that these errors were ones of form rather than substance and that as a result it was unnecessary to secure explanations and recommendations for administrative action.

CONCLUSIONS: The field failed in the first instance to properly review referenced report. Such action would have determined that the synopsis and the details did not coincide, that the character was incomplete and that a page was missing from the details. This resulted in needless expense to the Bureau and unnecessary correspondence.

RECOMMENDATIONS

1. SAC D. H. Hostetter, Chicago, Illinois....recommendation being submitted separately covering this and other similar matters.
2. SA John B. Harrington....censure....for inadequate attention to detail.
3. SA []....censure....for failing to note the errors of referenced report prior to approving it.
4. SA []....censure....for failing to submit the necessary explanations and recommendations with the amended pages.
5. Stenographer []....censure....for failing to take such action as was necessary to insure that referenced report was properly assembled.

b6

6. SA H. A. Fitzgibbon...recommendation being submitted separately covering this and other similar matters.
7. Section Chief F. L. Price...recommendations being submitted separately covering this and other similar matters.
8. Number One Man E. Hugo Winterrowd...recommendations being submitted separately covering this and other similar matters.
9. Assistant Director A. Rosen...recommendations being submitted separately covering this and other similar matters.

DETAILS

Not repeated herein in view of being fully covered
in synopsis and in the investigative file.

b6

PERMANENT BRIEFS OF SAs HARRINGTON, [REDACTED] AND STENO-
GRAPHER [REDACTED] ATTACHED.

Mr. Tolson

3/11/55

R. T. Harbo

b6

[redacted] wa.
[redacted] was. - FUGITIVE
ITSMV

SYNOPSIS

BACKGROUND: By routing slip dated 2/1/55 the Richmond Division furnished amended copies of the administrative page for the report of SA Donald J. Fisher dated 1/28/55 at Richmond. The routing slip furnishing the corrected pages was signed for then SAC A. T. Potter by Assistant Special Agent in Charge Thomas E. Bishop. It was indicated that the original report failed to identify a T informant mentioned in the details of the report. The amended pages corrected this situation. No explanations or recommendations were furnished by the Richmond Division. Upon receipt of this material at the Bureau, it was initialed for the file by SOG Supervisor Furman G. Boggan and no explanations or recommendations were requested.

GOVERNING INSTRUCTIONS: SAC Letter 54-23, 5/4/54, provides "In the future all errors to be corrected in reports after they have been forwarded to the Bureau must be submitted by cover letter containing an explanation for the error, together with two copies of any corrections to be made on reports previously disseminated." Section 4A 1 j, Manual of Rules and Regulations, provides, "Amended pages of reports are to be submitted to the Bureau by cover letter. The cover letter must contain an explanation for the error, recommendations for any administrative action, if necessary, together with two copies of the amended pages of reports."

Attachments

b6

cc - Mr. Boardman cc-SOG Personnel Files:
Mr. Rosen ASAC Thomas E. Bishop
Mr. Mohr SA Donald J. Fisher
SA Furman G. Boggan
Clerk [redacted]
Section Chief F. L. Price
#1 Man E. H. Winterrowd
Assistant Director A. Rosen ✓
SOG Files - Richmond Division
Investigative Division

RDH:cs
(17)

55 MAR 28 1955

NOT RECORDED

EXPLANATIONS REQUESTED: Bureau letter 2/23/55 to Richmond with copy to Investigative Division, prepared in connection with a survey conducted by Inspector H. C. Van Pelt covering such errors, called attention to foregoing instructions and requested field and SOG explanations. In addition to the above it was pointed out to the Richmond Division that amended pages of referenced report intended for the Knoxville, Baltimore and Norfolk Divisions had been misdirected to the Bureau. Explanations were also requested regarding this error.

EXPLANATIONS RECEIVED: By memorandum dated 2/24/55 Supervisor F. G. Boggan, Criminal Section, Investigative Division, advised that in his opinion this was an error of form and as such no explanation was necessary.

By memorandum dated 2/28/55 ASAC Thomas E. Bishop advised that he was preparing the explanations inasmuch as former SAC Potter had departed under transfer and SAC designate James Gale had not as yet arrived in Richmond.

Bishop advised that he approved and initialed the original report and that he had no explanation whatsoever for not noticing that SA Fisher had failed to identify informant T-1 on the administrative page. He stated that it was apparently due to inadvertence on his part, that he is fully aware of the necessity for identifying informants, and that he will remain alert to prevent such an error occurring in the future.

With respect to the misdirected copies of amended pages, Night Clerk [redacted] was identified as the employee who in all probability handled the outgoing mail on the night of 2/1/55. [redacted] states that he does not recall handling the mail in question. He added that he makes it a practice to double check all outgoing mail that is going to designated offices. ASAC Bishop stated that [redacted] is a conscientious, hard working employee and recommends no further administrative action. b6

ASAC I. D. MacLennan, New York Field Division, telephonically advised that SA Donald J. Fisher had submitted his explanation regarding this incident and that same was to be mailed to the Bureau immediately. MacLennan stated that in substance Fisher's explanation stated that he had dictated referenced report several days prior to his departure on transfer on 1/27/55 to the New York Division, and that to the best of his recollection he dictated the identity of Informant T-1 for the administrative page of the report. SA Fisher further advised that due to his expedite transfer from Richmond to New York he was unable to review the finished report and, consequently, had no opportunity to initial it.

ASAC MacLennan stated that SA Fisher's detailed explanation would reach the Bureau by Friday, March 11, 1955.

CONCLUSION: In view of the requirement that stenographic notes are to be destroyed after they become 30 days old, it appears that it will be impossible to resolve this situation. In view of this, it is not felt that there is any necessity of determining the identity of the Richmond stenographer and requesting her explanation. Certain responsibility still rests upon ASAC Bishop for having failed to note this omission at the time that he approved this report. In addition, the failure to submit explanations and recommendations on the part of Richmond and the failure to request same on the part of the Bureau supervisor resulted in unnecessary time and effort being expended on this matter and excessive correspondence.

RECOMMENDATIONS

(1) ASAC Thomas E. Bishop, Richmond....censure....for approving, in the first instance, an incomplete report and failing, in the second instance, to submit explanations and recommendations as to administrative action at the time that the amended pages were furnished the Bureau.

(2) SA Donald J. Fisher, New York....No action....
Inasmuch as to the best of his recollection he dictated this information just prior to his departure on transfer to the New York Division.

(3) SA Furman G. Boggan, Criminal Section, Investigative Division....censure....for failing to request the necessary explanations and recommendations which resulted in excessive correspondence and a needless waste of time and money.

(4) Clerk [REDACTED] Richmond....No action....
Inasmuch as it was not definitely resolved that he was the individual responsible for misdirecting the amended pages intended for various field divisions, it is not felt he should be censured.

(5) Chief F. L. Price, Criminal Section, Investigative Division....Recommendation being submitted separately covering this and other similar matters.

(6) E. H. Winterrowd, #1 Man, Investigative Division....
Recommendation being submitted separately covering this and other similar matters.

(7) Assistant Director A. Rosen, Investigative Division....
No action....In view of his being previously censured for this and other similar matters.

PERMANENT BRIEFS OF SAC BISHOP AND SAs FISHER AND BOGGAN AND
CLERK [REDACTED] ARE ATTACHED.

b6

DETAILS

Not repeated herein in view of being fully covered in synopsis and in the investigative file.

Mr. Tolson

3/9/55

R. T. Harbo

b6

[REDACTED]
THEFT FROM INTERSTATE SHIPMENT
BILLS OF LADING ACT

0
A. Rosen

SYNOPSIS

b6

BACKGROUND: By routing slip dated February 2, 1955, the Dallas Division submitted amended copies of page 2 of the report of SA [REDACTED] dated January 26, 1955, at Dallas. It was indicated that the original pages in the report failed to indicate that investigation reported at the bottom of page 2 had been conducted at McKinney, Texas. The amended page corrected this. No explanations or recommendations as to administrative action were submitted by the field nor was any such information sought by the Bureau. The routing slip furnishing the amended pages was initialed by SOG Supervisor Milton T. Cummings, Jr.

GOVERNING INSTRUCTIONS: SAC Letter 54-23, 5/4/54, provides "In the future all errors to be corrected in reports after they have been forwarded to the Bureau must be submitted by cover letter containing an explanation for the error, together with two copies of any corrections to be made on reports previously disseminated." Section 4A 1 j, Manual of Rules and Regulations, provides, "Amended pages of reports are to be submitted to the Bureau by cover letter. The cover letter must contain an explanation for the error, recommendations for any administrative action, if necessary, together with two copies of the amended pages of reports."

EXPLANATIONS REQUESTED: Bureau letter 2/23/55 to Dallas, copy to Mr. Rosen, prepared in connection with a survey conducted by Inspector H. C. Van Pelt covering such errors, called attention to foregoing instructions and requested field and SOG explanations.

Attachments

cc - Mr. Boardman
Mr. Rosen
Mr. Mohr

SOG Personnel Files:
SAC William A. Murphy
SA William R. Jenkins
SA [REDACTED]

cc - SOG Personnel Files:
SA Milton T. Cummings, Jr.
Sec. Chief F. L. Price
#1 Man E. Hugo Winterrowd
Asst. Dir. A. Rosen
SOG Files - Dallas Division
Investigative Division

b6

RGH:jla
(17)

55 MAR 22 1955

NOT RECORDED-2

EXPLANATIONS RECEIVED: By memorandum dated March 1, 1955, the Dallas Division furnished the explanations of SAs [] and William R. Jenkins. SA [] advised that he had conducted investigation at 5 different points in the Dallas territory submitting the results of same in a rough-draft report and that upon reviewing the finished product it was observed that the words "at McKinney, Texas" had been omitted on page 2 of the report. [] advises he immediately brought this to the attention of the field supervisor in order that appropriate action could be taken.

Field Supervisor William R. Jenkins advised that upon being informed of the error he saw to it that amended pages were immediately prepared and submitted to the Bureau. He added that the fault was his in that he assumed that the error was of a purely administrative nature and as such would not relate to the contents of the communication.

SAC William A. Murphy advises that in his opinion the error was one of judgment and not omission and that he does not feel that administrative action is justified. SOG Supervisor Milton T. Cummings, Jr., advised that in his opinion the error reported by the Dallas Division was one of form rather than substance, and that as a result, no explanations were requested nor were they necessary.

b6

SAC Murphy, while at the SOG for conferences with Bureau officials, was interviewed in connection with this matter. He advised that the employee who prepared this report is [], a typist in the Dallas Office. He added that [] upon interview, was unable to recall that the statement "at McKinney, Texas" was on the rough draft submitted by Agent []. He added that inasmuch as the rough drafts are destroyed subsequent to the time that the finished report is approved, he was unable to determine whether it, the rough draft, included the pertinent statement.

CONCLUSION: Although this error was observed and reported by the field; is an error of form rather than one of substance and had no effect whatsoever on the outcome of the case, it did cause unnecessary expense and undue correspondence due to the failure of responsible individuals to adhere to established Bureau regulations.

RECOMMENDATIONS

b6

(1) SA [] Dallas....No action....Inasmuch as SA [] was of the opinion that his rough draft contained the statement in question and inasmuch as the rough draft is no longer available for review, no action is recommended.

b6

(2) [] typist, Dallas....No action....She advised SAC that she has no recollection of the phrase "at McKinney, Texas" being on the rough draft and that inasmuch as she typed on similar statements elsewhere in the report she had reason to believe that she would have included it had it appeared on the rough draft.

(3) Field Supervisor William R. Jenkins, Dallas....censure....for his failure to submit explanations and recommendations at the time that the amended pages were furnished to the Bureau.

(4) SAC William A. Murphy, Dallas....censure....for weaknesses in functioning of Dallas Office.

(5) SA Milton T. Cummings, Jr., Criminal Section....censure....for failing to comply with established Bureau regulations by requesting explanations and recommendations at the time that the amended pages were received.

(6) Chief F. L. Price, Criminal Section....Recommendation being submitted separately covering this and other similar matters.

(7) E. Hugo Winterrowd, #1 Man, Investigative Division....
Recommendation being submitted separately covering this and other
similar matters.

(8) Assistant Director A. Rosen, Investigative Division....
No action....In view of his being previously recommended for censure
for this and other similar matters.

PERMANENT BRIEFS OF SAs HOFFMAN, JENKINS AND CUMMINGS ARE ATTACHED.

DETAILS

Not reported herein in view of being fully covered in
synopsis and in the investigative file.

April 15, 1955

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D.C.

Dear Mr. Rosen:

I have recently noted the extremely dilatory manner in which you handled certain mail pertaining to the Departmental Applicant case involving [redacted]. Specifically, a memorandum dated April 12, 1955, with a teletype attached was received in your office shortly after noon on that same date and there was a delay of nearly 24 hours before this correspondence cleared your office. This was certainly an undue delay and I want you to know that your nonchalant attitude in regard to this matter is of grave concern to me.

I shall expect you as Assistant Director in charge of the Investigative Division to perform your duties in a more prompt and efficient manner in the future so that it will not be necessary for me to criticize you again for such a dereliction.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

NEM:us
(3)

(Based on memo A. Rosen to Mr. L.V. Boardman, 4-13-55, AR:WW)

MAILED 8

APR 14 1955

COMM-FBI

55 APR 21 1955

RECEIVED
FBI
READING ROOM
APR 15 4 45 PM '55

67-115-351

Searched

Indexed

Serialized

Filed

APR 20 1955

COMM-FBI

April 5, 1955

~~PERSONAL AND CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

b6

In connection with the inquiry made into the length of time required to complete the Security of Government Employees investigation relating to [REDACTED] I have noted that one of the Special Agent Supervisors responsible for this case in your division was chargeable with a lack of alertness and inexcusable delay. The agent in question did not immediately advise the Bureau Laboratory of the existence of certain handwriting specimens in the Bureau's files when it became known that additional handwriting specimens were required in connection with a handwriting examination.

This dereliction is an example of a failure to carry out supervisory responsibilities with adequate promptness and dispatch and I shall expect you to see to it that your Special Agent Supervisors are appropriately indoctrinated and adequately instructed in order to prevent any recurrence of delays of this nature.

JIC:alh
(4)

Very truly yours,

J. Edgar Hoover

John Edgar Hoover

Director

SEARCHED
SERIALIZED
INDEXED
FILED
APR 20 1955
FBI - BUREAU

CC: Mr. Boardman (Personal Attention)

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

Based on memo to Mr. J. R. Malley from Mr. C. H. Stanley 3/30/55
initials DHY:RP:je:rmb

26 APR 22 1955

COMM - FBI
APR 19 1955
10 10 21

RECEIVED
READING ROOM
2 38 PM '55

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. J. R. Malloy

DATE: March 30, 1955

FROM : Mr. C. H. Stanley

SUBJECT:

Junior Aqua Fuel Systems Operator - Applicant
 Headquarters, Air Materiel Command
 Department of the Air Force
 Wright-Patterson Air Force Base, Ohio
 SECURITY OF GOVERNMENT EMPLOYEES

Tolson _____
 Boardman _____
 Nichols _____
 Belmont _____
 Harbo _____
 Mohr _____
 Parsons _____
 Rosen _____
 Tamm _____
 Sizoo _____
 Winterrowd _____
 Tele. Room _____
 Holloman _____
 Gandy _____

b6

Pursuant to Mr. Boardman's request, the following is submitted by SA Dick H. Young and SA Roy Porter regarding the handling of this case at the Bureau:

Explanation of SA Dick H. Young:

I was temporarily handling SA Roy Porter's work due to his illness from 12/27/54 through 1/7/55.

On approximately 1/3/55, I learned from the Laboratory that the known handwriting specimens submitted by Los Angeles in this case were inadequate, and consequently, Los Angeles was instructed to obtain more of the same by Laboratory report dated 1/5/55. Since the questioned signature in this case was made in 1944 and the subject resided in the Los Angeles area at that time, I felt that specimens of his handwriting made about the same time (1944) would be of more value to the Laboratory examiner than a current signature made ten years later.

Also on 1/3/55 at the time I was advised that the specimens submitted were inadequate, Cincinnati had not yet submitted its report having previously indicated that extreme difficulty was being experienced with locating all the persons necessary to be interviewed during the investigation.

67-213813-139

Searched
Numbered
2 APR 18 1955	
FEDERAL BUREAU OF INVESTIGATION	

140-6636

DIR: R.R. de: rmb

ATTACHMENT sent 4-5-55

26 APR 22 1955

Consequently, I did not think I would unnecessarily delay the completion of the case by having Los Angeles submit more handwriting specimens, as it was possible that such specimens could have been submitted before the Cincinnati report was received at the Bureau. Further, it was my feeling that known specimens prepared around 1944 would be of greater value than a current signature. The Cincinnati report was received on 1/11/55, additional handwriting specimens were furnished to the Laboratory on 1/17/55 and an ident was made on the same date. The case was transmitted to CSF on 1/19/55.

Civil Service Commission

From the above I can now see that had I called the Laboratory's attention to the two signatures in our files on January 3, and no later than January 5, we would have been able to complete the handling of this case shortly after 1/11/55, the day on which the Cincinnati report was received. I fully realize the need for expediting the investigation of these cases and the delay caused by me in this instance was unintentional on my part. I regret this occurrence and in the future will take appropriate steps to be certain that such does not again occur.

Explanation of SA Roy Porter:

b6

I returned to my duties on 1/10/55 after being on sick leave from 12/7/54 to 1/10/55. Los Angeles radiogram to Cincinnati dated 1/10/55 in this case advised no additional known handwriting specimens were available that area and requested Cincinnati obtain same. Cincinnati air-tel dated 1/12/55, received at Bureau Friday P. M. 1/14/55, and received Employees Security Section Monday A. M. 1/17/55, asked whether it was necessary to obtain more specimens since [redacted] admitted membership in organization in question. It was felt a positive conclusion should be reached, if possible, and in view of this on 1/17/55 I requested the Laboratory examiner to make a further examination based on current fingerprint card located Identification Division, Security Form, and previous specimens submitted by Los Angeles, prior to requesting Cincinnati attempt to locate additional specimens. Based upon this, the Laboratory examiner conducted a further examination on 1/17/55 and telephonically advised me of his positive identification same date. The reports were disseminated 1/19/55.

RECOMMENDATIONS:

From a review of the above facts concerning the action taken by Seat of Government Supervisors regarding the handwriting specimens in this case it appears that had Supervisor Young, who was temporarily handling Supervisor Porter's work, called the Laboratory's attention to signature specimens contained in the Bureau's files, some time could have been saved in the completion of this investigation. Although

it is felt that as a general rule specimens of handwriting prepared near the time the question signatures were written are more desirable for handwriting examinations, ~~it is recommended a letter of censure be sent to Supervisor Young for not taking more prompt action in obtaining the handwriting from the Bureau's files.~~

No administrative action is recommended against Supervisor Porter concerning the obtaining of these handwriting specimens since upon his return from illness on January 10, 1955, and receipt of the Cincinnati air-let dated 1/12/55, he immediately obtained the specimens and sent them to the Laboratory.

On March 27 the Los Angeles Office was requested to submit explanations by return mail concerning the prompt obtaining and submission of specimens in this matter. Upon receipt of these explanations appropriate recommendations will be made. Attached

Specific instructions have been issued to all Supervisors handling the supervision of Security of Government Employee investigations that at the time it becomes known that handwriting examinations will be necessary, all available specimens must at that time be furnished to the Laboratory and not await the receipt of specimens from the field.

3/31/55:

I also recommend censure for Messrs. Stanley, Malley and Rosen, for their failure to make appropriate analysis of this situation and for their seeming unwillingness to insist upon carefully scrutinizing the activities of the employees within their division to insure that they are functioning properly.

LVB

PERMANENT BRIEFS OF THE PERSONNEL FILES OF SAs YOUNG AND PORTER ARE ATTACHED.

L. T. and I arrived 4-21-55 - cold & rainy.

L. T. and I arrived 4-21-55 - cold & windy.

Lt. Treadwell advised 4-21-55 call H/H/memo.

Lt. Treadwell advised 4-21-55 call H/H/memo.

MEASUREMENTS AND OTHER FINDINGS																																			
51. HEIGHT 72		52. WEIGHT 186		53. COLOR HAIR Grey		54. COLOR EYES Hazel		55. BUILD: SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE <input type="checkbox"/>		56. TEMP.																									
57. BLOOD PRESSURE (Arm at heart level)						58. PULSE (Arm at heart level)																													
SITTING SYS. 134 DIAS. 82		RECUM- BENT SYS. DIAS.		STANDING (8 min.) MAY 3 1 41 PM '55 DIAS.		AFTER EXERCISE		2 MIN. AFTER		RECUMBENT AFTER STANDING 3 MIN.																									
59. DISTANT VISION				60. REFRACTION				61. NEAR VISION																											
RIGHT 20/ 20 CORR. TO 20/				BY REC'D PERSONNEL SECTION CX				CORR. TO BY																											
LEFT 20/ 20 CORR. TO 20/				BY S.				CORR. TO BY																											
62. HETEROPHORIA: (Specify distance) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD																																			
63. ACCOMMODATION RIGHT LEFT				64. COLOR VISION (Test used and result) AOC 1940				65. DEPTH PERCEPTION (Test used and score) UNCORRECTED CORRECTED																											
66. FIELD OF VISION				67. NIGHT VISION (Test used and score)				68. RED LENS		69. INTRAOCULAR TENSION																									
70. HEARING		71. AUDIOMETER								72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)																									
RIGHT WV 15 /15 SV 15 /15		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td></td> <td>250 250</td> <td>500 512</td> <td>1000 1024</td> <td>2000 2048</td> <td>3000 2896</td> <td>4000 4096</td> <td>8000 8192</td> </tr> <tr> <td>RIGHT</td> <td></td> <td></td> <td></td> <td></td> <td style="background-color: #cccccc;"></td> <td></td> <td></td> </tr> <tr> <td>LEFT</td> <td></td> <td></td> <td></td> <td></td> <td style="background-color: #cccccc;"></td> <td></td> <td></td> </tr> </table>									250 250	500 512	1000 1024	2000 2048	3000 2896	4000 4096	8000 8192	RIGHT								LEFT									
	250 250	500 512	1000 1024	2000 2048	3000 2896	4000 4096	8000 8192																												
RIGHT																																			
LEFT																																			

73. NOTES (Continued) AND SIGNIFICANT OR INTERVAL HISTORY

(14 X 17)

4-18-55 Chest: Examination reveals a small 1 cm. mass in the middle portion of the left lung field of slightly increased density. There is some calcification in the left hilum. This is apparently a healed Ghon complex. /s/ G.L. LEWIS /s/ N.P.A.

(Use additional sheets of plain paper if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)						76. PHYSICAL PROFILE					
						P	U	L	H	E	S
77. EXAMINEE (Check) <input checked="" type="checkbox"/> IS QUALIFIED FOR Strenuous physical exertion and use of firearms. <input type="checkbox"/> IS NOT						PHYSICAL CATEGORY					
78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER											
79. TYPED OR PRINTED NAME OF PHYSICIAN						SIGNATURE /s/ N.P. Aspen					
80. TYPED OR PRINTED NAME OF PHYSICIAN						SIGNATURE					
81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)						SIGNATURE /s/ A.T. Smith					
82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY						SIGNATURE					
						NUMBER OF AT- TACHED SHEETS					

ATTACHMENT TO STANDARD FORM 88
(Revised July 21, 1952)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form **need** not be completed:

2	67
3	68
11	69
14	71 (unless other
17	examination indi-
62	cates desirable)
65	72

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee is qualified for strenuous physical
(is or is not)
exertion. (Designate which)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

No

If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64 AND 70 PERTAINING TO VISUAL ACUITY, COLOR VISION AND HEARING BE COMPLETED IN DETAIL.

Wesley B. Cooper
(Signature of Medical Examiner)

18 April 55
(Date)

ENCLOSURE

67-24215-252

TO: MR. L. V. BOARDMAN 4/29/55
FROM: A. ROSEN

Rosen
Wood

In accordance with the leave schedule maintained by Mr. Mohr's office, I have had scheduled leave commencing Monday, May 2, and ending May 13, 1955. Unless an urgent matter arises, I plan to commence this leave on May 2. If for any reason I am needed, I will be available.

✓ *RM*

Mr. Tolson	_____
Mr. Boardman	_____
Mr. Nichols	_____
Mr. Belmont	_____
Mr. Harbo	_____
Mr. Parsons	_____
Mr. Rosen	_____
Mr. Tamm	_____
Mr. Sizoo	_____
Mr. Winterrowd	_____
Tele. Room	_____
Mr. Holloman	_____
Miss Gandy	_____

AR:LS
(2)

3
RM

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN

DATE: 4/13/55

FROM : A. ROSEN

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

SUBJECT:

DEPARTMENTAL APPLICANT
IMMIGRATION PATROL INSPECTOR
IMMIGRATION AND NATURALIZATION SERVICE
EL PASO, TEXAS

The memorandum of 4/12/55, in the above-entitled matter, which was received in my office at 12:20 p.m., 4/12/55, was not received in your office until 11:04 a.m., 4/13/55.

In view of other more expedite matters I did not get this until late yesterday evening, 4/12/55. This morning before I went to Bethesda Hospital for my physical exam, I initialled it. It would have had to have left my office prior to 10 o'clock in the morning.

The only explanation I have is that I handled more expedite matters prior to getting this one through and that caused the delay.

I did not wish to send it forward last evening when I left at about 6:45 p.m., and I, consequently, held it until today. I don't know why it took from approximately 10 a.m. until 11:04 a.m. to reach your office. This seems to be an unusual length of time for a piece of mail to get from my office to your office.

By more expedite matters I mean matters in which the Director was interested and which I considered much more important than this particular piece of mail.

AN END: (4-14-55)

AR:WW
(3)

There was a teletype attached to this piece of mail. This air of nonchalance is a luxury I have not been able to afford. I recommend censure.

L. V. Boardman

1955

May 17, 1955

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have recently noted that several Special Agent supervisors assigned to your division failed to comply with existing regulations regarding the sign-in and -out register. After these employees had certified on the register that they were ready to assume their official duties they were observed in the basement of the Justice Building engaging in personal activity and they failed to indicate their absence from official duty on the register. This entire situation is disgraceful and it is evident to me that you have not instilled the proper attitude toward responsibility in employees under your supervision.

I shall expect you to take immediate steps to insure that employees under your supervision abide by all Bureau rules and regulations in the future.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

COMM - FBI
MAY 17 1955
MAILED 28

NEM:obd
(4)

CC: Mr. Boardman (Personal Attention)

Based on memo from Mr. Harbo to Mr. Tolson dated 5/11/55, LOT:cs

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

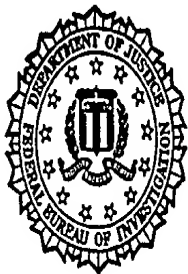
18 MAY 23 1955

67-2721-355

Searched

2 MAY 19 1955

FEDERAL BUREAU OF INVESTIGATION



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

WASHINGTON 25, D. C.

In Reply, Please Refer to
File No.

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name Mrs. H. Rosen Relationship Mother Date 5-17-55
Address 2859 Hampton Rd S.E. Shaker Heights Ohio

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty.

Name Mrs. H. Rosen Relationship Mother Date 5-17-55
Address _____

Very truly yours,

Ally Rosen
Special Agent

⑦ 3/1/55
MAY 17 1955

TO : Mr. Rosen, FBI

DATE: March 28,
1955

FROM : Mr. Stanley

SUBJECT :

Junior Aqua Fuel Systems Operator - Applicant
Headquarters, Air Materiel Command
Department of the Air Force
Wright-Patterson Air Force Base, Ohio
SECURITY OF GOVERNMENT EMPLOYEES

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

Attached memorandum from Stanley to Rosen dated 3-22-55 sets forth information concerning the Security of Government Employees (S E) investigation conducted regarding [redacted]

This investigation was initiated on 11-18-54 and reports were disseminated on 1-1-55. Mr. Boardman noted that "I have requested explanation as to why it took from 11-18-54 to 1-1-55 to complete the investigation." The Director noted: "Are we taking 2 months to handle such cases?"

Investigative Assoc's of [redacted] Case: b6

Investigation required in 3 offices, deadline for field to submit reports 12-21-54. Washington field report received 12-22-54. Los Angeles report sent 12-17-54, received 12-21-54. Cincinnati advised 12-15-54 having difficulty locating acquaintances rural areas and unable meet deadline. This logical, since Kettinger had resided 15 places prior to arrival in rural areas; he had employment in 11 different places, all requiring investigation Cincinnati Office. Cincinnati report dated 1-4-55, received 1-10-55, reflected 10 persons were interviewed and 1 established confidential in 10 different cities and 10 different rural areas in 11 different agents. From above, appears no undue delay by Cincinnati. Also noted in this report we had Thanksgiving, Christmas, and New Year holidays.)

1. The Commission is of the opinion that the Commission should be authorized to investigate the activities of the Communist Party, its branches, and its members, and to report thereon to the Senate and the House of Representatives.

2-24-6

[Faint handwritten notes at the bottom of the page]

[Faint handwritten notes at the bottom of the page]

(7)

1955

obtained by LA and sent to laboratory (Lab) 12-22-54, received 12-27-54. No definite conclusion could be reached, however, characteristics in common were noted. By report 1-5-55 Lab requested LA endeavor obtain more specimens (note card signed 1944 and Mattingly resided LA area that time). By radiogram 1-10-55 LA advised no more known handwriting specimens available that area, and requested Cincinnati obtain same. By airtel 1-12-55 received Friday A.M., 1-14-55 and received in Employees Security Section 1-17-55, Cincinnati asked whether necessary obtain more specimens since Mattingly admitted membership in organization in question. It was felt a positive identification should be made, if possible, and on 1-17-55 case supervisor requested Lab make further examination based on current fingerprint card located Identification Division, Security Form, and previous specimens submitted by LA, prior to requesting Cincinnati attempt locate additional specimens. On 1-17-55 Lab concluded Mattingly signed card in question and reports disseminated 1-19-55.

Observations Re Over-All Investigative Problems in SGM Investigations:

With reference to the over-all investigative time required in SGM cases, in some of these cases it takes the field 60 days to complete the investigation. Others are completed in less time.

In accordance with the Director's request for a bi-monthly report of cases pending over 1 month, material is being gathered for this report which will be submitted concerning cases pending in the field over 1 month as of March 31, 1955.

Time Required for LA to Handle

It has been determined an average of about 15 days for the field to handle these cases. Additional time has been required to conduct such investigations recent due to current Bureau instructions that we cut to a bare minimum the use of "syndicals"; that we verify the necessity for each syndical used; that persons be recontacted and persuaded where possible to furnish signed statements, permit inquiries to be made in our reports and urge them to tell us in instances where necessary information has been furnished.

Each full field investigation ordered is based upon an allegation reflecting upon the loyalty of the individual being investigated, which must be resolved completely.

In addition to locating references, acquaintances, checking employment, credit, criminal and other pertinent records, obtaining necessary handwriting specimens, and the like, the field must locate and interview the original sources of all derogatory information. Much of this disloyal or derogatory information is based upon previous allegations furnished the Bureau or contained in other Government agencies' files. The running down of these allegations is one of our most time-consuming problems inasmuch as the current whereabouts of the original sources is in many instances unknown. This is particularly true with reference to information contained in the files of other Government agencies. We could report this information as set out in these files; however, we require the field to locate and interview the person who made the allegation for several reasons; namely, to make sure our reports accurately reflect his information; to press for a signed statement from him and allow his name to be openly disclosed; and to determine his willingness to testify to his information. Since it is frequently necessary to conduct a "positive-type" investigation to locate these sources, much time is consumed. However, it is felt this must be done in order that the Bureau's investigation will be entirely complete. This is also true in our efforts to locate former Bureau informants and press to determine whether their identities can now be disclosed and whether they will furnish signed statements and testify before a Security Hearing Board.

In these cases it frequently happens that new leads are developed late in the investigation. These, of course, require additional investigation to run them out completely, which may involve offices not having previous participation in the case.

Action Taken to Expedite These Cases:

In view of the great necessity of eliminating needless informants, and to expedite signed statements, and to prevent further delay in the processing of derogatory information, the following action is being taken:

4

1

4

1

I have now received the rewritten memorandum, and I discover that the deadline for this case was 12/20/54, and it was sent to the field 11/18/54; that the first Amalco report was dated 11/17/54 and was received at the Dept. of Government 11/21/54; that the Amalco report to the Laboratory, in letter dated 12/17/54, received 11/17/54, had no report on the Amalco report of 11/17/54. Indications are

specimens were needed. I then find that there was in the Identification Division a fingerprint card, containing the signature, and in the files at the seat of government a security form containing handwriting specimens, which, when finally sent to the Laboratory by the seat of government supervisor on 1/17/55, permitted the Laboratory to make a positive identification.

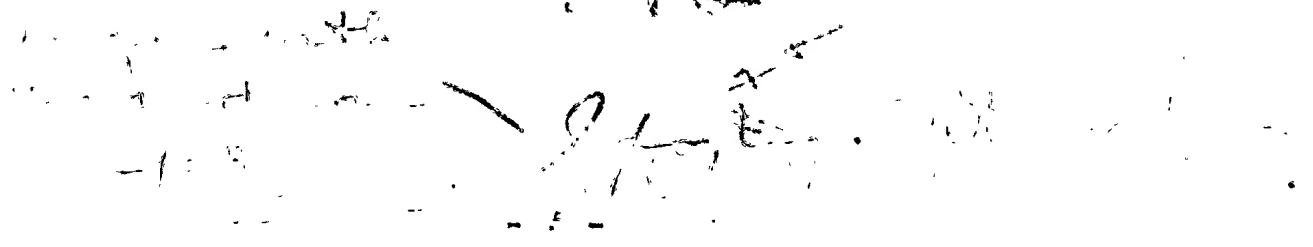

It appears ridiculous to me that we had not submitted the Identification Division and security form specimens to the Laboratory at a much earlier date, certainly at the very latest on 1/5/55 when the Laboratory indicated an inability to reach a conclusion.

I have instructed Mr. Stanley that, henceforth, when it becomes apparent that handwriting specimens are needed in the conduct of these investigations, any handwriting specimens available in the Identification Division or at the seat of government are to be immediately made available to the Laboratory. I further instructed Stanley to ascertain from Los Angeles the exact date upon which the investigating agent secured these handwriting specimens. He certainly must have secured them no later than 12/17/54, and probably sooner, and he should have sent these specimens to the Laboratory immediately. There was no need for him to wait until after the report was typed and sent in.

I have requested explanation from the Los Angeles office, and from the seat of government supervisor, in order that appropriate recommendations can be made concerning this. I feel that Rosen's people have done an incomplete job in answering my inquiry as to why it took 60 days to handle this particular investigation. Though it is absolutely essential that Security of Government Employee cases be handled very thoroughly, it is just as essential that they be handled expeditiously, and I have personally informed Rosen and Stanley that they must take immediate steps to see that the field meets these deadlines in every possible instance.

I regret the delay in sending this memorandum to you, but did not wish to do so until I felt Rosen's Division had handled this as they should have in the initial instance. They still have not, but you will be given a complete explanation as soon as the additional data is received.

L. V. BOARDMAN



May 24, 1955

~~PERSONAL AND CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have noted that on the morning of May 13, 1955, an agent of the Investigative Division was observed reading a newspaper at his desk after having recorded on the sign-in and -out register that he was ready to assume his official duties. Reading newspapers after signing in for work was not one of the regularly assigned duties of this supervisor and it is evident that he did not fully appreciate the scope of his responsibilities.

In view of the foregoing, I shall expect you to institute immediate measures to see to it that there is no repetition of such a dereliction on the part of personnel under your supervision. I must also insist that you insure that supervisors of the Investigative Division understand fully the nature of their official duties.

Very truly yours,

J. Edgar Hoover

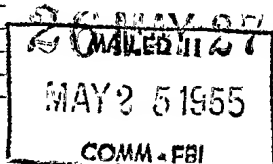
John Edgar Hoover
Director

DCM:alh

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

CC: Mr. Boardman (Personal Attention)

Based on memo to Mr. Tolson from R. T. Harbo 5/19/55, JEF:jla&dmg/cs
The above letter is an attachment to memorandum from H. L. Edwards
to Mr. Mohr dated 5/23/55, DCM:alh



RECEIVED
MAY 25 1955

June 22, 1955

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I want to extend to you my personal commendation for the excellent work you performed in connection with the recent Operation Alert.

It is evident from the high calibre of your services that you were keenly interested in this operation and desirous of making it a success. I sincerely appreciate your untiring efforts in this regard.

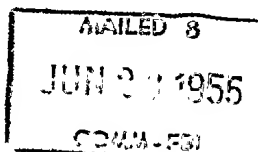
Sincerely yours,
J. Edgar Hoover

LRH:tlw
(3)

Based on memo Harbo to Tolson 6/17/55 EDM:DMG.

Salutation per Reading Room.

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____



53 JUN 28 1955

IN 22 6 02 PM '55
RECEIVED READING ROOM
FBI

357

June 7, 1955

Mr. Al Rosen
Georgetown Hospital
38th and Reservoir Road , N. W.
Washington, D. C.

Dear Al:

I have noted that you have been laid up for a few days and have been expecting that you would get back, but apparently the examination is taking longer than you had contemplated. Let me urge that you by all means follow the advice of the doctors and not return to duty prematurely.

With every good wish, I am

Sincerely,

J. E. H.

JEH:tlc

SENT FROM D. O.	
TIME	<u>10:00 AM</u>
DATE	<u>6/8/55</u>
BY	<u>mm</u>

✓
Tolson _____
Boardman _____
Nichols _____
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Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

15 JUN 13 1955

NOT RECORDED

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Mohr

DATE: 5/23/55

FROM : H. L. Edwards

SUBJECT: SA [redacted]
 Special Inquiry Section
 Investigative Division
 EOD 8/1/39; Resigned 3/4/49
 Reinstated 10/15/51
 GS-13, \$8560
 Non-Veteran; Not on Probation

Tolson _____
 Boardman _____
 Nichols _____
 Belmont _____
 Mohr _____
 Parsons _____
 Rosen _____
 Tamm _____
 Sizoo _____
 Winterrowd _____
 Tele. Room _____
 Holloman _____
 Gandy _____

b6

Mr. Harbo's memorandum to Mr. Tolson 5/19/55 recommended SA [redacted] be censured and placed on probation inasmuch as he had been observed at 8:40 a.m., 5/30/55, reading a newspaper at his desk after he had signed in for work at 8:15 a.m. This memorandum also recommended that Mr. E. H. Winterrowd, Assistant Director Rosen, and Section Chief G. C. Callan be censured for supervisory responsibilities in this matter. These recommendations were approved by the Director and appropriate letters are attached.

b6

[redacted] explanation contained the statement "It has been my understanding over a long period of time that agents should keep abreast of current events especially matters in which the Bureau is interested. This has been specifically emphasized to supervisors on a number of occasions." Mr. Tolson commented "During office hours?"

Assistant Director Rosen has advised that he knows of no instructions to the effect that Bureau employees should keep abreast of current events by reading newspapers during office hours, other than such employees who may be specifically assigned to that duty.

b6

It is noted that when SA [redacted] was observed reading the newspaper it was prior to the beginning of the official workday although he had previously signed in. At the time in question SA [redacted] had not been assigned to scan newspapers for items of interest to the Bureau.

RECOMMENDATION OF THE ADMINISTRATIVE DIVISION:

It is recommended that the attached letters placing SA [redacted] on probation, and censuring Mr. Rosen, Mr. Winterrowd, and Mr. Callan be approved.

53 JUN 8 1955

Attachments (4)

DCM:alh

(5)

CC: H. L. Edwards

Mr. Rosen

Mr. Harbo

Mr. Tolson

5/11/55

A. T. Harbo

b6

RECEIVED
INVESTIGATIVE DIVISION

Separate memoranda concerning the following Agents assigned to the Investigative Division have been submitted. These Agents were observed seated in the cafeteria in the basement of the Justice Building on 5/8/55 or 5/10/55 at a time after they had already signed in as reporting for duty but before the regular working hours.

Joseph K. Ponder (sick leave 5/11/55; explanation will be obtained upon return to duty)
William A. Hamilton (sick leave 5/11/55; explanation will be obtained upon return to duty)

John E. Cook
Roy E. Wood
Harold Hanstad

RECOMMENDATION:

In view of the supervision responsibility of Mr. Winterrowd, during the absence of Assistant Director Rosen, for the Agents, recommend his censure by letter.

cc - Mr. Mohr

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____

20 MAY 24 1955

June 29, 1955

~~PERSONAL AND CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

b6

I am concerned over the unwarranted delay which occurred before a satisfactory summary memorandum regarding the handling of the case entitled "[redacted], et al; Interstate Transportation of Stolen Property" was submitted to me. More than a week elapsed before an acceptable memorandum furnishing the necessary facts was produced by your division and the delay in this case reflects indifference and apathy on the part of yourself and your subordinates.

Consequently, I must insist that you take appropriate measures in the Investigative Division to insure that in the future similar memoranda are handled accurately and completely in the first instance and delays occasioned by repeated revisions are avoided.

Very truly yours,

J. Edgar Hoover

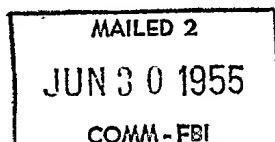
NE
JIC:bqk
(4)

John Edgar Hoover
Director

CC: Mr. Boardman (Personal Attention)

Based on memo Winterrowd to Rosen dated 6/25/55, EHW.

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
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Tele. Room _____
Holloman _____
Gandy _____



55 JUL 6 1955

277155858
JUN 25 1955
RECEIVED READING ROOM
JUN 25 1955
JUN 25 1955

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. BOARDMAN *as*

DATE: 7/5/55

FROM : A. ROSEN *AR*SUBJECT: CITY OF MEMPHIS POWER FACILITIES,
MEMPHIS, TENNESSEE;
INFORMATION CONCERNING *ALEX R...*

Tolson	_____
Boardman	_____
Nichols	_____
Belmont	_____
Harbo	_____
Mohr	_____
Parsons	_____
Rosen	_____
Tamm	_____
Sizoo	_____
Winterrowd	_____
Tele. Room	_____
Holloman	_____
Gandy	_____

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The Director stated that the request of the Attorney General for information at Memphis in the [] matter was excellently handled here and at Memphis and he requested that a memorandum be prepared of the recommendations for commendation in connection with the handling of this matter.

CLIFTON O.
ASAC Clifford Halter and Special Agent Joseph A. Canale of the Memphis office handled all of the interviews in this case and did an expeditious and thorough job. It is recommended that they receive a letter of commendation.

The Supervisor at the Seat of Government who participated in the handling of this matter was Special Agent Joseph K. Ponder, assigned to the Antitrust Desk. He should receive a letter of commendation.

The stenographer handling this matter at the Seat of Government was [] He should receive a letter of commendation.

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E. H. Winterrowd, who participated in the handling of this matter at the Seat of Government, should receive a letter of commendation.

7/5/55 - I concur with the above recommendations and, in addition, wish to point out that Mr. Rosen personally handled some of the calls to Memphis, directed the activities on Saturday, 7/2, and was in the office 7/3 during the full period of time this material was being prepared, giving proper guidance and direction thereto. I recommend commendation for him also.

LVB

AR:WW
(9)

cc - Mr. Mohr

- Personnel Files:

ASAC Clifford Halter, SA Joseph A. Canale,

[] Joseph K. Ponder, b6

E. H. Winterrowd

RECORDED-746 67-27215-359

AR

JUL 13 1955

Letter of Commendation
7/7/55
LVB

JUL 21 1955

TO : Mr. Rosen

DATE: June 25, 1955

FROM : E. H. Winterrowd

b6

SUBJECT : [REDACTED] ET AL;
INTERSTATE TRANSPORTATION OF STOLEN PROPERTY (ITSP)SYNOPSIS:1 A. Rosenb6
b7D

This memorandum is submitted to fix responsibility and make recommendations relative to the delay in handling of this case in the Chicago and New York Field Divisions and at the Seat of Government. [REDACTED] former criminal informant, was a Government witness having served as "buyer" of the stolen paintings and was "arrested" with subjects, Chicago, Illinois. Thereafter, [REDACTED] fingerprinted and through error by former Identification Division employee a "non ident" return made to Chicago Field Division and U. S. Marshal, Chicago. [REDACTED] in fact, has criminal record.

Prior to trial [REDACTED] denied to Assistant U. S. Attorneys (AUSAs) and Agents in Chicago he had criminal record. Thereafter, during hearing on motion for new trial, 10/26/53, which was denied, former AUSA [REDACTED] stated under oath [REDACTED] according to FBI and U. S. Marshal, did not have criminal record.

b6
b7DHandling by Chicago Division

Chicago by letter 11/5/53, advised Bureau and New York of situation indicating defense claimed in motion for a new trial [REDACTED] had criminal record. New York asked to clarify variance. Although New York in contact with [REDACTED] on five occasions during 11/5/53 - 1/6/54, no clarification made. Only resolution was [REDACTED] preferred to Agents in Chicago. New York so advised Chicago by teletype 1/6/54. Thereafter, Chicago also did not resolve matter until called to attention by Bureau. (SAC Hostetter recommends censure for SA F. J. Stefanak who had case assigned to him.)

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b7D

Chicago by letter 3/5/54, recommended commendation for [REDACTED] for his services in another case. This led to discovery of Ident error at Seat of Government resulting in administrative action being taken.

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Agent Stefanak as well as Agent [REDACTED] of Chicago had conferences during period 11/17/54, to 1/17/55, with former AUSA [REDACTED] who handled appeal. (Defense filed brief on appeal 10/29/54; oral arguments 1/17/55; Circuit Court of Appeals upheld conviction 3/18/55). [REDACTED] made aware of fact [REDACTED] had criminal record and during conferences advised Agents no way to correct court record to reflect [REDACTED] had criminal record since information not known to him until after conclusion of trial.

b6
b7DEnclosure

cc: 1-Administrative Division
EHW/ate, rc & eck
(6)

Letters of censure to Stefanak, [REDACTED]
Fisher, Bryant, Staffeld, Green, Winterrowd,
Rosen and Boardman. 6/29/55
JIG: BAK: NEM: DFW: Original filed in 67-342-2670

b6

53 AUG 2 1955

Memorandum to Mr. Rosen

(Bureau had, upon discovery of Identification Division error, instructed Chicago 4/2/54, to advise appropriate personnel U. S. Attorney's (USA) Office, Chicago, as to availability of criminal record. This done 4/29/54 by Agent Stefanak who did not confirm advice in writing).

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[] also stated to Agents Stefanak and [] defense had opportunity to ask [] if he had criminal record when he was on the stand but failed to do so. Therefore, it would be very foolish for Government to bring such matter up during appeal and furthermore, it was immaterial and inconsequential and had no bearing on appeal in the case. Agents did not make record of such conferences with [] in Chicago files. SAC Hostetter makes no recommendation for action since conferences were numerous and in view of position taken by former AUSA []

Handling by New York Division

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Informant [] developed originally by New York Division and handled primarily by Sa Willis W. Fisher, then assigned to New York Division, now assigned to Washington Field Office. Fisher, fully cognizant of informant's criminal background, did not immediately respond to inquiry made by Chicago in its letter of November 5, 1953, requesting New York to advise concerning whether [] had criminal record. Instead, Fisher, while seeing informant on five occasions after receipt of letter from Chicago, sent teletype to Chicago 1/6/54 stating informant would prefer to clear up any further questions in Chicago. Fisher stated it did not enter his mind Chicago Office was not aware of criminal record of informant. SAC, New York, states while Fisher did not attempt to contact [] as expeditiously as possible, it would appear Chicago could have obtained desired information from []. This is improper analysis since Fisher had available in files of New York Office criminal background of [] and was clearly on notice Chicago wanted to get matter resolved.

Handling at Seat of Government

ASAC A. M. Bryant, Miami Division, supervised this case at Bureau until middle of December, 1953. Thereafter, responsibility has rested with supervisor A. A. Staffeld.

ASAC Bryant did not follow up on request in Chicago letter of November 5, 1953, and get matter resolved and has no satisfactory explanation. Did not discuss with superiors.

Supervisor A. A. Staffeld unfamiliar with Chicago letter of 11/5/53, but handled New York teletype in reply dated 1/6/54. Felt matter resolved and saw no reason to follow New York teletype. Did not discuss with superiors. Staffeld, however, when Identification Division error discovered in March, 1954, prepared letter 4/2/54 directing Chicago Office to advise office of USA, Chicago, of [] criminal records.

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Memorandum to Mr. Rosen

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Staffeld, in his supervision of this case, did not specifically ask Chicago to endeavor to have court record cleared with reference to [] criminal record. States he felt criminal record could not be entered as new evidence since trial court record became closed when motion for new trial denied, therefore, Court of Appeals would confine itself to record of trial. Staffeld should have taken steps to go on record with USA and/or Department in an attempt to see what could be done about clarifying the record.

Handling of Memorandum Prepared June 24, 1955

This memorandum setting forth background and developments in connection with appeal in this case delayed because of unsatisfactory drafts prepared by Supervisor C. L. Green, who had conversed with writer. Writer also prepared unsatisfactory drafts causing more delay.

RECOMMENDATIONS:

1) It is recommended that SA F. J. Stefanak, Chicago Division be severely censured for his failure to follow the New York Office and for his failure to reduce to writing his conferences with former AUSA [] and to advise the Bureau thereof, and to confirm in writing his advice to former AUSA Schwartz when he advised the latter of the availability of [] criminal record.

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Yes - Tolson - 6/25/55
 Yes - Hoover -
 I agree - J. A. S. - 6/25/55
 I agree - F. H. - 6/27/55

b6

2) It is recommended that SA [] of the Chicago Division be censured for his failure to reduce to writing the conferences with former AUSA [] and to see that the Bureau was advised thereof.

Yes - Tolson -
 Yes - Hoover -
 I agree - J. A. S. - 6/25/55
 I agree - F. H. - 6/27/55

3) It is recommended that SA W. Willis Fisher of the Washington Field Office, formerly of the New York Office, be censured for his failure to promptly advise the Chicago Division of the criminal background of []

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Yes - Tolson -
 Yes - Hoover -
 I agree - J. A. S. - 6/25/55
 I agree - F. H. - 6/27/55

Memorandum to Mr. Rosen

(A. M.)

4) It is recommended that ASAC Bryant of the Miami Division be censured for his improper supervision of this matter while he was at the Seat of Government.

Yes - Tolson -
Yes - Hoover -
I agree - J. A. S. - 6/25/55
I agree - F. H. - 6/27/55

5) It is recommended that Supervisor A. A. Staffeld be censured for his failure to follow through in connection with his supervision of this case.

Yes - Tolson -
Yes - Hoover -
I agree - J. A. S. - 6/25/55
I agree - F. H. - 6/27/55

6) It is recommended that Supervisor C. L. Green be censured for his part in the preparation of unsatisfactory drafts in connection with the memorandum of 6/24/55 from Winterrowd to you with respect to this case. Green is Criminal Informant Supervisor. He was not a substantive supervisor of the case.

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(L. V. Boardman)
(A. Rosen)

Yes - Tolson -
Yes - Hoover -
I agree - J. A. S. - 6/25/55
I agree - F. H. - 6/27/55

(E. H.)

7) It is recommended that Winterrowd be strongly censured for his failure to see to it that a proper memorandum was prepared at the out set instead of the several unsatisfactory drafts which have occasioned delay.

Yes, also strong censure for Boardman and Rosen for their indifference and apathy in handling this matter. I have struggled with them for over a week trying to get facts. Tolson

Yes - Hoover -
I agree - J. A. S. - 6/25/55
I agree - F. H. - 6/27/55

The Training and Inspection Division has been requested by Mr. Boardman to formulate regulations with respect to the matter of confirming in writing advice or information furnished to USAs or their assistants. Already prepared. L. B. Done. Tolson

Harbo should review entire case to see whether there are any further deficiencies or derelictions. Boardman, Rosen and Winterrowd wont expend enough energy to do it. Tolson I concur. Hoover

Memorandum to Mr. Rosen

DETAILS:

Purpose

This memorandum is submitted to fix responsibility in connection with delay in handling phases of this case and to recommend administrative action. The crux of this matter is the fact that the record in the appellate proceedings in this case is erroneous in that the record reflects that former AUSA [] stated under oath that [] Government witness, according to the FBI and U. S. Marshal in Chicago, did not have a criminal record when, in fact, there is such a record. This was stated at the time of a motion for a new trial brought by the defense October 26, 1953. This was brought about by virtue of three things:

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1) An error was committed in the Identification Division when a "non Ident" return was made to the Chicago Office and to the U. S. Marshal in Chicago, upon the receipt of the fingerprints of [] who had been "arrested" with the subjects. [] had been the buyer of the stolen goods and served as an informant)

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2) New York, the office which was handling [] as an informant when they dispatched him to the Chicago Office for services there in the first week of April, 1953, and on occasions thereafter, did not furnish background and criminal activity data available to the New York Office.

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3) The Chicago Office, being in possession of a "non Ident" return on [] as well as the U. S. Marshal's Office in Chicago, gave rise to the belief on the part of the AUSAs during the trial and when a motion for a new trial was made, that there was no criminal record on [].

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With further reference to the Identification Division error, this error is attributable to a former employee, [] who made the searching error but who had resigned when the "miss" on the fingerprints was originally detected in March, 1954.

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This was brought to light by virtue of the Chicago Office having recommended on 3/5/54, a letter of commendation for [] who served as an informant in another case in that city. Information appeared in the Chicago files indicating criminal activity on the part of [] and responsible personnel making the recommendation have been censured for their poor judgment as reflected in the attached memorandum of March 24, 1954, from Mr. H. L. Edwards to Mr. Glavin.

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At that time it was discovered that the Chicago Office had not received a copy of the identification record of [] and by letter dated April 2, 1954, the Chicago Office was advised to make known the availability of the criminal record to the Office of the USA. This was done 4/29/54, but on an oral basis and not confirmed in writing.

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Memorandum to Mr. Rosen

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With reference to the failure on the part of the New York Division to furnish background information and criminal activity on the part of the informant, [] there were no specific written instructions at the time that an office supervising an informant furnish to the office to which the informant is going such data as description, background, past criminal activity, etc. When this matter came to light in March, 1954, and it was determined that Chicago was not aware of the criminal record of [] accordingly, an SAC Letter (54-18, dated 4/6/54) was prepared instructing offices to furnish complete information including up-to-date criminal records to other offices subsequently handling the informant. These instructions were also included in the Manual of Instructions and the Handbook.

Handling of Matter in Chicago Field Division

As stated hereinbefore, Chicago was not furnished with criminal record of [] until Bureau, by letter dated March 22, 1954, forwarded such record at time Identification Division error uncovered.

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SAC Hostetter furnished his recommendations as to the handling of this phase of [] case in Chicago on the night of June 24, 1955. He pointed out that it was learned by the Chicago Division that on November 3, 1953, Federal Judge Barnes denied the motion for a new trial brought by the defendant, [] who alleged, in part, in an affidavit that [] gave conflicting testimony and that further, he had a criminal record. In the motion the defense sought to show newly discovered evidence which would impeach the credibility of []. By letter dated November 5, 1953, to the Bureau with copies to New York, Chicago submitted this information together with statements that [] had told AUSAs handling the case prior to the trial that he had no criminal record and that during the course of the arguments in connection with the motion, both AUSAs [] and [] denied under oath they had perpetrated a fraud on the court when the defense alleged the criminal record on the part of []. Chicago then asked New York to determine whether a criminal record brought out in a previous narcotics case and said to be that of [] was, in fact, the record of the [] who testified in the [] case. New York was asked to verify the matter since it appeared that the informant committed errors either in the New York testimony or in his Chicago testimony.

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SAC Hostetter pointed out that the case in Chicago was assigned to Agent Francis J. Stefanak who had the full responsibility of following this matter and pointed out that the letter Stefanak prepared on November 5, 1953, was not responded to by the New York Office until January 6, 1954, when the New York Office sent a teletype to the Chicago Office and the Bureau stating that [] would be in Chicago that day and preferred discussing this discrepancy with representatives of the Chicago Office and AUSA [] who had assisted in the prosecution.

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Memorandum to Mr. Rosen

SAC Hostetter stated that Agent Stefanak did not follow up on this matter to get it resolved and that it was his full responsibility since he had this case assigned to him at its inception. Accordingly, he recommended that this period, November 5, 1953, to January 6, 1954, was too long and that SA Stefanak should be censured for his failure to follow up.

SAC Hostetter pointed out in mitigation Stefanak did not know until April, 1954, that [] had, in fact, a criminal record although information furnished by the defense during motion for the new trial indicated a possible criminal record. He further pointed out that [] had denied to Agent Stefanak that he had a criminal record.

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Furthermore, according to SAC Hostetter, the testimony was closed by the ending of the trial on 10/26/53, and there would have been no opportunity to introduce any additional evidence.

Agent Stefanak, after receiving the Bureau letter of April 2, 1954, did make known to AUSA [] the fact that there was a criminal record available on [] on April 29, 1954. This advice was not confirmed in writing by Agent Stefanak.

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In addition, Agent Stefanak had several conferences with former AUSA [] at which time Stefanak made known the availability of a criminal record to []. This was prior to the filing of the brief and the oral arguments before the Circuit Court of Appeals in the latter part of 1954 and early part of 1955. (Defense filed brief October 29, 1954, and oral arguments were heard 1/17/55. The Circuit Court of Appeals affirmed the lower court judgment March 18, 1955).

b6

Agent Stefanak states that on several occasions sometime after November 17, 1954, and before January 17, 1955, [] criminal record was discussed with [] and [] was aware that [] had a criminal record. [] stated to Agent Stefanak and Agent [] there was no way to correct the court record to reflect that [] had a criminal record since this information was not known until after conclusion of trial. [] said that the defense had an opportunity to ask [] if he had a criminal record when he was on the stand and they failed to do so and, therefore, it would be foolish for the Government to bring the matter up during the appeal and furthermore, it was immaterial and had no bearing on the appeal. [] said that if a way existed whereby he could enter [] criminal record in the records of the court, he would have done so.

b6
b7D

SA [] advised he had numerous conversations with [] between November 17, 1954, and January 17, 1955, when [] on most of these occasions mentioned a criminal record of [] [] did not reduce these conferences to writing in the Chicago files or heretofore advise the Bureau of their substance.

b6
b7D

Memorandum to Mr. Rosen

Furthermore, SA Stefanak did not reduce to writing or advise the Bureau of the results of his conferring with former AUSA [] b6

SAC Hostetter states that he did not feel that there was error on the part of Stefanak in not making this a matter of written record at the time in view of the position taken by AUSA [] and in view of the fact that there were numerous conferences.

RECOMMENDATION OF SAC HOSTETTER

Mr. Hostetter stated that he feels Agent Stefanak should be censured for not vigorously following up the New York Office in getting the matter of [] criminal record resolved. He stated that Agent Stefanak, in view of his continuing responsibility and in view of the fact that supervision in this case had changed hands, is solely responsible. He points out that, in mitigation, the testimony had been closed in the lower court by virtue of the conviction and, accordingly, there was no way possible to correct the court record. Mr. Hostetter did not recommend administrative action for SA [] b6 b7D

RECOMMENDATION OF INVESTIGATIVE DIVISION

It is recommended that Agent Stefanak be severely censured for his failure to follow up the New York Office and further, for his failure to reduce to writing his conferences with former AUSAs [] and [] and for his failure to advise the Bureau of the views of []. While Mr. Hostetter does not recommend censure for Agent [], it is recommended that [] be censured for his failure to reduce to writing his conferences with former AUSA [] in view of their import. b6

Handling of Matter in New York Field Division

Informant [] was developed originally by the New York Division. He was primarily handled by SA W. W. Fisher who was then assigned to the New York Division and who is presently assigned to the Washington Field Office. [] was sent to Chicago from the New York Office to work on the [] case. The full background concerning [] criminal activities was not forwarded at this time to the Chicago Division by the New York Office, although good judgment would have dictated that such information should have been made available to Chicago so it could closely supervise all dealings with the informant. (This is now a Bureau regulation to furnish such information). b6 b7D

When the informant was interviewed by representatives of the Chicago Office and AUSA [] concerning his criminal background prior to the [] trial, he failed to furnish complete and reliable information concerning his true criminal record. After Chicago had reason to believe that the informant did have a record, they communicated with the New York Division by letter dated b6

Memorandum to Mr. Rosen

November 5, 1953, requesting the New York Office to furnish information on the informant's criminal background. SA Fisher was in contact with the informant in New York on November 25, 1953; on November 28, 1953; on December 5, 1953; on December 16, 1953, and again on January 6, 1954. File in New York reflects discrepancy first discussed with [] on January 6, 1954, when the informant advised Agent Fisher that he had discussed his criminal background with Agents in Chicago and would prefer to clear up any further questions when he returned to Chicago. Agent Fisher, thereupon, prepared a teletype dated January 6, 1954, to Chicago to this effect.

b6
b7D

A memorandum of explanation from Agent Fisher has been obtained. Agent Fisher states that it did not enter his mind that the Chicago Office was not aware of the criminal record of the informant for three reasons:

1) Chicago Division possessed reports in a Selective Service case in which [] was the subject which gave certain information concerning LaFitte's criminal background.

b6
b7D

2) [] was at the time being handled by SA John Harrington who was then in Chicago and who had worked with [] in New York and was believed by Fisher to be familiar with the informant's entire background.

b6

3) Agent Fisher states that he knew [] had been arrested and fingerprinted when arrested in Chicago in the [] case in April of 1953, and that his fingerprints had been forwarded to the Identification Division. (It should be noted in this connection Agent Harrington was censured as a result of the incident in March, 1954, when the Chicago Office recommended commendation for [] when, in fact, there was information available in the office indicating criminal activity on the part of [])

b6
b7D

RECOMMENDATION OF THE NEW YORK DIVISION

The New York Division has analyzed Agent Fisher's explanation and stated that since it would appear that the Chicago Office could have obtained the desired information since [] was in Chicago and had been contacted by that office between November 6, 1953, through November 20, 1953, and since Chicago should have been in a position to interview [] on and after January 7, 1954, after receiving the New York teletype of January 6, 1954, the New York Division recommends no administrative action against Agent Fisher. New York, however, points out that it would appear under the circumstances that SA Willis Fisher did not attempt to cover this lead as expeditiously as possible.

b6
b7D

RECOMMENDATION OF THE INVESTIGATIVE DIVISION

While the New York Division has pointed out that there are certain mitigating circumstances concerning the handling of this matter by Agent Fisher, it is believed that Agent Fisher was clearly on notice that the informant had

Memorandum to Mr. Rosen

denied to Chicago that he had a criminal record and was clearly on notice that the Chicago Office wanted to get this matter resolved. Fisher was in a position to do this. However, he assumed that Chicago had access to information in their files or could obtain information directly from the informant and, therefore, referred the Chicago Office back to the informant rather than directly responding to the communication. Fisher advised that he knew the informant's real criminal background. He could have resolved this matter and exercised bad judgment in not clearing up the matter by sending the desired information to Chicago immediately. It is, therefore, recommended that Agent Fisher receive a letter of censure.

Handling at the Seat of Government

Supervision of this case has been divided in the sense of time. ASAC A. M. Bryant, Miami Division, was the supervisor at the Bureau on this case until the middle of December, 1953. Thereafter, supervisory responsibility has rested with Supervisor A. A. Staffeld.

RESPONSIBILITY OF A. M. BRYANT

The Chicago letter of 11/5/53 and accompanying copies of the motion and affidavit which requested the New York Office to verify whether [] had a criminal record was initialed for the file by Mr. Bryant. He did not call to the attention of his superiors the problem raised in this letter, but did ask that additional copies of the motion and affidavit be forwarded by the Chicago Office to the Bureau which he transmitted subsequently to the Department by memorandum dated 11/19/53, merely referring to the motion for a new trial and that Judge Barnes had denied this motion. He did not follow up with either Chicago or New York. Bryant, in his explanation, recalls receipt of the Chicago letter but does not recall specifics in it other than Chicago initiated investigation to resolve discrepancies. He stated he recognized the necessity of advising the Department but does not recall if he discussed the matter with his superiors.

b6

RECOMMENDATION OF INVESTIGATIVE DIVISION

Supervisor Bryant had over a month in which to follow this matter (November 6, 1954 to the middle of December when he left for Miami). There is no indication in the file that he followed this matter and consequently it is recommended that he be censured for his failure in this regard.

A. A. STAFFELD

Supervisor Staffeld initialed the teletype of January 6, 1954, from the New York Office to the Chicago Office. He received this teletype approximately three weeks after he replaced Supervisor Bryant and advised he was unfamiliar with the Chicago letter of 11/5/53. Staffeld points out that New York teletype of

Memorandum to Mr. Rosen

1/6/54 advised that [] had been interviewed in Chicago on 11/7/53, two days subsequent to the Chicago letter of 11/5/53. He stated that since no inquiries were received from Chicago subsequent to the interview of 11/7/53, it appeared that the matter had been resolved and that there was no reason to follow the New York teletype of 1/6/54, since the motion on the part of the defense had already been denied.

This matter was not discussed by Mr. Staffeld with his superiors. Subsequent to this development in March, 1954, when the fact was discovered that Chicago had not received a criminal record on [] Staffeld prepared a letter dated April 2, 1954, directing the Chicago Office to advise appropriate personnel in the Office of the U. S. Attorney of such a record. This was done April 29, 1954. Staffeld in his supervision of this case did not specifically ask Chicago to endeavor to have the record cleared by the insertion of [] criminal record. He pointed out that the USA would be precluded from entering any evidence in the trial court action since record became closed when judge denied motion for a new trial and further, after the defendant filed his appeal brief October 29, 1954, copies of which were received at the Bureau, [] criminal record could not be entered as new evidence. He states the Circuit Court of Appeals confines itself to the record of the trial court. While this is borne out by statements of former AUSA [] it would appear that Staffeld should have taken steps to go on record with the U. S. Attorney and/or the Department in an attempt to see what could be done about clarifying the record.

b6
b7D

RECOMMENDATION:

It appears that Supervisor Staffeld did not aggressively follow this matter and accordingly, it is recommended that he be censured.

Handling of Memorandum prepared June 24, 1955, re this matter

The memorandum from the writer to you was prepared 6/24/55 setting forth background and developments in connection with the appeal of [] based in part on the fact that there was a criminal record and other background information which the defense was restricted in bringing out by the curtailment of their cross-examination by the judge in the lower court.

b6

This was the latest of several drafts of a memorandum concerning this, and the initial draft was done by Supervisor C. L. Green, who conversed in detail with the writer. Subsequently, the writer prepared two different drafts. The drafts prepared with the exception of the June 24, 1955, draft were unsatisfactory and not properly planned. This occasioned delay.

RECOMMENDATION:

It is recommended that Supervisor C. L. Green and Number One Man E. H. Winterrowd be censured for their failure to properly follow through and present a satisfactory draft without the delay which has been occasioned.

Memorandum to Mr. Rosen

Confirming Conferences with U. S. Attorneys in Writing

Mr. Boardman has requested the Training and Inspection Division to look into this matter and to draft the necessary instructions for the field offices so that there will be confirmation in writing of the results of conferences with USAs had by Agents. Heretofore, there have been no set regulations in this regard other than to require that when a USA renders an opinion to a Special Agent, this opinion must be confirmed in writing to the USA either in report or letter form.

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-12-2010

July 7, 1955

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

The investigation conducted relative to the [redacted] matter was handled in accordance with the Bureau's highest standards and I want you to know I sincerely appreciate your part in this accomplishment.

I consider your close, personal supervision at the Seat of Government and the effective guidance and direction you furnished the field to have been instrumental in the prompt completion of this matter. It is a pleasure to commend you.

Sincerely yours,

J. Edgar Hoover

b6

RECEIVED READING ROOM
FBI
JUL 7 3 21 PM '55

LRH: ilw
(9)

RECORDED-146

67-27-1-360

Based on memo Rosen to Boardman 7/5/55, AR:WV.

Salutation per Reading Room.

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Belmont _____
Harbo _____
Mohr _____
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Rosen _____
Tamm _____
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Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

53 JUL 21 1955

MAILED 8
JUL - 8 1955
COMM-FBI

[Handwritten signatures and stamps]

CC-5a

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

7-5-55

I certify that I have received the following Government property for official use:
~~returned~~

DISTRICT OF COLUMBIA OFFICIAL PARKING PERMIT

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MULTILATE IT IN ANY WAY.

7 JUL 14 1955

Very truly yours,

A. Rosen
A. Rosen

July 11, 1955

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have noted with deep concern the fact that you and personnel under your supervision failed to participate in scheduled outdoor firearms periods as required by Bureau regulations. Undoubtedly you are aware that each investigative employee is expected to participate in four outdoor firearms periods during each fiscal year, two in the first half of the fiscal year and two in the latter half. Your failure to engage fully in this program and your neglect to see to it that such personnel under your supervision participated in this training program in accordance with Bureau regulations is a reflection on your administration of the Investigative Division.

Accordingly, I shall expect you to engage fully in this program in the future and to insist that your subordinates also comply with Bureau regulations in this regard.

Very truly yours,

J. Edgar Hoover
John Edgar Hoover
Director

COMM - FBI

JUL 12 1955

MAILED 20

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Holloman _____
Gandy _____

DCM:klm
(4)

CC: Mr. Boardman (Personal Attention)

Based on memorandum from Mr. Tolson to the Director, dated 7/7/55,
CT:dss.

RECORDED - 143

53 JUL 20 1955

RECEIVED READING ROOM
JUL 12 4 18 PM '55
FBI

HS per 11/8/54

67-27215-361

JUL 18 1955

September 6, 1955

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

b6

I have recently noted that you failed to recommend appropriate administrative action for employees in your division who were responsible for the inefficient handling of an important matter pertaining to the Security of Government Employees case involving [redacted]

[redacted] It is apparent on the basis of the facts developed that you did not properly analyze this situation. It is noted that material regarding one [redacted] was received at the Bureau at which time an agent supervisor in your division took the necessary action to advise the Department and to institute this Security of Government Employees investigation. However, this material clearly indicated that the Los Angeles Office had delayed in disseminating this information and the agent supervisor failed to prepare a communication requesting explanations from employees responsible for this delay in that office.

It should have been obvious to you that the employees in your division who handled and approved this matter were seriously at fault in failing to take all necessary action simultaneously. Hereafter, I shall expect you as Assistant Director in charge of the Investigative Division to exercise better judgment in such matters and to discharge your responsibilities in a more efficient manner.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

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Gandy _____

NEM:ncw
(3)

RECORDED-138

Based on memo Harbo to Mr. Tolson 8/26/55; EDM:jla

SEP 20 1955

RECEIVED
FBI ROOM
PM 5:30

27-215-362

copy with
9-20-55

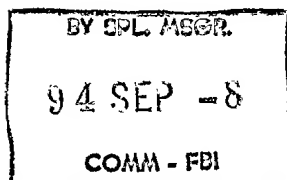
September 7, 1955

Mr. Alex Rosen
Georgetown University Hospital
33th and Reservoir Road, Northwest
Washington, D. C.

Dear Al:

I am sorry that you are still confined to the hospital undergoing tests, and I trust your physician will soon determine the cause of the trouble you are having. Please follow his instructions closely and don't hurry back to the office until he is certain that you should return.

Let us know if we can be of any assistance.



Sincerely,

J. Edgar Hoover

RECEIVED READING ROOM
SEP 7 11 46 AM '55

RKW/obd/HWG/rff

(4) Mr. Rosen has been on sick leave since 8-30-55 and is in Georgetown University Hospital where he is undergoing tests. ~~He may be released to his~~

Letter to be delivered to Mr. Rosen by Courier Service.

There are no additional details now available relating to Mr. Rosen's condition and the above letter has been prepared at this time in accordance with Mr. Holloman's instructions.

20

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Gandy _____

74 SEP 12 1955

Mr. Tolson

8/24/55

R. T. Harbo

b6

[REDACTED]
CHIEF, EMPLOYEES SECURITY SECTION
(INVESTIGATIVE DIVISION)
DOD Typist 6/24/55; Agent 6/26/55
GS-15
WVETERAN

/s/ [REDACTED]
PRESIDENT & DIRECTOR

BACKGROUND:

b6

New York by letter to Bureau 8/10/55 made reference to a letter from Los Angeles 7/18/55, captioned Communist Party - USA Underground Operations - Internal Security - C. New York letter revealed that Los Angeles on 1/10/55, learned from an address book in the possession of [REDACTED] who was involved in the Communist Underground, that the name [REDACTED] of New York was mentioned in the address book. New York was not advised until Los Angeles letter 7/18/55. New York advised in its letter of 8/10/55, that Assistant U. S. Attorney [REDACTED] Eastern District of New York, [REDACTED] listed as [REDACTED] and that this information was furnished to the Bureau in connection with the Security of Government Employees Program.

b6

SPECIFIC CHARGE AGAINST MR. STANLEY:

An incoming letter 8/10/55 from New York advised that Los Angeles received information 1/10/55 that the name [REDACTED] was in the possession of a person allegedly associated with the Communist Underground in Los Angeles but that the name of [REDACTED] was not disseminated to New York until 7/18/55.

Supervisor [REDACTED] of Employees Security Section prepared notification to Deputy Attorney General that Victor Sales might be the brother of Assistant U. S. Attorney, Eastern District of New York; [REDACTED] prepared instructions to field to commence Security of Government Employees investigation; active date 8/16/55. No action to secure explanations and recommendations for administrative action from Los Angeles Office was taken.

Enclosure

cc - Mr. Boardman

Mr. Mohr

SP-1: jla

(6)

SEP 15 1955

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Boardman _____
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Belmont _____
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Gandy _____

Memorandum to Mr. Tolson

b6

Mr. Stanley, by memorandum 8/17/58, stated that Supervisor [] expeditiously handled his assignment and Supervisor J. A. Reilly, who initialed outgoing communication to Deputy Attorney General and field without insisting that explanations be obtained from Los Angeles, acted promptly. It was contemplated that upon return of the yellow of the communication to the Deputy Attorney General which would be attached to the original incoming of 8/19/58 from New York explanations could then be obtained from the Los Angeles Office.

Mr. Stanley recommended no administrative action be taken concerning [] and Reilly. Assistant Director Rosen agreed.

b6

EVALUATION:

The request for explanation and recommendations from Los Angeles could have been dictated in 3 sentences. It is poor business to defer such a matter until a later date resulting in additional routing of documents at the Seat of Government. Messrs. Stanley and Rosen need to tighten up on their evaluation as to when matters are handled properly.

RECOMMENDATIONS:

(1) Section Chief Stanley....Censure.

(2) Assistant Director Rosen....Censure.

EXPLANATION FROM OF OFFICE OF STANLEY ATTACHED.

TO : Mr. Tolson

8/3/55

FROM : R. T. Harbo

SUBJECT : FIREARMS TRAINING
FOR INVESTIGATIVE PERSONNEL
AT SEAT OF GOVERNMENT

My memorandum to you dated 7/6/55 set forth the identity of the Bureau officials and supervisors who were delinquent in firearms training for the 1955 fiscal year. There were enclosed memoranda from the Director to Mr. Boardman and the heads of the Seat of Government Divisions in which firearms delinquencies were then existing. They were instructed that all delinquencies were to be removed by 7/28/55 unless meritorious circumstances existed in which event an explanation should be submitted with advice as to when the delinquency would be removed. They were further informed the Training and Inspection Division would report any delinquencies not made up by 7/28/55 with appropriate recommendations for administrative action.

It is pointed out that all Bureau officials and supervisors were notified that the scheduled firearms training period for July 1955 would be held on July 5, 6, 7, 8, 18, 19, 20, 21, 25, 26, 27, 28. Based on instructions in your memorandum to the Director dated 7/7/55 my memorandum to you dated 7/8/55 with copies to Messrs. Boardman, Nichols, and all Assistant Directors was prepared instructing the Bureau officials to submit to the Training and Inspection Division by close of business 7/11/55 number of individuals who already fired at one of July firearms sessions; identity of each investigative employee to attend remaining shoots and date on which he would attend; identity of any employee not scheduled to shoot during July; the reason therefore and the identity of all employees then delinquent in any phase of firearms training. They were further advised persons scheduled for firearms training could not and would not be excused by the Training and Inspection Division; that authority to miss firearms would be granted only by the Associate Director or the Director.

The following individuals are delinquent in firearms training for the Fiscal year 1955. The number of shoots they are delinquent, the reason why they did not remove their delinquency in July 1955 and the date on which they will now shoot their make-up are shown. A make-up period has been scheduled for August 8, 9, 10 and 11, 1955.

A. H. Belmont; 2 shoots; on annual leave 7/11 to 8/4/55; will shoot 8/8 and 8/9/55.

cc: Belmont
Mohr
Nichols
Rosen
Tamm

Memo to Mr. Tolson 8/16/55GCES:ok

GCG:rlc
(9) 55 SEP 7 1955

Original filed in 67-518854-393

F. J. Baumgardner (Dom. Intell.); 1 shoot; suffering with bursitis; if possible, will shoot 8/8/55.
 A. Rosen; 2 shoots; suffering with virus infection; will shoot 8/10 and 11/55.
 L. B. Nichols; 4 shoots; excused by Mr. Tolson; will shoot when pressure of business permits.
 N. P. Callahan (Admin.); 1 shoot; on annual leave 7/11 through 7/29/55 (Mr. Mohr was on leave 6/20 through 7/8/55 and Mr. Callahan unable to shoot during this period); will shoot make-up 8/8/55.
 John J. McGuire (Rec. and Comm.); 1 shoot; on annual leave 7/11 through 7/29/55; will shoot make-up 8/8/55.
 Howard P. Winter (Dom. Intell.); 2 shoots; on extended sick leave; unknown when he will return to work.

In addition to removing their fiscal 1955 delinquencies, the above individuals will also do their July 1955 shooting on the dates indicated.

The following individuals, although having no delinquencies for 1955 fiscal year, missed the July 1955 shoot for the reason indicated and will shoot on the dates shown:

Identification Division:

Q. Tamm; on annual leave 7/11 through 7/29/55 (unable to shoot prior to his leave as #1 Man Trotter was on leave); will shoot 8/9/55.

Training and Inspection Division:

Bernard C. Brown; on annual leave 6/27 through 7/22/55 and departed for inspection of Norfolk 7/24/55; will shoot 8/11/55.

Gordon A. Nease; excused by Mr. Tolson; will shoot 8/9/55.

J. S. Rogers; on annual leave 7/7 through 7/29/55; will shoot 8/9/55.

Domestic Intelligence Division:

M. W. Kuhrtz; out of town on special 7/11 through 7/14/55, on annual leave 7/15 through 7/29/55; will shoot 8/11/55.

H. H. Wallace; on annual leave 7/11 through 7/29/55; will shoot 8/8/55.

Investigative Division:

John P. Mull; annual leave 7/5 through 7/29/55; will shoot 8/10/55.

F. L. Price; on annual leave 7/18 through 8/5/55; will shoot 8/9/55.

M. J. Smith; on annual leave 7/18 through 7/29/55; will shoot 8/9/55.

F. A. Stanton; was scheduled 7/28/55, was granted emergency annual leave; will shoot 8/9/55.

D. H. Young; was excused by Mr. Tolson until next scheduled period; will shoot 8/8/55.

ACTION:

The Training and Inspection Division will secure explanations and recommend appropriate action in the event anyone misses the scheduled make-ups. (Aug 8-11)

CC-5a

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

7-20-55

I certify that I have received the following Government property for official use:
~~returned~~

TIME AND ATTENDANCE MANUAL # 276

RETURNED

MANUAL OF LEAVE REGULATIONS # 253 ✓

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MULTILATE IT IN ANY WAY. 75

FILE

3-M

PER pt

Very truly yours,
[Signature]
Mr. Rosen

Office Memorandum • UNITED STATES GOVERNMENT

TO : DIRECTOR

DATE: 7/7/55

FROM : CLYDE TOLSON

SUBJECT:

Tolson ✓
Boardman
Nichols
Belmont
Rosen
Tamm
Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

TRAINING

The attached memorandum concerning the failure of employees at the Seat of Government to take the necessary firearms training is a shocking example of the disregard of officials at the Seat of Government to carry out Bureau instructions. L. V. B. and Boardman

It is recommended that a strong letter of censure be directed to each Assistant Director who has personnel under his supervision who have failed to take the necessary firearms training during the past six months. J. P. X. L. B. W. Nichols

It is further recommended that Mr. Harbo set up a schedule immediately listing all officials and supervisors at the Seat of Government for firearms training covering the next six months' period. I believe that any employee so scheduled should not be excused from appearing for firearms training when scheduled unless you or I specifically approve the exception. A. H. V. Belmont

It is obvious that Mr. Harbo has done nothing during the six months' period to follow up the various Supervisors at the Seat of Government to see to it that they secured the required training. I have told Mr. Mason, in Mr. Harbo's absence, that he is going to be held strictly accountable for any delinquencies which occur in the future and that he must set up the necessary schedules now for the next six months' period and not wait until the very end of the six months' period. L. A. X. Rosen R. T. X. Harbo

CT:DSS

Harbo should be
strongly censured
for failing to
properly supervise
this project FBI

RECORDED

JUL 11 1955

JUL 11 1955

I certainly agree
with this project
and will be
responsible for
it.

Mr. Rosen

7/2/55

Director, ~~FBI~~

Investigative Division
FIREARMS DELINQUENCIES

The following personnel of your Division are delinquent in firearms training for the first half of the calendar year 1955:

A. ~~Rosen~~, delinquent April and June shoots
W. E. ~~Assmus~~, delinquent June shoot
C. F. ~~Brown~~, delinquent June shoot
J. J. ~~Coney~~, delinquent June shoot
R. H. ~~Egan~~, delinquent June shoot
C. A. ~~Evans~~, delinquent April and June shoots
W. A. ~~Hamilton~~, delinquent June shoot
B. D. ~~Harren~~, delinquent June shoot
C. L. ~~McGowan~~, delinquent June shoot
A. J. ~~McGrath~~, delinquent 4 shoots, fiscal year 1955
E. H. ~~Winterrowd~~, delinquent June shoot
F. L. ~~Price~~, delinquent June shoot.

This is an undesirable situation, and I want all firearms delinquencies removed by the close of business July 28, 1955, unless meritorious circumstances exist, in which event you shall submit an explanation and advise when the delinquency will be removed.

The Training and Inspection Division is under instructions to report firearms delinquencies for fiscal year 1955 which have not been made up by the close of business July 28, 1955, and to submit to me appropriate recommendations for administrative action.
JSR:bao;atp;EDM:dmg

(3)

RECORDED-146

10005-1553

(Based on memo R. O. Harbo to Mr. Tolson, 7/5/55, re FIREARMS TRAINING, BUREAU OFFICIALS AND SUPERVISORS; JSR:ATP)
(Based on cover memo R. O. Harbo to Mr. Tolson, 7/6/55, re FIREARMS TRAINING for Investigative Personnel at SOG) EDM:DM

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

COMM - FBI
JUL 7 1955
MAILED 19

53 JUL 21 1955

JUL 15 1955

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-12-2010

~~CONFIDENTIAL~~

DEPT. OF JUSTICE

I am writing at this time to express my appreciation and recognition for the splendid record made by the Production Division in exceeding the quota on the output of the 1944-45 air employees consolidated chart. First of all, I am confident this remarkable record could not have been achieved without the strong leadership directed by you, as well as the extra hours each shift exhibited by our divisional representatives on the working Committee, the Union and all other personnel who have participated. Please convey my personal appreciation to these employees for their willingness to assist in this campaign.

The solicitation period will continue until September 30, 1968, and during the remainder of the drive we ask all earnestly strive for "100 per cent employee participation" in order that our record of last year may be equalled. In advising the leaders of my appreciation for their excellent services, please urge them to concentrate on this goal in order that every employee may have the opportunity of participating in this month-long endeavor.

With the remainder of the strike I shall closely observe our further accomplishments in the hope that "100 per cent employee participation" will be attained.

Sincerely yours,

cc: Mr. Bannon
Mr. C. L. Rogers

EJI:pl (5)

EJI:pl (5)
Based on memo from H. L. Edwards to Mr. Mohr dated 9-14-55
HLE:qfh

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SEP 21 1955

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CEH
Hushley
September 15, 1955

MEMORANDUM FOR MR. TOLSON

On September 12, 1955, I saw Assistant Director Al Rosen, who had returned from having some physical examinations made at the Georgetown University Hospital. Mr. Rosen stated that a very thorough examination had been made of him and that all that was found to be wrong was a stomach condition diagnosed as spastic colon, which with proper medication could be controlled. Mr. Rosen wanted me to know he felt equal to carrying on his duties as Assistant Director and wanted also to express his appreciation for the consideration which the Bureau had extended to him.

Very truly yours,

John Edgar Hoover
Director

JEH:eff (4)

RECORDED 145

7-2-5-364

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TIME	_____
DATE	_____
BY	_____

74 SEP 26 1955

Copy-26

TO :Mr. Tolson

DATE: 8/26/55

FROM :R. T. Harbo

SUBJECT:COMMUNIST PARTY - USA UNDERGROUND OPERATIONS
INTERNAL SECURITY - C
LOS ANGELES DIVISION

LA Times

This memorandum relates to the mishandling of information concerning Communist Underground by Los Angeles Office and inadequate stenographic controls. Individual summaries have been prepared for the files of each employee concerned but at the specific request of Mr. L. B. Nichols this comprehensive summary shows the recommendations for administrative action.

(Investigative Div.)

BACKGROUND:

b6

A highly confidential source in Los Angeles (CS LA 3878-S) 1/10/55 furnished photographic film of documents in the possession of [redacted] member of Communist Party Underground since 1950. Numerous names and addresses in an address book belonging to [redacted] were provided. Sixty documents photographed. Photography developed 1/26/55; delay due to volume of Photo-Lab work in Los Angeles; material identified as relating to Communist Party checked through indices and furnished to Bureau and other interested offices 2/7/55. No record of [redacted] in Los Angeles Office; [redacted] mentioned in address book; letter sent to New York and nine other offices by Los Angeles 7/18/55, furnishing names from [redacted] address book. According to New York Office [redacted] is apparently [redacted]; FBI Departmental Applicant investigation completed 1/4/55, and disseminated to Department 1/6/55; Security of Government Employees investigation on Assistant U. S. Attorney [redacted] now in progress.

Correct Spelling one T per SGE Section
nem 9/6

cc - Messrs. Boardman
Nichols
Mohr

EDM:jla
(6)

ORIGINAL FILED IN 67-153-2844

64 SEP 23 1955

Memorandum to Mr. Tolson

Mr. Boardman requested Inspector Van Pelt at Los Angeles during inspection to find out why it took Los Angeles from January 10 to July 18 to disseminate the information to other offices. Facts show that during the period 10/1/54 to 3/31/55, approximately 20,000 underground photographs were obtained, developed, searched, analyzed and slated for dissemination and it was not until curtailment of highly confidential source contacts 5/10/55, that Los Angeles put enough experienced personnel on the work to clean up the backlog.

9-6-55

b6

Lets of Censure

to [] [] Donovan,
Nolan, Gearty, Reilly, Stanley,
Rosen & Scatterday

Nem:new

In this instance letter to New York listing [] was dictated 6/17/55 in the Los Angeles Office; remained on stenographer's book until 7/18/55, in violation of 5-day dictation rule without notification to Bureau. Dissemination of underground material and stenographic work now both current.

RECOMMENDATIONS

(In each instance recommendations are subscribed to by Messrs. Mason, Harbo, Boardman, Mohr, Nease, Nichols and Holloman unless otherwise indicated. Wherever employee is a veteran such is indicated and in no instance can a veteran be suspended for more than 30 days, be involuntarily reduced in grade or separated from the service without the required advance written notice.)

1. [] Stenographer, GS-4,
Los Angeles Office.....No Action.

b6

[] was one of three girls assigned to take dictation concerning underground operations and received dictation 6/17/55 not transcribed until 7/18/55 because she was given other matters to work on; not submitting daily stenographic reports because so instructed by supervising stenographer and, therefore, no control existed over length of time dictation remained on her books; earned 33 hours compensatory leave between 6/17/55 and 7/18/55 but compensatory leave not taken.) - 2 -

Memorandum to Mr. Tolson

I Recomend Censure She Knows
5-day Rule And Should Have
Complained About Delinquent
Work. LBN

I AGREE Yes. H.
FH 8/26

b6

2. [redacted] Stenographic
Supervisor, GS-7, Los Angeles Office.....Censure
and Probation.

OK. H.

b6

(Messrs. Mason, Harbo, Boardman and Holloman agree--
Messrs. Mohr, Nease and Nichols recommend censure only.)
(Offense: Dictation intended for New York and other
offices remained on books of steno 6/17/55 to 7/18/55;
[redacted] had supervision over steno; steno not submitting
daily stenographic reports and [redacted] should have seen
these were properly submitted even though this was
a long-time practice in Los Angeles for girls handling
underground work; neither ASAC nor SAC was advised of
the delay in transcription.)

Commended 8/1/55 for efficient direction of steno pool
and services to Security Squad; appointed steno super-
visor 10/4/54.

/ In Connection With
Security Index
Program

3. Vernon D. Jensen, Special Agent, GS-13,
Los Angeles Office.....Not be used as an Inspector
Aide in future.

OK. H.

(Dictated letter to New York 6/17/55 not transcribed
until 7/18/55 but responsibility for delay rested with
steno supervisor; Jensen dictated within 4 days after
the matter was assigned to him even though there was
considerable volume; during interview with Inspector
Van Pelt, Jensen expressed preference for investigative
operations and no desire to advance along administrative
lines; in past you have authorized removal of Aides who
do not wish to advance administratively.) July over-
time one hour, fifty minutes; June two hours, twenty-
seven minutes; May three hours; no desire to advance
administratively.

Copy-26

Memorandum to Mr. Tolson

4. Timothy L. Donovan, Special Agent, GS-12,
Los Angeles Office -- Veteran.....Strong Censure.
Yes. H.

(Material obtained from highly confidential source 1/10/55 photographically processed 1/26/55; assigned to Donovan for dissemination until 6/13/55 but action to disseminate not taken by Donovan who claimed heavy pressure of work and high volume involving thousands of photographs re underground work to be processed during pertinent period. Inspector found there was truly a high volume -- Donovan's overtime - March, 1 hour 31 minutes; April, 1 hour 37 minutes; May, 1 hour 35 minutes; June, 1 hour 45 minutes - was not excessive.) Commended 8/2/54 for installation of confidential device; commended 7/16/53 for valuable information obtained in confidential techniques.

I Also Recomend Probation
LBN

I agree FH 8-26

YES. H.

5. [] Special Agent, GS-13, Los
Los Angeles Office.....Not be used as Inspector Aide in
future.

Yes. H.

[] has responsibility for handling the Communist Party Underground case but has not responsibility to disseminate results from highly confidential sources; therefore cannot be charged with delay in the dissemination of [] material; when interviewed by Van Pelt during inspection expressed desire to not advance along administrative lines, and in past we have removed Inspector Aides who do not wish to advance.)
Overtime approximates two hours; commended for excellent chart on Communists 6/3/54.

6. William John Nolan, Supervisor, GS-13,
Los Angeles Office -- Veteran.....Censure, Probation,
Removal from supervisory duties without change in GS-13
grade.

Yes. H.

Memorandum to Mr. Tolson

b6

(Too many delays in channelizing matters from informants to files detected during inspection: unreasonable delay in disseminating information concerning [redacted] in file reviews by supervisors under Nolan's general supervision -- he is coordinating supervisor -- they were not sufficiently thorough; Inspector Van Pelt reported Nolan not too amenable to admitting weaknesses at outset of inspection and it was necessary to return 4 write-ups to him for further explanations in order to pin point weaknesses and this delayed inspection but toward the end of the inspection Nolan's attitude changed and he was cooperative and Van Pelt, although giving full consideration to removing Nolan as supervisor, felt Nolan should be given another change particularly in view of strong endorsement by SAC and ASAC and because of Nolan's attempt during the latter part of the inspection to correct weaknesses and because of fine job done by Nolan in the summary report program and skillful handling of 4200 letters to the Bureau regarding Security Index subjects as well as commendation by the Director in the latter project. As supervisor, Nolan was in a position to have prevented the delay in dissemination of [redacted] data.)

Overtime July two hours, thirty-four minutes; June one hour, thirty-one minutes; May two hours, six minutes; April one hour, forty-two minutes; censured 4/15/55 for inadequate instructions to personnel.

7. Thomas J. Gearty, ASAC, Los Angeles, but under transfer to Louisville as SAC.....Censure.
Yes H.

(Gearty is responsible for supervising the supervising steno and was not aware that there was transcription delay of more than 5 days; did not take steps to see that the 3 stenographers taking underground dictation submitted daily reports showing oldest work on hand so that Bureau could be notified and felt that the transcription rule applied to regular dictation rather than to dictation concerning underground. Inspector observed that Gearty's services have been eminently satisfactory and that he continues to be deserving of advancement.)

July overtime 5 hours, 46 minutes, and overtime in recent months runs from 3 to a little over 4 hours daily.

8. SAC Malone.....No action offered herein inasmuch as separate memorandum has been sent to Director concerning results of Los Angeles inspection and an additional memorandum recommending censure and probation for weaknesses in the [redacted] [redacted] matter and in the [redacted] matter.

b6

OK H.

Memorandum to Mr. Tolson

b6

9. [redacted] Supervisor, GS-14, Investigative Division.....Censure and Transfer to the field with accompanying reduction from GS-14 to GS-13. (A Separate memorandum from Harbo to Tolson dated 8/23 containing recommendations on [redacted] has already been sent to the Director - therefore no action should be taken as to this recommendation since a similar recommendation was contained in above-mentioned memorandum.)
OK. H.

(Received letter from New York Office 8/10/55 advising that [redacted] might be [redacted]

b6

[redacted] and revealing that Los Angeles received the name [redacted] 1/10/55 but not disseminated until 7/18/55. Upon receipt at the Seat of Government, [redacted] properly prepared memo to Deputy Attorney General and ordered Security of Government Employees investigation but neglected to simultaneously demand explanations from Los Angeles Office; [redacted] stated that he had not initialed the mail for final filing and that it would be returned to his section and he then intended to secure explanations from Los Angeles; however, Inspector observes that a 2-sentence letter to Los Angeles would have been adequate to obtain explanations and no considerable delay in getting the memo to the Deputy Attorney General would have been encountered if Los Angeles explanations had been requested at the outset.)

10. [redacted] Supervisor, GS-14, Investigative Division.....Censure.
Yes. H.

b6

(Permitted Seat of Government Supervisor [redacted] to prepare memorandum to Deputy Attorney General and to order field investigation under caption, Security of Government Employees and relating to Assistant U. S. Attorney, Eastern District of New York, without simultaneously preparing communication to Los Angeles Office requesting explanation for delay by Los Angeles (1/10/55 - 7/18/55) in disseminating information concerning [redacted]; Reilly initialed the pertinent communication for Section Chief Stanley and Assistant Director Rosen and expresses the view that explanations would have been requested from Los Angeles later and did not want to delay communication to Deputy Attorney General while preparing request for explanation to Los Angeles; this is poor business and involves extra routing and handling at Seat of Government.)

b6

July overtime 1 hour, 44 minutes; June - 1 hour, 45 minutes; May - 2 hours, 8 minutes; recommended for advancement; commended 10/26/54 for extra work.

Memorandum to Mr. Tolson

11. Charles H. Stanley, Chief, Employees Security Section, Investigative Division, GS-15.....Censure.
OK. H.

b6

(New York communicated with Bureau 8/10/55 to effect that [redacted] might be [redacted] Supervisor [redacted] of Stanley's Section did not promptly request explanations from Los Angeles concerning delay there in disseminating to New York information obtained from underground regarding [redacted] and the delay encompassed 1/10/55 to 7/18/55; Supervisor [redacted] of Stanley's section did not insist that immediate explanations be obtained from Los Angeles and thus extra handling was required at the Seat of Government; Stanley recommended no administrative action concerning Supervisors [redacted] and [redacted]; Stanley needs to tighten up his evaluation as to when matters are handled properly.)
Censured in [redacted] case 8/11/55; censured 4/28/55 in the [redacted] case; censured 4/5/55 for delay. Received 20-year key 6/24/55. Numerous commendations and other censures; received awards in 1945 and 1949 for outstanding work. Overtime runs 4 or 5 or more hours daily.

12. Assistant Director A. Rosen.....Censure.
Yes. H.

(For the identical reasons cited above as to Section Chief Stanley.)

13. George H. Scatterday, GS-15, Mr. Boardman's Office.....Censure.
Yes. H.

b6

(For not having insisted that explanations be obtained immediately from Los Angeles concerning delay there at the time that Scatterday initialed communication to Deputy Attorney General advising of institution of Security of Government Employees case on [redacted] who might be [redacted] Scatterday felt that Los Angeles explanations could be obtained later; we evaluate this as poor management.)
Censured 6 times thus far in 1955; overtime running 4 or 5 or more hours daily; regarded by Boardman as capable of performing as SAC.
JPM 8/26

October 16, 1955

PERSONAL

Hept 15

Mr. Al Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

Today is another anniversary for you -- your twenty-second year with the FBI -- and I could not let such an occasion pass without extending my congratulations and best wishes. I have taken great pride in the contributions you have made to the growth of the Bureau these many years, as I know you have, and I am looking forward to having the benefit of your services for many more.

Sincerely,

J. Edgar Hoover

67-2215-365
SEARCHED _____
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OCT 18 1955
FBI - WASH. D.C.

RECEIVED

SENT FROM D. O.
TIME 9:00 AM
DATE 10-17-55
BY WJH

EX-100

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JEH:eh

Best Copy Available

October 11, 1955

Mr. Joseph E. Walsh
Assistant to the Deputy Attorney General
United States Department of Justice
Washington, D. C.

Dear Mr. Walsh:

Thank you for your thoughtful letter of
October 10, 1955, concerning the recent United States
Attorneys Conference and your letter of October 11,
1955, regarding the report of the FBI for Fiscal
Year 1955.

I am assured that as in the past enjoyed
participating at the time of the Conference. I am
gratified that Mr. L. A. Tolson, Mr. J. Nichols, Alex
Rosen, Mr. E. E. Dearty, and Mr. J. Moran of your gener-
ous return.

I am indeed pleased that you have found
our report for the Fiscal Year 1955 both interesting
and instructive.

Sincerely yours,

cc - Personnel File of Mr. Tolson, with copy of incoming-10/10/55
cc - Personnel File of Mr. Nichols, with copy of incoming-10/10/55
✓ cc - Personnel File of Mr. Rosen, with copy of incoming-10/10/55
cc - Personnel File of Mr. Dearty, with copy of incoming-10/10/55
cc - Personnel File of Mr. Moran, with copy of incoming-10/10/55
cc - Mr. Tolson, with copy of incoming - 10/10/55
cc - Mr. Nichols, with copy of incoming - 10/10/55
cc - Mr. Rosen, with copy of incoming - 10/10/55
cc - Mr. Dearty, with copy of incoming - 10/10/55
cc - Mr. Moran, with copy of incoming - 10/10/55

OCT 12 1955

RECEIVED
OCT 12 1955
U.S. DEPT. OF JUSTICE

UNITED STATES DEPARTMENT OF JUSTICE

OFFICE OF THE DEPUTY ATTORNEY GENERAL

WASHINGTON, D. C.

October 10, 1955

Mr. J. Edgar Hoover
Director
Federal Bureau of Investigation
Department of Justice
Washington, D. C.

Dear Mr. Hoover:

I want you to know how much I appreciated the very fine help that was given to us by the FBI during our United States Attorneys Conference last week. In particular, I would like to single out Mr. Tolson, Mr. Nichols, Mr. Rosen, Mr. Gearty and Mr. Moran.

I enjoyed seeing you at the Reception but I was sorry that we could not have had a little more of a visit.

Very best wishes.

Sincerely,



Joseph H. Lesh
Assistant to the Deputy Attorney General

[Handwritten signature]

October 20, 1955

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

b6

I am writing you at this time to express through you my appreciation to Special Agents John J. Coneys and Dillard W. Howell for the excellent manner in which they handled the matter pertaining to the investigation of the Bank Robbery case involving [redacted]
[redacted]

b6

These agents exercised excellent judgment upon receiving the information from the Albuquerque Division that [redacted] had been slain and in thereafter taking prompt action to insure that this matter was properly handled. Please advise them their services were most commendable.

Sincerely yours,

J. Edgar Hoover

LRH:jsf
(3)

07-21212 366

Based on Albuquerque teletype 10/18/55;
Addendum Investigative Division.

Also based on memo H.L. Edwards to Mr. Mohr
10-19-55. CRD:klm,lac

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OCT 20 1955
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FBI
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CP-2

10/27/55

TO: THE DIRECTOR -

FROM: A. ROSEN *R*

Mr. Tolson	✓
Mr. Boardman	✓
Mr. Nichols	✓
Mr. Belmont	✓
Mr. Harbo	✓
Mr. Mohr	✓
Mr. Parsons	✓
Mr. Rosen	✓
Mr. Tamm	✓
Mr. Sizoo	✓
Mr. Winterrowd	✓
Mr. Nease	✓
Mr. Holloman	✓
Miss Gandy	✓

J. J.
Mr. Daunt, who is our Liaison representative with the Treasury Department, has been in close touch with Deputy Commissioner *D. C.* O. Gordon *Delk*, Internal Revenue Service, who is former Commissioner Andrew's protege. He advised me that during a conversation with Mr. Delk, he, Delk, mentioned that he had heard that I would be eligible for retirement in the near future and he was wondering as to whether Mr. Daunt had heard of my future plans as he was anxious to know whether I would be available to fill the position of Assistant Commissioner for Inspection of the Internal Revenue Service.

Mr. Daunt took the position that he had been in close touch with me and he assured Mr. Delk that in view of his frequent contact with Mr. Rosen he knew he had no intention of retiring from the Bureau. When Mr. Daunt advised me of this, I told Mr. Daunt that he was absolutely right in maintaining the position which he did and scotching such talk at the very start. I believe Mr. Daunt handled this in a most commendable fashion.

AR:LS

ack 11-2-55 Len 8 EN 104

67-17317-367
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INDEXED.....
FILED.....
OCT 27 1955
FBI - NEW YORK

76
53 NOV 22 1955

PPH
CLASSIFYING

November 2, 1955

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I got quite a kick out of your note on the proposition which was conveyed to you to be Assistant Commissioner for Inspection of the Internal Revenue Service.

I can well appreciate the motivation extending you this offer in view of the many sessions you have had with Internal Revenue officials.

Naturally, I was glad to know of your decision to remain in your present post.

I quite agree with you that the Liaison agent handled the matter in a commendable fashion.

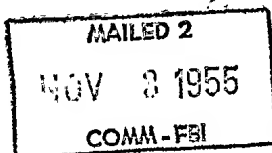
With best wishes and kind regards,

Sincerely,

J. Edgar Hoover

LBN:gjm
(3)

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53 NOV 29 1955

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DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-12-2010

November 17, 1955

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I want to express to you and your entire Division my personal appreciation for the very creditable record you attained during the work week beginning November 7, 1955, in having no mail returned for the correction of errors.

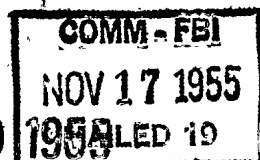
It is clear you are fully aware of the importance of striving to eliminate mistakes in the preparation of Bureau correspondence and have impressed the agents and clerical employees under your supervision with the need for care and accuracy. I am happy to commend all of you and wish to express the hope that this notable performance will be continued.

Sincerely yours,
J. Edgar Hoover

MOL:njc
(3) njc

Based on memo Nichols to Tolson, 11/14/55, LDW.

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53 NOV 30 1955

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11-30-55 (V.O.)

RECEIVED IN 11/17/55 11:00 AM

369

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-12-2010

January 12, 1956

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am writing at this time to express
my gratification to you for your able direction
of the Brink's Robbery case.

It should be a source of pride to you,
as it is to me, to have solved this prolonged
and difficult case. I do not want the occasion
to pass without expressing to you, and through
you to the personnel who worked so assiduously
on this case, my sincere appreciation and per-
sonal commendation.

Sincerely yours,

LRH:js
(3)

Based on memo Edwards to Mohr 1/12/56 NEM:klm.

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53 JAN 18 1956

6:00 PM
1-12-56

370

23 JAN 18 1956

TO: MR. TOLSON

DATE: 10/11/55

FROM: MR. E. D. MASON

SUBJECT: FIREARMS TRAINING

The second outdoor firearms training program at Quantico for the first half of fiscal year 1956 has now been completed. All of the officials and supervisors at the Seat of Government participated in this training with the following listed exceptions for the reasons indicated:

Identification Division -

G. Hottel - has submitted his request for retirement

Records and Communications Division -

Mr. Nichols - You excused because of official commitments

I would like to do soon as possible. LBN

C. D. DeLoach - You excused due to out of town commitment

R. E. Wick - You excused because of pressure of work in Records and Communications Division

[] - Due for In-Service 10/24 '55, will shoot at that time

b6

Domestic Intelligence Division -

W. C. Sullivan - Excused due to respiratory infection

E. S. Youtz - On emergency annual leave due to death in family

Investigative Division -

Mr. Rosen - Attending U. S. Attorneys' Conference, to shoot Thursday, 10/13/55.

F. A. Stanton - On emergency annual leave since 10/3/55 due to emergency operation of wife

M. L. Innes - Began administrative firearms training 10/10/55, will shoot during this period

Laboratory -

E. R. Donaldson - On extended sick leave

J. V. Blaine - Excused as under doctor's care with severe pain in lower region of back

J. T. Freeman - On special field assignment, will shoot on return to Bureau

October 13, 18, and 19 have been set aside for make-up periods for those individuals who are delinquent. The Divisions in which delinquencies exist have been alerted.

Keep after this 10/12/ TOLSON

ACTION: The firearms training program will continue to be followed closely to insure all delinquencies are removed.

cc; Messrs. Nichols, Belmont, Parsons, Rosen,

GCG:ATP

(7)

ORIGINAL FILED IN 67-518854-413

DEC 1

Office Memorandum • UNITED STATES GOVERNMENT

TO :

MR. TOLSON *V. per*

DATE:

3/2/56

FROM :

M E. D. MASON

SUBJECT:

A. ROSEN
 ASSISTANT DIRECTOR, INVESTIGATIVE DIVISION
 GS-17, NONVETERAN, NOT ON PROBATION
 RECOMMENDATIONS FOR ADMINISTRATIVE ACTION

Tolson _____
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I. BACKGROUND

McGowan
 Mr. C. L. McGowan, Supervisor in Charge, Civil Rights Unit, Investigative Division, prepared a write-up on the EMMETT TILL case for inclusion in a brief for the Director's use. McGowan did not include any facts concerning the allegation and limited investigation relating to whether alleged witnesses Leroy Collins and Henry Lee Logan had been illegally detained in the Charleston, Mississippi jail during the trial in the Till murder.

On 2/28/56, the Director and Mr. Nichols met for two hours with Mrs. Mitchell and six others from the National Council of Negro Women. If the Director had been furnished the facts concerning FBI inquiry relating to Collins and Logan, he would not have been so grossly embarrassed. The brief stated no investigation was conducted by the FBI in the Till case.

Mrs. Mitchell stated that Collins and Logan were witnesses in the Till case and that a newspaper reporter named James L. Hicks (Afro-American) had published an open letter alleging:

1. Logan and Collins were witnesses to the Till slaying.
2. Both had been illegally jailed (during the trial of Milam and Bryant for the Till murder) at Charleston, Mississippi.
3. Colored trusty at the jail could prove Collins had been incarcerated during the trial.
4. Collins and Logan could now be reached through a Chicago newspaper.
5. Other leads were suggested.

The Attorney General had earlier advised Mrs. Mitchell the FBI had conducted investigation into the Collins-Logan aspect of the Till case. (Brief sent to the Director said no FBI investigation in the Till case.)

EDM:DMG
 (25)

35 MAR 23 1956

35 MAR 19 1956

27 215-371

File
3/5/56
DMG/AMM

3/2/56

The Director later asked for an explanation from the Investigative Division as to why the brief was not complete.

Memorandum from F. L. Price to Mr. Rosen 2/29/56, characterized the Collins-Logan aspect as a "rumor" and described the limited FBI investigation concerning it. Memorandum Price to Rosen 3/1/56 stated the Collins-Logan matter "did not concern in any way a violation of the rights of Emmett Till." Memorandum stated a separate file had been opened. The Director observed: there would obviously be a Civil Rights violation if a law enforcement officer suppressed evidence in the Till case. Director further commented the alibi advanced by the Investigative Division was insulting to the Director's intelligence. Training and Inspection Division was ordered to fix responsibility.

II. FINDINGS

A. The Collins-Logan matter was not a "rumor" as characterized by the Investigative Division in its memorandum 3/1/56 -- it was a series of allegations issued publicly in an open letter to the Attorney General and the Director by newspaperman James Hicks, and appeared in the Baltimore "Afro-American," 11/19/55.

B. Allegations relating to the Collins-Logan matter were not included in the brief for the Director's use. These facts should have been included.

C. The Collins-Logan aspects were an essential part of the Till case and failure to include this material in the Till case put the Director in an embarrassing situation when he met Mrs. Mitchell and her associates 2/28/56.

D. Memorandum from Price to Rosen 3/1/56 did not take cognizance of the over-all picture and it erroneously stated the Collins-Logan aspects did not concern the rights of Emmett Till. Such a technicality is misleading.

E. Even after Mr. Nichols 2/29/56 pointed out to Messrs. Boardman and Rosen that the Collins-Logan matter was not separate from the Till case the 3/1/56 memorandum from Mr. Price to Mr. Rosen still tried to convince the Director that it was separate. This is a reflection on the judgment of those who approved the 3/1/56 memorandum.

F. The investigation into the Collins-Logan aspects consisted primarily of an interview with Robert Smith who was a Special Prosecutor in the Till case and review of newspaper clippings. The question of how much investigation to conduct is now in the hands of the Department of Justice.

III. RESPONSIBILITY AND EXPLANATION

Assistant Director A. Rosen, GS-17, Investigative Division

- A. Mr. Rosen is responsible for the proper functioning of the entire Investigative Division. It is his duty to assure that briefs and memoranda approved by him to leave the division are complete and accurate.
- B. Approved memorandum 3/1/56 which erroneously characterized the Collins-Logan matter as "rumor."
- C. Did not detect omission of Collins-Logan matter from brief although he knew about the Collins-Logan situation. It was his duty to assure this material was included.
- D. Approved memorandum 3/1/56 containing a faulty evaluation of question as to whether Collins-Logan matter violated rights of Emmett Till; as late as 3/1/56 tried to convince the Director that Collins-Logan matter was separate from the Till case.

Mr. Rosen explains:

Information concerning Collins and Logan was directly related to Till case and should have been included in Till brief, although its exclusion from the brief was not discussed with him when brief was prepared; memorandum of 3/1/56 was improperly prepared and improperly submitted; lack of proper judgment in including the information in brief should have been admitted by all concerned in memorandum of 3/1/56.

IV. RECOMMENDATIONS:

Assistant Director A. Rosen, GS-17, nonveteran, not on probation:

- A. Censure and probation

I agree
2/12
3/13

Rosen

(recommendations continued
on following page)

B. Removal as Assistant Director.

yes, but on basis of other
recent matters including this
DR.

C. Transfer to field.

M
Suggest censure
and probation.

3/3

gm

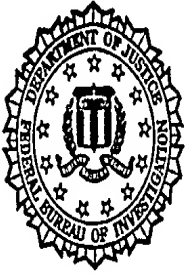
I recommend
censure and
probation

3/5

I agree
3-5

yes
[Signature]

yes.
[Signature]



FD-253 (11-22-54)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATIONIn Reply, Please Refer to
File No.

WASHINGTON 25, D. C.

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

Dear Sir:

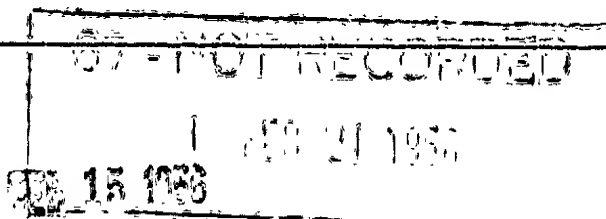
For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name Mrs. H. Rosen Relationship Mother Date 2-15-56
Address 2859 Hampton Rd S.E. Cleveland Ohio

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty.

Name same as above Relationship Date
Address



Very truly yours,

Special Agent

300-1-1

b6

[illegible]

0721-372

Very truly, yours,

EDM:dmg

50-100-10057
100-100

HOUSE OF REPRESENTATIVES
JAN 10 1964
JAN 10 1964
JAN 10 1964

MAILED 8
MAR 3 1956
1056-MA-FBI

39 MAR 14 1956

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN

DATE: 2/29/56

FROM : A. ROSEN

SUBJECT: UNKNOWN SUBJECTS AND
[REDACTED] - VICTIMS
CIVIL RIGHTS

The following is submitted for the Director's information in the above-captioned matter.

You will recall you advised me that arrangements had been made for the Department to handle any coverage of the trial involving the arrest of [REDACTED] and [REDACTED] in Florence, South Carolina. The other investigation requested by the Department was ordered immediately following your telephone call advising that coverage of the trial was being arranged for through the Department and we would not have an Agent present.

[REDACTED] was instructed to immediately complete the preliminary inquiries and under no circumstances was he to have anybody attend the trial. Immediately following the proceedings, he was to furnish such developments as could be obtained to the Bureau.

The Supervisors had been alerted to the developments and were following for any teletype which would be received from the Savannah Office.

Mr. Boardman advised me, after I had completed talking to the Albany Office on another matter following the interview of SAC's T. J. Gearty and N. R. Johnson, that he had been in touch with [REDACTED] and that [REDACTED] was submitting information to the Bureau concerning the outcome of the hearings yesterday.

The Supervisors were following this matter and communicated with the office at Savannah as late as 11:45 p.m., at which time [REDACTED] advised that a teletype had been prepared and was in the process of being submitted to the Bureau. It is noted that instant teletype was received at the Bureau at 12:47 p.m., 2/28/56. The call by the Supervisors was made to insure that the details developed by the Savannah Office concerning this matter would be available for you the first thing this morning.

AR:LS
(6)

2/29/56: I agree with the Director's observation that Boardman and Rosen should have been more alert.

LVB

Telephone
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

There is something important to know in Boardman's report of 11:45 p.m. last evening. He is mentioning nothing more.

4-6
32-11-5814

March 5, 1956

MEMORANDUM FOR MR. TOLSON

On Friday, March 2, 1956, Assistant Director Rosen, of the Investigative Division, called to see me to express his apology and regrets for the recent mishandling of some of the important phases of the Civil Rights work of his Division.

X-1000 + 1000-1000
I told Mr. Rosen that I appreciated his interest in this matter in coming to express his regrets, but the fact remained that there had been gross mishandling of entirely too many matters in his Division and that the several incidents recently involving Civil Rights matters had caused me extreme embarrassment.

I went into detail relative to the incomplete brief supplied me for use at the conference with the representatives of the National Council of Negro Women on February 28, 1956. I also called his attention to the incompleteness of the brief prepared for me for use in briefing the Cabinet next Friday and the necessity for me to have Mr. Tolson and Mr. Nichols take over the preparation of the summary statement for my use in this meeting.

47215-374

I likewise called his attention to the delays upon the part of his Division in getting prompt information from the field on a number of highly important Civil Rights cases, such as developments in the case of [redacted] at Tuscaloosa, Alabama, and the [redacted] case at Florence, South Carolina.

Very truly yours,

John Edgar Hoover
Director

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mason _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Nease _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

JEH:TLC

1000-1000
3-5-56
1000-1000

2:10 PM
3-5-56
W.H.

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Boardman

DATE: March 1, 1956

FROM : Mr. Rosen

SUBJECT: UNKNOWN SUBJECTS;
CIVIL RIGHTS - VICTIM

b6

Tolson	
Boardman	
Nichols	
Belmont	
Harbo	
Mohr	
Parsons	
Rosen	
Tamm	
Sizoo	
Tele. Room	
Holloman	
Gandy	

Reference is made to Mr. Price's memorandum to me dated 2/29/56 in the above-entitled matter.

A memorandum confirming information furnished to the Department on the evening of 2/28/56 was not dictated until approximately 8:55 A.M. the following morning, 2/29/56. The Director noted that this should have been dictated the previous evening.

In accordance with the Director's observations, henceforth all supervisors will clearly understand that such memoranda are to be prepared immediately upon the completion of furnishing the oral advice to the Department so that there will be no delay in getting these memoranda to the Department in expedite matters such as this.

The Director also noted that the supervisor who had dictated this memorandum had been delayed in getting it out once he had dictated it because of other matters which were being handled relating to civil rights cases, and noted that this shows bad supervision in that Rosen, Winterrowd, or McGowan could have pitched in on this inasmuch as it was sufficiently important to have done so.

In the future in order to avoid such situations, these memoranda will be dictated immediately after advice is given to the Department in order to prevent such a situation from developing.

It is respectfully submitted that the writer, Winterrowd and McGowan, together with the supervisor, were not inferring concerning the handling of several civil rights matters and the course of action to be taken, but also in the preparation of other expedite civil rights memoranda which were prepared in the writer's office. In addition, there were several telephone calls involved as well as other calls received from Messrs. Boardman and Nichols with reference to civil rights matters, which at the time appeared to be of an urgent interest. I sincerely regret that this situation occurred.

AR:jh
(6)

These matters should be directed & handled by Boardman & Rosen without specific instructions from a superior

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN *3/22/56*

DATE: 3/12/56

FROM : A. ROSEN *AR*

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

SUBJECT:

This is to advise that the writer has recorded ten errors in connection with the reading of mail.

These are errors of spelling which were missed by the writer as an approving official. The mail of course was prepared in this Division and went through for my personal attention.

Every effort is being made to eliminate all errors and inasmuch as ten such errors have been recorded in a period of six months, it will be necessary to direct a letter to me calling my attention to this matter in accordance with existing instructions.

Every effort will be made to eliminate all errors in this Division.

AR:WW

36 MAR 26 1956 *AR*

67-27215-376

149

2 MAR 19 1956

RECEIVED

3-15-56 *hsk*

3/hsk

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-12-2010

March 15, 1956

~~PERSONAL AND CONFIDENTIAL~~

1)
Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have noted that within the recent past you have failed to detect a number of nonsubstantive errors which have appeared in official correspondence reviewed and approved by you. As you know, it is of prime importance that Bureau mail be free from such errors.

Accordingly, I shall expect you to intensify your efforts to prevent errors of the type referred to above.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

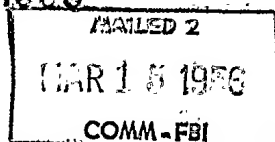
RGH
RGH:bak
(4)

cc - Mr. Boardman (Personal Attention)

Based on memo from A. Rosen to Mr. L.V. Boardman dated 3/12/56, AR:WW.

Polson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

MAR 21 1956



27-115-377
RECEIVED READING ROOM
MAR 15 10 41 AM '56

**FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE**

REPORT OF PERFORMANCE RATING

Name of Employee: 0 ALEX ROSEN

Where Assigned: Investigative Division
(Division) (Section, Unit)

Payroll Title: Assistant Director

Rating Period: from April 1, 1955 to March 31, 1956

ADJECTIVE RATING: SATISFACTORY
Outstanding, Satisfactory, Unsatisfactory

Employee's
Initials

Rated by: Coyde A. Tolson Associate Director 3/31/56
Signature Title Date

Reviewed by: _____
Signature Title Date

Rating approved by: _____
Signature Title Date

TYPE OF REPORT

(X) Official
(X) Annual

() Administrative

() 60-day

() Transfer

() Separation from service

() Special

67-27215-378

30 APR 6 1956

3-1/10

back of page

NARRATIVE COMMENTS

Note: The regulations require that OUTSTANDING ratings be supported by a statement in writing setting forth IN DETAIL the performance IN EVERY ASPECT and the REASONS for considering each worthy of SPECIAL COMMENDATION. UNSATISFACTORY ratings must be supported by a statement in writing stating (1) WHEREIN the performance is unsatisfactory, (2) the facts of the (90 day) PRIOR WARNING, and (3) the efforts made AFTER THE WARNING TO HELP the employee bring his performance up to a satisfactory level.

1. Agency and organizational designations U.S. Department of Justice Federal Bureau of Investigation					2. Pay roll		3. Block No.		4. Slip No. 17137	
5. Employee's name (and social security account number when appropriate) W. ALX BOWEN 00009 Asst. Director					6. Grade and salary GS 17 14,620					
PAY ROLL CHANGE DATA										
	BASE PAY	OVERTIME		GROSS PAY	RET.	TAX.....	BOND	F. I. C. A.		NET PAY
7. Previous normal										
8. New normal										
9. Pay this period										
10. Remarks:					11. Appropriation(s) <div style="font-size: 2em; text-align: center;">26</div>			12. Prepared by		
								13. Audited by		
<input checked="" type="checkbox"/> Periodic step-increase <input type="checkbox"/> Pay adjustment <input type="checkbox"/> Other step-increase.....										
14. Effective date 1-22-55	15. Date last equivalent increase 10-21-54	16. Old salary rate 14,105	17. New salary rate 14,620	18. Performance rating is satisfactory or better. <div style="text-align: right;">(Signature or other authentication)</div>						
19. LWOP data (Fill in appropriate spaces covering LWOP during following periods): Period(s): <input checked="" type="checkbox"/> No excess LWOP. Total excess LWOP				(Check applicable box in case of excess LWOP) <input type="checkbox"/> In pay status at end of waiting period. <input type="checkbox"/> In LWOP status at end of waiting period. <div style="text-align: right;">Initials of Clerk W. H. H.</div>						

STANDARD FORM NO. 1126d—Revised
Form prescribed by Comp. Gen., U. S.
Nov. 8, 1950, General Regulations No. 102

PAY ROLL CHANGE SLIP—PERSONNEL COPY

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME ROSEN, ALEX		2. GRADE AND COMPONENT OR POSITION Ass't Director	3. IDENTIFICATION NO.
4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)		5. PURPOSE OF EXAMINATION Annual	6. DATE OF EXAMINATION 4-2-56
7. SEX M	8. RACE W	9. TOTAL YRS. GOVT. SERVICE MILITARY CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE
11. ORGANIZATION UNIT			
12. DATE OF BIRTH 9-14-05		13. PLACE OF BIRTH N. Y. City	
14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN			
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS N.N.M.C.		16. OTHER INFORMATION	

17. RATING OR SPECIALTY	TIME IN THIS CAPACITY: TOTAL	LAST SIX MONTHS
CLINICAL EVALUATION		
NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)		

NORMAL	ABNOR- MAL	(Check each item in appropriate col- umn: enter "N. E." if not evaluated)
X		18. HEAD, FACE, NECK, AND SCALP
X		19. NOSE
X		20. SINUSES
X		21. MOUTH AND THROAT
X		22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)
X		23. DRUMS (Perforation)
X		24. EYES—GENERAL (Visual acuity and refraction under items 59, 60, and 61)
ne		25. OPHTHALMOSCOPIC
X		26. PUPILS (Equality and reaction)
X		27. OCULAR MOTILITY (Associated parallel move- ments, nystagmus)
X		28. LUNGS AND CHEST (Include breasts)
X		29. HEART (Thrust, size, rhythm, sounds)
X		30. VASCULAR SYSTEM (Varicosities, etc.)
X		31. ABDOMEN AND VISCERA (Include hernia)
X		32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate if indicated)
X		33. ENDOCRINE SYSTEM
X		34. G-U SYSTEM
X		35. UPPER EXTREMITIES (Strength, range of motion)
X		36. FEET
X		37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)
X		38. SPINE, OTHER MUSCULOSKELETAL
		39. IDENTIFYING BODY MARKS, SCARS, TATTOOS
X		40. SKIN, LYMPHATICS
X		41. NEUROLOGIC (Equilibrium tests under item 72)
X		42. PSYCHIATRIC (Specify any personality deviation)
Females only		(Check how done)
		43. PELVIC <input type="checkbox"/> VAGINAL <input type="checkbox"/> RECTAL

--Prostate normal.

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively)		REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES
O.—Restorable teeth I.—Nonrestorable teeth X.—Missing teeth XXX.—Replaced by dentures (6 X 8).—Fixed bridge, brackets to include abutments		
R I G H T	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 32 31 30 29 28 27 26 25 24 23 22 21 20 19 18 17	X 27215-379 X

45. URINALYSIS: SP. GR. 1.005		46. CHEST X-RAY (Place, date, film number, result)	47. SEROLOGY (Specify test used and result)
ALBUMIN Neg.	SUGAR Neg.	MICROSCOPIC Neg.	See Report 5967-56
48. EKG Normal		49. BLOOD TYPE AND RH FACTOR A	50. OTHER TESTS Kahn, Negative

MAY 1

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT 71	52. WEIGHT 176	53. COLOR HAIR Gray	54. COLOR EYES Hazel	55. BUILD: SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE <input type="checkbox"/>	56. TEMP.																							
57. BLOOD PRESSURE (Arm at heart level)			58. PULSE (Arm at heart level)																									
SITTING SYS. 112 DIAS. 70	RECUM- BENT SYS. 112 DIAS. 70	STANDING (3 min.) SYS. 112 DIAS. 70	SITTING 76	AFTER EXERCISE	2 MIN. AFTER																							
59. DISTANT VISION			60. REFRACTION																									
RIGHT 20/ 20 CORR. TO 20/			BY S. CX																									
LEFT 20/ 20 CORR. TO 20/			BY S. CX																									
62. HETEROPHORIA: (Specify distance) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD			61. 0.62M NEAR VISION 20.10 CORR. TO 20.7 0.75M CORR. TO 0.62M 20.12 CORR. TO 20.10																									
63. ACCOMMODATION RIGHT LEFT		64. COLOR VISION (Test used and result) AOC 1940 18/18		65. DEPTH PERCEPTION (Test used and score)																								
				UNCORRECTED CORRECTED																								
66. FIELD OF VISION		67. NIGHT VISION (Test used and score)		68. RED LENS																								
				69. INTRAOCULAR TENSION																								
70. HEARING		71. AUDIOMETER																										
RIGHT WV 15 7/15 SV 15/15 LEFT WV 15 7/15 SV 15/15		<table border="1"> <tr> <td>250 266</td> <td>500 512</td> <td>1000 1024</td> <td>2000 2048</td> <td>3000 2896</td> <td>4000 4096</td> <td>8000 8192</td> </tr> <tr> <td>RIGHT</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>LEFT</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table>						250 266	500 512	1000 1024	2000 2048	3000 2896	4000 4096	8000 8192	RIGHT							LEFT						
250 266	500 512	1000 1024	2000 2048	3000 2896	4000 4096	8000 8192																						
RIGHT																												
LEFT																												
72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)																												

73. NOTES (Continued)-AND SIGNIFICANT OR INTERVAL HISTORY

(Use additional sheets of plain paper if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)		76. PHYSICAL PROFILE					
		P	U	L	H	E	S
77. EXAMINEE (Check) <input checked="" type="checkbox"/> IS QUALIFIED FOR strenuous physical exertion and use of firearms. <input type="checkbox"/> IS NOT		PHYSICAL CATEGORY					
78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER		A	B	C	E		
79. TYPED OR PRINTED NAME OF PHYSICIAN G. R. JOHNSTON, CAPT, MC, USN		SIGNATURE /s/ G. R. Johnston					
80. TYPED OR PRINTED NAME OF PHYSICIAN		SIGNATURE					
81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)		SIGNATURE /s/ H. H. Scofield					
82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY		SIGNATURE					
		NUMBER OF AT-TACHED SHEETS					

PATIENT'S LAST NAME—FIRST NAME—MIDDLE NAME		REGISTER NO.	WARD NO.
ROSEN, Alex		FBI	Staff Clinic
AGE	SEX	(Check one) <input type="checkbox"/> BEDSIDE, WHEELCHAIR, OR STRETCHER <input type="checkbox"/> BED PATIENT <input checked="" type="checkbox"/> AMBULATORY	
EXAMINATION REQUESTED			
REQUESTED BY			DATE OF REQUEST
70mm Repeat			

(Above space for mechanical imprinting, if used)

PERTINENT CLINICAL HISTORY, OPERATIONS, PHYSICAL FINDINGS, AND PROVISIONAL DIAGNOSIS

FILM NO.	5967-56	DATE OF REPORT
RADIOGRAPHIC REPORT		

4-12-56 CHEST: There are 2 calcific rounded densities in the left midlung field with multiple calcific nodes in the left hilum. Remainder of the lung fields is clear. Heart shadow is within normal limits.
 IMPRESSION: Healed complex left midlung field. Essentially negative chest.

akrew

G. L. LEWIS CDR MC USN

SIGNATURE: (Specify location of laboratory if not part of requesting facility)

GPO c0-16-56906-5†

Standard Form 519A (Rev. Aug. 1954)
 Promulgated by Bureau of the Budget
 Circular A-32 (Rev.)

(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)

RADIOGRAPHIC REPORT

ENCLOSURE

67-27018

ATTACHMENT TO STANDARD FORM 88
(Revised December 5, 1955)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form need not be completed:

2	67
3	68
11	69
14	71 (Item 71, audiometer examinations,
17	should be afforded whenever possible.)
62	
65	72

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X-ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee 15 qualified for strenuous physical exertion. (Designate
(is or is not)
which)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms? Does examinee have any defects prohibiting safe operation of motor vehicles?

No

If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64 AND 70 PERTAINING TO VISUAL ACUITY, COLOR VISION AND HEARING BE COMPLETED IN DETAIL.

AR Johnston
(Signature of Medical Examiner)

APR 18 1956

(Date)

ARosen
ROSEN, A.

April 18, 1956

PERSONAL AND ~~CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

Reference is made to Inspector H. C. Van Pelt's report of his recent inspection of the Investigative Division. While favorable progress was shown in some operations, it is highly essential that immediate and effective steps be taken to bring all activities of the division to the highest possible level of performance. The following observations should serve as a guide toward reaching that objective.

Despite the reduction in over-all time required to complete the various types of applicant and loyalty investigations, the percentage of missed field deadlines was too high. Additional stress must be placed by the Special Inquiry and Employees Security Sections upon the field's keeping missed deadlines in these important investigations at an absolute minimum. By placing further emphasis on this matter through the scheduled appearances of lecturers from the division at training schools and conferences and through intensifying the follow-up procedures employed in these cases, the percentage of missed field deadlines should be substantially decreased and the responsibilities of the Bureau more effectively met. Utmost care should be taken to insure that quality and thoroughness of these investigations are not sacrificed merely to meet deadlines. Effective action should also be taken to make certain that Bureau officials are promptly notified of derogatory information developed in the investigation of individuals who are holding or have held responsible Government positions.

Closer attention should be devoted to the accurate submission of weekly delinquency reports. The explanation that the failure to have listed the delays in dissemination of two Atomic Energy Act cases, one of eight workdays and the other

Attention: (with enclosures)

COMM - FBI
APR 19 1956
MAILED 25

Tolson
Boardman
Nichols
Belmont
Rosen
Mohr

Mr. Alex Rosen

of twelve workdays, was due to inadvertence, is unacceptable. These delinquency reports are carefully analyzed by Bureau officials in connection with continuing studies of the status of the pending work of the Bureau. It is, therefore, essential that said reports contain completely factual data. You should immediately arrange to consistently submit completely accurate weekly delinquency reports in the future.

The numerical increases in both qualified and potential criminal informants since the last inspection, and the tangible results obtained through this coverage during the past calendar year, were encouraging. As I have previously stated on several occasions, this is one of the most important programs of the Bureau. Close and continuous attention should be directed to insuring that the field maintains high quality criminal informants in all categories.

Although your attention has been repeatedly directed to the necessity of improving criminal statistical accomplishments in all categories, comparison of the results for the first eight months of the 1956 fiscal year with the similar period of the 1955 fiscal year shows declines in automobile recoveries and fugitive apprehensions, as well as a scarcely noticeable increase in convictions. It should not have been necessary for the Inspector to bring to your attention the excessive delinquencies in the field's receipt of new cases from probation officers and the necessity of making certain that the field effectively implements its liaison with these officials in order to improve accomplishments in the fugitive category. You and your associates in the Criminal and Accounting and Fraud Sections must insure that the criminal statistical accomplishment potentialities in all classifications are fully exploited to make sure that tangible increases result in all categories.

Much tighter control of bank robbery investigations must be established by you and the personnel of the Criminal Section, in order that the Bureau may properly handle its responsibilities in this highly important classification. Both the number and extent of the delays in the field's submission of initial reports were excessive. Failures to (1) effectively follow up these delays, (2) thoroughly probe into inaccurate and questionable field explanations for these delinquencies, (3) determine responsibility for loose phraseology in reporting and (4) obtain complete details regarding improper activities of Bureau agents in these investigations, constitute serious weaknesses in the handling of these matters. Thorough corrective action must be immediately taken.

Mr. Alce Rosen

b6

It should not have been necessary for me to have personally instructed that appropriate directions be prepared to both field and Seat of Government personnel to preclude a repetition of the errors which occurred in the case involving [redacted] of Toledo, Ohio. Action to prepare the necessary communications to all Special Agents in Charge and to Bureau officials and supervisors should have been quickly taken in the Investigative Division. Much greater alertness, initiative and follow-through must be evidenced in the performance of the responsibilities of your division.

The failure of the Investigative Division to provide the field with sufficiently clear instructions for immediate notification of the Bureau concerning a court decision relating to a Civil Rights victim, was particularly serious. Specially close attention must be provided to the Civil Rights category because of the delicate and involved nature of these cases, their widespread publicity and the fact they are so carefully followed by the public and Governmental officials.

The laxity displayed by you and your co-workers in the Criminal Section through the acceptance of so many unnecessary investigative reports has not only caused needless work at the Seat of Government but has also resulted in unwarranted maintenance cost and wasted filing space. Elimination of unnecessary effort, avoidance of undue expense and the saving of hard-to-get filing space, constitute some of the very fundamentals of proper supervision. There is absolutely no excuse for the inert performance displayed in this matter. It is imperative that a much higher degree of vigilance be employed in the future to assure that unnecessary reporting is held to an absolute minimum.

Other errors found in the file reviews made by the Inspector were principally in the Criminal Section, and were excessive and serious. The failure of supervisory personnel to detect and correct five instances wherein copies of reports were not furnished to U. S. Attorneys who authorized the filing of Federal process could result in substantial embarrassment to the Bureau for not providing these officials in writing with the basic information upon which their prosecutive opinions were predicated. Likewise, the omission from blank memoranda submitted by the Bureau to the Civil Service Commission and Veterans Administration of information that a Veterans Administration employee who was convicted of a theft on a Government reservation

Mr. Alex Rosen

had also admitted in writing that he had personally engaged in homosexual activities, fails to fulfill the Bureau's responsibilities under Executive Order #10450 and could be extremely embarrassing to the Bureau. The failure to challenge the field and obtain explanations and recommendations for administrative action against personnel responsible for (1) a 25-day delay in the submission of a Fugitive Form Letter, (2) three instances of failures to set forth physical descriptions in reports, twice regarding subjects, and once regarding an Extortion victim, all of whom were personally interviewed, and (3) five instances of noncompliance with the requirement that a letter of explanation accompany a report wherein there was a delay of thirty days or more between the last date of the investigative period and the date of the report, reflects insufficient attention to basic supervisory procedures designed to insure prompt and complete reporting. Effective steps must be taken at once to tighten up the supervisory operations and make certain that all of the responsibilities of the Investigative Division are properly fulfilled.

As you are aware, the production of the Criminal - Accounting and Fraud - Special Inquiry Section stenographic and typing pool was above average; production in the Name Check Section typing pool was average, and production in the Employees Security Section stenographic and typing pool was below average. Although several of the employees in the latter pool have had but limited experience, this matter should be closely followed to insure maximum production in each of these pools.

You should make certain that you personally handle official telephone calls to the greatest practicable extent in accordance with existing Bureau rules and regulations.

The Inspector reported that morale seemed to be high in four sections of the division; he reported a tendency in the Criminal Section, however, to gloss over the weaknesses found. The Inspector advised that this was evidenced in six unresponsive or evasive replies to inspection write-ups. While corrective action was taken by you when the Inspector called these matters to your personal attention, and you saw to it that acceptable replies were submitted, it was your responsibility as head of the Investigative Division to insure

Mr. Alce Rosen

that the replies to the inspection write-ups were responsive, accurate and forthright in the first place. Much better performance should have resulted in this regard and I must insist that in the future you and your subordinates more effectively carry out your responsibilities.

In view of the nature and extent of the weaknesses found, you are being continued on probation. An early recheck of the operations of the Investigative Division has been scheduled, and it is essential that all phases of the work be elevated to the highest possible degree of efficiency. You should advise me as soon as the necessary corrective action has been taken.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN

DATE: 3/30/56

FROM : A. N. ROSENSUBJECT: ERRONEOUS IDENTIFICATIONS BY WITNESSES -
LOOSE PHRASEOLOGY IN REPORTING

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

On Thursday, March 19, Mr. Boardman specifically instructed me during the afternoon, I do not recall exactly what time, to immediately take over the preparation of an SAC Letter which was being prepared in the Training and Inspection Division; that this letter was to be prepared and in completed form Thursday by the Investigative Division.

I immediately set aside all other pending specials and instructed all personnel in this Division who worked on it to immediately set aside all specials in order that the SAC Letter could be immediately prepared. This was done and the proposed SAC Letter was completed Thursday evening, late. I left for dinner sometime after 10:00 p.m. The final draft was sent through the first thing Friday morning, today, and certain changes were suggested by Mr. Mohr and I believe the suggestions were well taken and the changes were therefore made. It was hand carried through to each Assistant Director's office this morning.

I regret the delay which may have been caused by any action taken by me or by anyone who participated in the preparation of this material in this Division. It could have and should have been gotten through earlier.

cc: 1-Mr. Mason

AR:LS/LH
(6)~~ENCLOSURE~~

800.5-1639
20 APR 1956 145

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. TOLSON

DATE:
March 14, 1956FROM : L. V. Boardman *→*

SUBJECT: ERROR IN LETTER TO

[REDACTED]
DATED 3/14/56.

RE [REDACTED]

b6

Tolson ☒
Boardman ☒
Nichols ☒
Belmont ☒
Mohr ☒
Parsons ☒
Rosen ☒
Tamm ☒
 Sizoo ☒
Winterrowd ☒
Tele. Room ☒
Holloman ☒
Gandy ☒

Attached are explanations from Supervisor [REDACTED] (the originator of the above correspondence), and Mr. Rosen, Mr. Malley, Mr. Callan, and [REDACTED] who initialed the letter, all of whom indicate that the error should have been observed at the time of approval. I also read this communication and, through carelessness, did not observe the inaccuracy.

b6

I feel that all should be censured for our omission, and so recommend.

14
2-

A. X. ROSEN

J. R. X. MALLEY

E. C. X. CALLAN

LVB:CSH (3)

Letters of censure
not necessary
but it does
seem such an
obvious error
should have
been detected
if the letter
had been read

It is obvious
there is not
even a superficial
checking of
outgoing mail
at any level.

K 5257-11

3.6e

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Callahan

DATE: 3/14/56

FROM : Mr. Morris

SUBJECT: ERROR IN CORRESPONDENCE,
LETTER TO HONORABLE JAMES O. EASTLAND,
DATED 3/14/56

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

b6

In connection with the letter directed to Senator Eastland 3/14/56, regarding [redacted] which erroneously stated that the results of the inquiry would be forwarded to [redacted] I wish to advise that I was responsible for the preparation of this letter to Senator Eastland but that in approving it I neglected to observe that it contained the above incorrect statement.

My failure to catch this error is sincerely regretted and you may be assured that this incident will cause me to be more careful in reviewing outgoing communications so that such an error will not occur again in the future. I recommend a letter of censure for myself.

Comments of Mr. Callan:

I reviewed this correspondence on 3/13/56, and initialed it. I did not detect the error.

It is obvious that if I had read the incoming communication, which was attached, thoroughly and the outgoing communication of 3/14, the error would have been apparent.

I sincerely regret this error which must be attributed to the lack of proper care on my part in reviewing outgoing correspondence and I shall do everything in my power to prevent a recurrence. Letter of censure recommended.

Comments of Mr. Malley:

Through inadvertence and reading the letter too fast, I failed to detect the error. I sincerely regret that this has happened. Letter of censure recommended.

Comments of Mr. Rosen:

I sincerely regret that I did not detect this error and recommend a letter of censure for myself.

GCC:WMM:ush
(3)

4 R

62-55537

COPY 138

TO: The Director

DATE: 4-2-56

FROM: J. P. Mohr

SUBJECT: SACs, Legal Attaches and Seat of Government
Officials on Probation

SEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION CHIEFS) ON
PROBATION

NAME

TITLE

DATE

REASON

AME

TITLE

DATE

REASON

X Rosen

X Assistant Director
Investigative Division

3-8-56

Because of the improper
functioning of him and his
subordinates in connection
with the preparation of a
brief regarding certain
Civil Rights Matters.

33 4-2-56

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN

DATE: 6/28/56

FROM : A. ROSEN

SUBJECT: [REDACTED] with aliases, FUGITIVE
UNLAWFUL FLIGHT TO AVOID PROSECUTION -
ASSAULT WITH INTENT TO KILL

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

This is submitted in answer to the Director's inquiry as to why the initial memorandum prepared concerning the apprehension in this case was not immediately sent forward.

There is no excuse for not having sent this forward immediately and I regret very much not having sent it forward.

At the time ASAC W. G. Simon of the New York Office called, the information which he gave me he stated was fragmentary. He was getting it from the Agents over the phone. At that time he stated his immediate problem was to get authorization for a press release and after taking the information from him he was referred to Mr. Nichols' office at which time he did receive authorization to immediately make a press release.

I had told Simon to submit to the Bureau all of the details concerning this apprehension with his recommendations and I thought that the information would arrive in time to give the full story to the Director. This is no excuse as the memorandum should have immediately been sent forward. *e*

It was not until shortly after 6:15 p. m. last night, when New York called to advise that the press comment had been unfavorable in certain of the papers, that I sent the memorandum which had been prepared around to Mr. McGuire for his assistance in preparing his memorandum.

RECORDED-85

I regret that this has happened and I wish to assure the Director that it will not occur again.

10 JUL 16 1956

FX-120

1 copy - auto

7/53/57

AR:LS

(6)

before 10 or 11 A.M. I never re- ceived word of it until 9 A.M. today. The news reached my office after I left.

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

DATE: 7/2/56

FROM : E. D. Mason *MB*SUBJECT: FIREARMS DELINQUENCIES
SEAT OF GOVERNMENT*L.B. Nichols**HA. X Rosen*

As of the close of business on Friday, June 29, 1956, there were only two officials or supervisors at the Seat of Government who were delinquent in firearms. These are Mr. Nichols and Mr. Rosen.

Should it be possible for Mr. Nichols and Mr. Rosen to go to Quantico for make-ups, they may go any day which they choose.

ACTION:

None...informative.

JSR:rcw 'CU'
(2)*gn*

Tolson	<i>✓</i>
Nichols	<i>✓</i>
Boardman	
Belmont	
Mason	
Mohr	
Parsons	<i>✓</i>
Rosen	<i>✓</i>
Tamm	
Nease	
Winterrowd	
Tele. Room	
Holloman	
Gandy	

67-39021-530

Searched

Numbered

2 JUL 1 1956
2 JUL 6 1956

7-10-56

SEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION CHIEFS) ON PROBATION

<u>NAME</u>	<u>TITLE</u>	<u>DATE</u>	<u>REASON</u>
A. Rosen	Assistant Director Investigative Division	3-8-56 Continued 4-18-56	Because of the improper functioning of him and his subordinates in connection with the preparation of a brief regarding certain Civil Rights Matters. Continued 4-18 because of the results of inspection.

MR. TOLSON

April 17, 1956

MR. MASON

INSPECTION - INVESTIGATIVE DIVISION
INSPECTOR H. C. VAN PELT
January 6 - April 6, 1956

SUMMARY

OFFICIALS

[Alex Rosen, Assistant Director since November 12, 1940;
E. H. Winterrowd, Number One Man over Criminal, Accounting and Fraud
Sections; J. R. Malley, Number One Man over Special Inquiry, Employees
Security and Name Check Sections; F. L. Price, Chief, Criminal Section;
C. A. Evans, Chief, Accounting and Fraud Section; G. C. Callan, Inspector,
Special Inquiry Section; C. H. Stanley, Chief, Employees Security Section;
L. N. Conroy, Chief, Name Check Section.

LAST INSPECTION

August 17 - September 21, 1954; streamlining survey conducted in
January, 1955.

EVALUATIONS

PHYSICAL CONDITION AND MAINTENANCE. EXCELLENT

Space assigned deemed adequate, considering general shortage in Justice
Building; premises found to be neat, businesslike and efficiently maintained.

INVESTIGATIVE OPERATIONS. UNSATISFACTORY

(1) As of February 29, 1956, 71,311 cases were pending, of which 52,342 (73%)
involved criminal, accounting and fraud classifications; 7,938 (11%) involved
applicant and loyalty matters, and 11,031 (16%) consisted of name checks
requested by other agencies at the Seat of Government.

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____ (5)
Parsons _____
Rosen _____
Tamm _____
Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

HCVP:BCB:wmj
cc - Mr. Mohr
Enclosures 8

Memo to Mr. Tolson

- (2) During February, there were 127,993 matters closed, consisting of: (a) 23,858 (19%) in criminal, accounting and fraud classifications; (b) 9,469 (7%), applicant and loyalty; (c) 94,666 (74%), name checks handled at the Seat of Government.
- (3) Nine consecutive weekly delinquency reports 12/8/55 - 2/2/56 showed criminal, accounting and fraud, applicant and loyalty matters being currently handled; average of 7 name checks per week (low) were from 6 to 9 days old, due to: (a) awaiting processing of reports for dissemination, or (b) awaiting location of file; each of these name checks was handled within one day after being listed as delinquent. Two instances were noted of failure to list in Weekly Delinquency Report delays in dissemination of Atomic Energy Act re-investigation cases, 1 delay of 8 workdays and 1 delay of 12 workdays; explained by Investigative Division as due to inadvertence upon part of Reviewer-Analyst who was cautioned against any repetition of such omissions.
- (4) Of 201 files reviewed in applicant-loyalty categories, 158 cases involved deadlines missed by field, or 78.6%; 85 field deadlines were missed by less than 6 calendar days; 68 field deadlines were missed from 6 to 14 calendar days, and remaining 5 field deadlines were missed by 15, 16, 20, 21 and 22 days. Principal reasons advanced by field for missing of deadlines were: (a) late leads received; (b) difficulty encountered in obtaining results of name checks at other Government agencies, and (c) temporary unavailability of persons to be interviewed. Follow-ups of field were prompt, vigorous and effective in reducing over-all handling time. Investigative Division was instructed to further stress to field the need for keeping missed deadlines at an absolute minimum, and such action was intensified during inspection. b6
- (5) Serious weakness in supervision of Atomic Energy Act Applicant investigation, was disclosed during inspection; derogatory information relating to Long was not brought to attention of Bureau officials, although Long had held a very responsible position in another Government agency; all necessary action in this matter has been taken.
- (6) Qualified criminal informants increased from 1,593 on 9/1/54 to 1,624 on 3/1/56 or 2%; potential informants increased during same period from 5,048 to 5,639 or 11%.
- (7) Comparison of criminal statistical accomplishments for first 8 months of 1956 fiscal year with similar period of 1955 fiscal year showed: (a) fines, savings and recoveries up 177.4%; (b) convictions increased .4%; (c) automobile recoveries decreased .1%, and (d) fugitive apprehensions declined 21.3%.

Memo to Mr. Tolson

(8) Inspector pointed out statistics in fugitive cases involving probation violators can be improved through more effective liaison with United States Probation Officers and SAC Letter 66-3 called this to field's attention. In 25 of these files reviewed extended delays were noted in 12 cases between issuance of Probation Violator Warrants and requests of the Bureau for investigation; delays ranged from 26 to 49 days in 5 cases, 6 months to 1 1/2 years in 3 cases, over 3 years and 10 months in 1 case, approximately 5 years and 10 months in 2 cases, and over 8 1/2 years in another case. Investigative Division commenced procedure February 28, 1956, for trial period of 90 days, of writing field for explanation every time report is received in new Probation Violator case, showing interval of more than 30 days between issuance of Probation Violator Warrant and receipt by field office of request for investigation. On expiration of trial period Investigative Division will analyze results to ascertain whether additional steps are warranted.

(9) Consolidated results of two surveys of Bank Robbery cases, 25 reviewed by Inspector and 100 by Investigative Division, showed 51, or 40.8%, of the 125 cases contained initial reports not submitted within 30 days as required under existing Bureau instructions; delays averaged 5.5 calendar days; in 5 of these cases delays ranged from 12 to 34 calendar days in submission of initial reports. Following this survey, Mr. Rosen set up on a trial basis for 90 days the procedure of following up delays in submission of initial Bank Robbery reports 5 days after due date, except in the major cases, which will continue to be supervised on a day-to-day basis.

(10) Other weaknesses in supervision occurred during inspection through: b6
(a) failure to obtain complete details from field regarding improper activities of Bureau Agents in Canada on a Bank Robbery - Fugitive matter; (b) erroneous identification, loose phraseology in reporting and delay in obtaining dismissal of Federal process in case involving [redacted] of Toledo, and
(c) failure to issue appropriate instructions to preclude repetition of the type of errors that occurred in the [redacted] case until specifically instructed to do so by the Director; each of the latter three matters was treated separately during the inspection, and all necessary action has been taken.

(11) Time survey of procedures in Civil Rights cases showed average of 21 calendar days between receipt of initial complaint, at either SOG or field, and referral of closing report to Department. Of 25 files reviewed in

Memo to Mr. Tolson

this category, 5 cases involved missed field deadlines, twice by 4 days, twice by 8 days and once by 14 days. Four of the 5 missed deadlines were promptly and vigorously followed; the fifth instance, wherein deadline was missed by 4 days, was not followed up because of intervening holiday weekend and due to case involving a questionable violation at best.

(12) Serious weaknesses in handling of Civil Rights matters were disclosed during inspection, when (a) an incomplete brief was submitted to Director for assistance in meeting with National Council of Negro Women, and (b) an inaccurate memorandum of explanation was submitted in connection therewith. In another instance, there was a failure to provide field with sufficiently clear instructions for immediate notification of Bureau concerning a court decision relating to a Civil Rights victim. All necessary action in these matters has since been taken.

(13) In 47 cases out of 150 general criminal-type files reviewed, or 31 1/3%, Inspector felt submission of initial report, which both opened and closed the case, need not have been submitted to the Bureau under existing rules, no statistics and no prosecution being involved; substantial reduction in volume of reports submitted by field, with resulting reduction in SOG supervisory time, and saving of Records Section time and space can be effected by tighter application of existing rules. Mr. Rosen did not feel that all of these cases were insignificant or trivial but has agreed to prepare letter to the field reiterating existing Bureau rule permitting field to close insignificant cases by memorandum for the file or letter to U. S. Attorney.

(14) Other weaknesses in 650 general criminal-type files reviewed consisted of 15 supervisory failures, or 2.3% (high), to take necessary steps to obtain field's explanations and recommendations concerning administrative action covering: (a) noncompliance with requirement that a letter of explanation be attached to report when there is a gap of 30 days or more between last date of investigative period and date of report, 5 of such omissions being found, 1 in a White Slave Traffic Act case, and 2 each in Illegal Wearing of Uniform and Interstate Transportation of Stolen Motor Vehicle classifications; (b) five instances of copies of reports not designated for U. S. Attorneys, although synopsis of each of these reports showed authorized filing of Federal process; this occurred in 4 Unlawful Flight cases and once in an Illegal Wearing of Uniform case; (c) 2 failures to include in reports the physical descriptions of subjects who were personally interviewed, once in an Extortion case and once in a Federal Housing Administration matter; (d) a 25-day delay in submission of a fugitive form letter, advising Bureau of issuance of Federal warrant for an Unlawful Flight subject; (e) one failure to include

Memo to Mr. Tolson

in a blank memorandum for dissemination to Civil Service Commission and Veterans Administration that a Veterans Administration employee convicted of a Theft on a Government Reservation also admitted in writing that he had personally engaged in homosexual activities, and (f) a failure to include in an Extortion report a physical description of victim who was personally interviewed.

ADMINISTRATIVE OPERATIONS.....VERY GOOD

During 1955 calendar year, 34.4% of outgoing Bureau mail processed in Reading Room originated in Investigative Division; percentage of error found in said mail of Investigative Division was .4%, which is better than over-all percentage of error of SOG Divisions amounting to .5%; Messrs. Rosen, Winterrowd and Malley were censured during inspection because of number of nonsubstantive errors which they failed to detect in correspondence approved by them.

Stenographic and typing production averaged: (1) 2.96 pages typed per hour per employee in Criminal-Accounting and Fraud-Special Inquiry Section Stenographic and Typing pool, exceeding over-all SOG average of 2.24 pages typed per hour per employee established in last survey in May, 1953; (2) 1.93 pages typed per hour per employee in Employee Security Section pool; low average explained as due to 5 stenographers and typists having less than 3 months' experience and 5 other such employees having less than a year's experience, and (3) 2.24 pages typed per hour per employee in Name Check Section pool, exactly the same as last SOG average of May, 1953.

Comparison of long-distance phone calls to and from Investigative Division in December, 1955, with such calls handled in July, 1954, showed: (1) decline in total number of calls from 310 to 156, or 49.7%; (2) reduction in average time per call from 5.96 minutes to 5.86 minutes, or 1.7% (3) no change in percentage of calls over 5 minutes, 39.7% in each period; (4) increase in percentage of calls personally handled by Mr. Rosen from 7.7% to 39.7%; (5) decline in percentage of calls personally handled by other officials of Division from 72.3% to 23.2%, and (6) increase in percentage of calls personally handled by personnel assigned to weekend and late duty shifts from 20% to 37.1%.

Memo to Mr. Tolson

One tardiness (10 minutes - excused) found in examination of two weeks' time and attendance registers, low; two errors (omission of initialed supervisory approval of compensatory leave earned by two clerical employees) also found in examination of said registers, low.

Twelve form errors found in 12 files out of 650 general criminal-type cases reviewed, or 1.35% (low).

PERSONNEL MATTERS.....GCCD

Net personnel assigned 3/6/56 was 302 employees, consisting of 120 Agents and 182 clerks; although total work pending declined 2.33% during 1955 calendar year, net personnel assigned was reduced by 10.59%, or annual payroll reduction of \$195,471.50; both Mr. Rosen and Inspector feel present personnel assigned to be adequate but not excessive.

Voluntary overtime averaged 2 hours 12 minutes daily per agent in October and November, 1955, and was 2 hours 9 minutes in December, 1955; it was found to be equitably distributed; Mr. Rosen's overtime averaged 2 hours 16 minutes in October, 2 hours 36 minutes in November and 3 hours 27 minutes in December, 1955.

Morale seemed high, except in Criminal Section where Inspector noted tendency to gloss over weaknesses found; this was evidenced in six unresponsive or evasive replies to inspection write-ups; corrective action taken by Mr. Rosen when Inspector called his attention to same, and acceptable replies were then received; separate recommendations being submitted concerning administrative action regarding personnel involved.

CONCLUSIONS:

(1) Messrs. Rosen and Winterrowd were commended during inspection, on January 12, 1956, for their efforts in solution of Brink's case; both were placed on probation March 3, 1956, because of (a) their approval of an incomplete brief for the Director on civil rights matters, and (b) their approval of an inaccurate memorandum of explanation in connection therewith. Rosen was

Memo to Mr. Tolson

censured March 15, 1956, for the number of nonsubstantive errors which he failed to detect in correspondence which he approved. Winterrowd was censured three times during inspection, once for failure to obtain complete details from field regarding improper activities of Bureau Agents in Canada on a Bank Robbery-Fugitive matter, once for failure to give sufficiently clear instructions for immediate notification of Bureau concerning a court decision relating to a civil rights victim, and once for the number of nonsubstantive errors which he failed to detect in correspondence which he approved.

b6

(2) Mr. Malley, Number One Man over Special Inquiry, Employees Security and Name Check Sections, was censured twice during inspection, once due to the number of nonsubstantive errors which he failed to detect in correspondence approved by him, and once due to failure of Special Inquiry Section to bring to attention of Bureau officials certain derogatory information relating to Atomic Energy Act Applicant [redacted] Malley was commended on February 10, 1956, for his efforts in expediting completion of a special project of ascertaining number of convictions in loyalty program.

b6

(3) Criminal Section Chief F. L. Price has been on probation since March 8, 1956, for approval of incomplete brief to Director covering highly important civil rights matters and approval of inaccurate memorandum of explanation in connection therewith; was censured once during inspection for serious weaknesses in supervision of the [redacted] case by subordinates; was commended once for excellent work in Brink's case.

(4) Inspector felt bulk of serious weaknesses disclosed during inspection were in Criminal Section and he detected a tendency to gloss over errors found in that Section.

RECOMMENDATIONS

(1) Assistant Director Alex Rosen, GS-17, nonveteran, on probation since March 8, 1956:

- (a) Censure and continue on probation. If approved, attached letter to Mr. Rosen will serve to summarize weaknesses found and point out urgent need for corrective action.

Memo to Mr. Tolson

- (2) Division Number One Man E. H. Winterrowd, GS-16, nonveteran, on probation since March 28, 1956:**
 - (a) Censure and continue on probation. If approved, attached letter to Mr. Winterrowd will so serve.**

- (3) Division Number One Man J. R. Malley, GS-15, nonveteran, not on probation. no action.**

- (4) Criminal Section Chief F. L. Price, GS-15, nonveteran, on probation since March 8, 1956:**
 - (a) Censure and continue on probation. If approved, attached letter to Mr. Price will so serve.**

- (5) Recheck inspection in 90 days.**

- (6) Recommendations concerning other Section Chiefs, Supervisors in Charge and other personnel being submitted separately.**

PERMANENT BRIEFS OF MESSRS. WINTERROWD, AND PRICE ARE ATTACHED HERETO.

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN *LB*

DATE: 7/20/56

FROM : A. ROSEN *R*SUBJECT: GRAND JURY INVESTIGATION INTO
POSSIBLE VIOLATION OF ELECTION LAWS,
ST. LOUIS, MISSOURI
ELECTION LAWS

Tolson	_____
Boardman	_____
Nichols	_____
Belmont	_____
Harbo	_____
Mohr	_____
Parsons	_____
Rosen	_____
Tamm	_____
Sizoo	_____
Winterrowd	_____
Tele. Room	_____
Holloman	_____
Gandy	_____

L. A. Boardman

With reference to not having noted the inaccuracy in Mr. Winterrowd's memorandum of July 19, 1956, the following is respectfully submitted.

Mr. Winterrowd prepared this memorandum on the basis of his telephone conversation with SAC W. A. Murphy at Dallas. This memorandum contained the misinformation. The airtel from the Dallas Office containing the correct information was not attached. I, therefore, relied on the information given to me by Mr. Winterrowd. In view of its importance, and as I was on my way to lunch, I personally delivered the memorandum to Mr. Tolson's office. En route, inasmuch as Mr. Boardman was at lunch, I left a copy of the memorandum for him with a note saying I had delivered the original to Mr. Tolson's office. Since I had not seen the original information containing the accurate data and since it was not attached to Mr. Winterrowd's memorandum nor to the tickler copy left in Mr. Boardman's office, I didn't have a chance to check its accuracy nor did Mr. Boardman and the information contained in Mr. Winterrowd's memorandum was relied upon.

gmw

7/20/56 - The necessity for first clearing memoranda through my office, for my approval or the approval of the person acting for me, was again emphasized to Mr. Rosen, Winterrowd, Malley, Hennrich and Sizoo. As Mr. Rosen indicates above, a tickler was left in my office, with no attachment.

AR:LS
(3)

LVB

*1 copy - auto
8/2/56*

JIC

TO : The Director 7-11-56
FROM : J. P. Mohr
SUBJECT : SAC's Legal Attaches and Seat of Government Officials
on Probation

THE FOLLOWING LEGAL ATTACHES ARE ON PROBATION

A. Rosen

Assistant Director 3-8-56
X Investigative Continued
Division 4-18-56

Because of the improper functioning of him and his subordinates in connection with the preparation of a brief regarding certain Civil Rights Matters. Continued 4-18 because of the results of inspection.

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-12-2010

July 23, 1956

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am writing to express my sincere appreciation to you and, through you, to the appropriate agent supervisors for the fine job done in the Investigative Division during the fiscal year just ended as reflected in the Bureau's statistical accomplishments.

Please convey to the personnel responsible my commendation for their interest, diligence and application to duty, without which the excellent results attained would not have been possible. All of you in the division may well be proud of the past year's achievements.

Sincerely yours,

MOL:hlp
(3)

Based on memo Rosen to Boardman 7/18/56 AR/rh

Tolson _____
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Belmont _____
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Mohr _____
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Tamm _____
Nease _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

382

August 31, 1956

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I want you to know how extremely pleased I am to learn of the results attained to date in the Obstruction of Justice case involving an attack on Victor Riesel.

Your handling of the multiple problems which arose in Washington is indeed appreciated and I know you have been of great benefit in directing various administrative phases of this matter. I am proud of the manner in which you and all of the personnel assigned to the case performed.

Sincerely,

J. Edgar Hoover

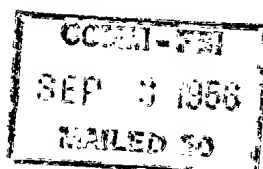
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(3)

Based on memo Edwards to Mohr 8/30/56 CRD:mffj.

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272-15-383

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67-27215-384
ALEX ROSEN

IN THIS FILE SKIPPED DURING
SERIALIZATION.

134

1-23-61

August 27, 1956

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I am aware of the extreme interest you displayed in the recent two-day conference with regard to labor racketeering matters and am also mindful of the fact that you gave a great deal of your time to this conference in order to insure its success.

It was most gratifying to note that the topics were prepared, discussed and received so well. This is a reflection upon the excellent example and supervision which you gave the members of your division who took part in this conference, and you have my personal commendation for the high quality of the job which was done.

Sincerely yours,

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MOL:js
(3)

Based on memo Rosen to Boardman 8/15/56 AR:LS and Addendum of Mr. Nichols.

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153
H.C. 100
27-56

COPY - 137

TO : The Director
FROM : J. P. Mohr
SUBJECT :

6-4-55

SEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION
CHIEFS) ON PROBATION

<u>NAME</u>	<u>TITLE</u>	<u>DATE</u>	<u>REASON</u>
A. Rosen	Assistant Director Investigative Division I	3-8-56 Continued 4-18-56	Because of the <u>improper func-</u> <u>tioning of him and his</u> <u>subordinates in connection</u> <u>with the preparation of a</u>
A. Rosen (Continued)			<u>brief regarding certain Civil</u> <u>Rights Matters. Continued</u> <u>4-18 because of the results of</u> <u>inspection.</u>

COPY -137

TO :
The Director 8-9-56

FROM :
J. P. Mohr *(H. K. = 11)*

SUBJECT :
SACs, Legal Attaches and Seat of Government
Officials on Probation

<u>NAME</u>	<u>PROBATION DATE</u>	<u>DATE LAST INSPECTION</u>	<u>DATE LAST RECHECK</u>	<u>REASON</u>
J. K. Mumford	5-11-55	5-8-56	7-25-56	Because of the
Atlanta	9-1-55	Continued		inept handling
	9-15-55	" "		of an inquiry by
	12-14-55	" "		telephone, in
	2-13-56	" "		connection with
	5-28-56	" "		the Deserter
				investigation in-
				volving [redacted]
				[redacted] Continued
				9-1 because of
				administrative
				action taken.
				Continued 9-15 be-
				cause of errors in
				correspondence.
				Continued 12-14
				because of adminis-
				trative action
				taken. Continued
				2-13 in view of
				the various de-
				linquencies in the
				operations of the
				Atlanta Office.
				Continued 5-28 be-
				cause of deficiencies
				found during the
				inspection.

Recommended for removal
from probation by memo
dated 8-6-56.

18
ORIGINAL FILED IN 67-030-2338

COPY - 137

R. J. Abbaticchio 6-4-56 5-25-56
Charlotte 6-15-56 Continued

Early re-check inspection
scheduled per Training and
Inspection Division.

Because of gross mis-
handling of an Inter-
state Transportation
of Stolen Motor Vehicle
case by personnel of
his Division. Continued
6-15 because of inadequate
administration and
accumulated weaknesses
found during the in-
spection.

E. L. Boyle 6-6-56 1-21-56
El Paso

In view of the serious
derelections in the case
of Interstate Trans-
portation of Stolen
Motor Vehicle Investiga- b6
tion involving [REDACTED]
[REDACTED]

R. N. Hosteny 7-18-56
Butte
(Under transfer to
Norfolk as SAC)

Because of serious short-
comings in the operation
of the Butte Division.

THE FOLLOWING LEGAL ATTACHES ARE ON PROBATION

C. D. Anderson 2-20-56 2-15-56
Havans, Cuba 3-27-56 Continued

Because a U. S. Marine,
in making a security
check, obtained access
to a file cabinet contain-
ing confidential material.
Continued 3-27 because
of the results of the
inspection.

b6

[REDACTED] 3-22-56 4-18-56
Rome, Italy 5-8-56 Continued

Because of certain admin-
istrative and liaison

COPY - 137

weaknesses exhibited by him in connection with the operations of his office. Continued 5-8 because of weaknesses found during the inspection.

SEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION CHIEFS)
ON PROBATION

<u>NAME</u>	<u>TITLE</u>	<u>DATE</u>	<u>REASON</u>
A. Rosen	Assistant Director Investigative Division	3-8-56 Continued 4-18-56	Because of the improper functioning of him and his subordinates in connection with the preparation of a brief regarding certain Civil Rights Matters. Continued 4-18 because of the results of inspection.
E. D. Mason	Assistant Director Training and Inspection Division	5-24-45 Continued 6-15-56	Failure to maintain training standard. Continued 6-15 because of the results of the inspection.
E. Winterrowd	# 1 Man - Criminal & Accounting & Fraud Sections - Investigative Division	3-8-56 Continued 4-18-56	Because of the inefficient functioning of his subordinates in the Civil Rights Unit of the Criminal Section. Continued 4-18 because of the results of inspection.
F. L. Price	Chief - Criminal Section - Investigative Division	3-8-56 Continued 4-18-56	Because of the inefficient functioning of the Civil Rights Unit of the Criminal Section. Continued 4-18 because of the results of inspection.

August 31, 1956

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I certainly want to express my personal commendation to you for the successful results obtained in the Kidnaping case involving Angelo John LaMarca.

Under your able guidance and direction, personnel at the Seat of Government and in the field responded to the challenge in a manner that makes all of us proud. Please accept my sincere appreciation.

Sincerely,

J. Edgar Hoover

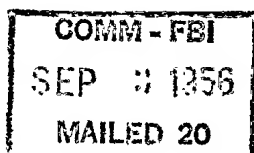
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(3)

Based on memo Edwards to Mohr 8-30-56 CRD:jlw

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Gandy _____



COPY - 137

TO : Mr. Mohr 8/30/56

FROM : H. L. Edwards

SUBJECT : *(A. Rosen)*
ABRAHAM TELVI, was et al
DECEASED
VICTOR RIESEL - VICTIM
OBSTRUCTION OF JUSTICE - CONSPIRACY

INCENTIVE AWARDS AND COMMENDATIONS

On 4/5/56, then unknown assailant flung sulphuric acid in Victor Riesel's face in NYC, blinding him for life. Intensive Federal and local investigations immediately begun with no apparent results until 7/31/56, when Bureau informant furnished information indicating Olga Dela Cruz had knowledge of the case. Cruz advised on 8/2/56, that her boyfriend, subject Abe Telvi threw acid. Intensive investigation thereafter resulted in arrests on 8/17/56 and 8/28/56, of subjects, Gondolfo Miranti; Joseph Peter Carlino, was, Joe Pilo; John Dioguardi, was, John Dio; Thomas Dioguardi, was, Tommy Dio; Charles Tusio; Charles Carlino, was, Charlie Whoppie; and Domenico Bando, was Nick Bando and material witnesses.

Assistant to the Director Boardman took charge of investigation in NYC on 8/9/56.

Mr. Boardman has recommended following personnel for incentive awards:

ASAC Edward J. McCabe and SA [redacted] for \$500 each; ASAC William G. Simmon and SAs Jack E. Herington, Arthur P. Duffy, [redacted] and John Dennis O'Connell for \$300 each; SAs [redacted] Joseph F. Phelan, James P. Flynn, Kenneth R. Kirwan, [redacted] and Thomas J.

b6

Enclosures
CRD:mfj
(2)

ORIGINAL FILED IN 67-72-7516

74

82 SEP 17 1956

Emery for \$200 each. Also recommended letters of commendation to SAs [redacted], Nicholas L. Dunbar, James T. Mulroy, Frank R. Gerrity, [redacted], Harold V. Cates, Jack H. Lupton and Joseph E. Ziel. Also recommended personal letter to SAC Kelly for his contributions and general letter to SAC Kelly commending all other agents, stenographers and clerks who assisted in the over-all operation.

Assistant Director Rosen recommended letters of commendation for SOG Supervisor Bernard D. Marren and Section Chief Courtney A. Evans as well as Supervisor A. J. McGrath and Mr. E. Hugo Winterrowd. He also indicated that commendation would be in order for Assistant Director Belmont who has so capably handled top level aspects of this case as well as the Weinap case while acting in Mr. Boardman's position during the latter's presence in NYC.

Assistant Director Belmont recommended that Mr. Rosen be commended for his personal supervision of this case according to him was of great benefit.

Mr. Belmont also thought that consideration should be given to commending Mr. Boardman for the highly effective manner in which he handled the over-all direction of the case in New York.

Inspector J. J. McGuire in Mr. Nichols' Office recommended that SA Robert Wick should also be commended for the highly competent manner in which he handled various press release matters in this case. Mr. Belmont added that he thought Inspector McGuire should be commended for his over-all responsibility in connection with the preparation of the press release.

b6

SAC, Cleveland recommended that the following agents be commended for the outstanding work performed by them and the personal sacrifices they made in locating [redacted] with whom some of the subjects hid out in Youngstown, Ohio: J. Robert Howard, [redacted], [redacted], Frank D. Douds, Trent D. Siple and [redacted].

BRIEF BASIS FOR INCENTIVE AWARDS

ASAC Edward J. McCabe (\$500); handled direct supervision and devoted exclusive energies to this case averaging in excess of nine hours per day overtime. Outstanding job in direction of agent activities and has had exceptionally good perception of direction activities should take. Exhibited outstanding leadership qualities and Mr. Boardman recommends award also include exceptional activities in Weinap case. b6

SA [] (\$500); outstanding investigation in this case. Secured story from subject Miranti who furnished information re Dio brothers. Also handled key informant who furnished valuable information re background activities subjects this case.

ASAC William G. Simon (\$300); Since Boardman's arrival NY, ASAC Simon has been of immeasurable assistance in guiding activities of agent investigative work. Though this case not his responsibility, he has worked same hours as ASAC McCabe and Boardman. Recommended for award for outstanding work in this and Weinap case.

SA Jack E. Herington (\$300); after extensive interviews secured statement from Olga Dela Cruz which was initial essential information in development this case. Also handling important liaison function with U. S. Attorney in capable manner.

SA Arthur P. Duffy (\$300); case assigned to Duffy since inception, who assisted in securing information from Cruz. Has handled many key interviews and has done exceptionally good work.

SAs [] and John Dennis O'Connell (\$300 each) These agents secured signed statement from Joe Pilo which was of material assistance in final solution this case. Also presently securing statement from Nick Bando. b6

SA [] (\$200); assisted SA [] in procurement of signed statement from Miranti.

SA Joseph F. Phelan (\$200); exceptional job in establishing fixed

surveillances on subjects Pilo, Bando and Tusso in neighborhood requiring exceptional care and discreetness.

SA James P. Flynn, Kenneth R. Kirwan and [redacted] (\$200); through extensive carefully planned interrogation obtained statements from key witnesses currently in protective custody. b6

SA Thomas J. Emery (\$200); secured very valuable background data on subject through potential criminal informant and for effecting apprehension of Thomas Dioguardi.

BUREAU RECORDS OF AGENTS RECOMMENDED FOR INCENTIVE AWARDS

Over-all records following agents satisfactory and pertinent information set forth pertains to last three-year period.

ASAC Edward J. McCabe; EOD 1/2/35, GS-15, \$12150, commended on four occasions by Director and three additional occasions by Director through SAC. Received \$300 cash award 3/20/56 for outstanding work in Bank Robbery case involving [redacted] b6
[redacted] Censured seven times.

SA [redacted] EOD 9/8/47 GS-13, \$8990 commended four times and once through SAC.

ASAC William G. Simon, EOD 12-9-40, GS-16, \$12,900, transferred to New York 5/12/55, since commended twice and censured twice.

SA Herington, EOD 8/21/50 GS 12, \$7785, commended six times and once through SAC. Reallocated to Grade GS-12 on 8/15/54 for outstanding alertness in Bank Robbery case. censured once.

SA Duffy, EOD 6/29/42, GS-13, \$9420, commended twice and censured once.

SA [redacted] EOD 3/5/51, GS-12, \$7570, commended five times and once through SAC. censured once.

SA O' Connell, EOD 5/11/42, GS-13, \$9850, commended twice and once through SAC. 10/24/54, received superior accomplishment promotion in connection with development of confidential source. On 2/8/55, received \$225 cash award for development of confidential source.

SA [redacted] EOD 9/23/40, GS-13, \$9635, commended once through SAC.

SA Phelan, EOD 1/29/51, GS-12, \$7570, commended twice.
SA Flynn, EOD 2/28/49, GS-12, \$7785, commended once and three times through SAC. Censured once.
SA Kirwan, EOD 8/27/51, GS-11, \$6820, commended once through SAC.
SA [], EOD 8/4/41, GS-13, \$9420, commended once.
SA Emery, EOD 3/30/52 as SA, GS-11, \$6605.

RECOMMENDATIONS

(1) That ASAC Edward J. McCabe be approved for \$500 cash award for outstanding work performed by him in this case and the Weinap case. (If approved, letter attached to Weinap memo.)

b6

(2) That SA [] be approved for \$500 cash award for his very major contribution toward the ultimate solution of this case.

b6

(3) That ASAC William G. Simon and SA [] be approved for \$300 cash awards for outstanding work in this case as well as the Weinap case. (If approved, letter attached to Weinap memo.)

(4) That the following agents of the New York Office be approved for cash awards for their material contributions to the success of the case:

(a) SAs Jack E. Herington, Arthur P. Duffy, [] and John Dennis O'Connell for \$300 each.

b6

(b) SAs [redacted], Joseph F. Phelan, James P. Flynn, Kenneth R. Kirwan and Thomas J. Emery for \$200 each.

(5) That following personnel New York Office be commended:

(a) SAs [redacted], Nicholas L. Dunbar, James T. Mulroy, Frank R. Gerrity, [redacted] Harold V. Cates, Jack H. Lupton and Joseph E. Ziel.

(b) Personal letter to SAC Kelly.

(c) General letter to SAC contributions of other employees.

(6) Commendations for Assistant Director Rosen, Mr. E. Hugo Winterrowd, SA Supervisors Bernard D. Marren and A. J. McGrath and Section Chief Courtney A. Evans of the Investigative Division.

(7) Commendation for Assistant to the Director L. V. Boardman for on-the-spot supervision in New York.

(8) Commendation for Assistant Director Belmont for highly competent handling of administrative phases in Washington.

COPY - 137

(9) Commendation for Inspector J. J. Mc Guire and SA Robert Wick in Mr. Nichols' Office for capable handling of press releases.

(10) Commendation for following agents of the Cleveland Office in connection with location of hideout:

b6

SAs J. Robert Howard, [REDACTED], [REDACTED]
[REDACTED] Frank D. Douds, Trent D. Siple and [REDACTED]
[REDACTED]

(11) In view of the highly effective manner in which the press release was handled in Mr. Nichols' office, it is recommended that Mr. Nichols also be commended for his over-all supervision of this matter.

I agree 8/31
JPM/NSC

If the foregoing recommendations are approved appropriate communications are attached.

OK
H

I agree
FH

b6

PERMANENT BRIEFS OF THE PERSONNEL FILES OF SAs ANDERSON, HERINGTON, DUFFY, [REDACTED], O'CONNELL, [REDACTED] PHELAN, FLYNN, KIRWAN, EMERY ARE ATTACHED.

October 10, 1956

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have been most gratified to note that the Investigative Division has oversubscribed its quota in the United Givers Fund campaign.

The generous support given to this very worthy endeavor is heartwarming and I wish you would convey to the personnel of your division my personal appreciation and commendation.

Sincerely yours,

LRH:js
(3)

Based on memo Edwards to Mohr 10/8/56 RWB:mrp

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OCT 15 1956

COMM - FBI
OCT 10 1956
MAILED 31

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN *LB*

DATE: 8/29/56

FROM : *A. ROSEN*

Tolson	_____
Nichols	_____
Boardman	_____
Belmont	_____
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Holloman	_____
Gandy	_____

SUBJECT: ABRAHAM TELVI, with aliases, (Deceased), et al
 VICTOR RIESEL - VICTIM
 OBSTRUCTION OF JUSTICE

In connection with the above-entitled matter, the supervision at the Seat of Government is worthy of mention and those responsible for the supervision of this case should receive letters of commendation.

Supervisor B. D. Marren is the supervisor to whom this case was assigned and he has a thorough knowledge of it. His supervision of this case was excellent. Marren is assigned to the Accounting and Fraud Section. Courtney A. Evans, who is the Chief of this Section, personally participated in all phases of the supervision of this investigation and followed the matter very closely. In addition, Mr. Winterrowd and Supervisor A. J. McGrath participated in the supervision.

RECOMMENDATION

As a result of the developments in this case and the close supervisory attention which was given to it by the above-named persons throughout the various phases of the investigation, it is recommended that letters of commendation be directed to the above-named persons.

MR Rosen's personal supervision of this case was of great benefit. He should be commended also.

cc - Mr. Mohr

AR:WW
(4)

*Let's Commendation
 to McGrath, Marren,
 to Winterrowd
 Mr. Evans
 11/1/56 DE m/jj*

RECORDED - 148

165/36-237

SEP 13 1956

3-eps

September 4, 1956

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

The recheck inspection of the Investigative Division by Inspector Teague reflected improvement in a number of phases of operations. The following observations of the Inspector should serve as a guide in bringing all activities of the division to the highest possible level of performance.

The Inspector considered that the premises occupied by your division were neatly maintained and efficiently arranged. Physical condition and maintenance were rated as excellent, taking into consideration the general shortage of space in the Justice Building.

Investigative operations were rated as very good. The two substantive errors, one of which was chargeable to the field, could have caused serious embarrassment. It is gratifying to note the favorable reduction in the time required to complete investigations in Applicant, Civil Rights and Security of Government Employee cases and to process name checks.

The decline in pending fugitive investigations, occasioned by the decrease in deserter cases, indicates the necessity to develop additional general fugitive cases. You should continue to closely follow the field to further reduce

cc - Mr. Mohr (Attention H. L. Edwards) (with enclosure)

inspection file of Investigative Division

LOT:ATH/rcw:hcc (Based on memo B. C. Brown to Mr. Tolson
8/31/56 re INSPECTION OF INVESTIGATIVE
DIVISION BY INSPECTOR L. O. TEAGUE,
8/9-24/56; LOT:hcc)

Tolson _____
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Room _____

(6)

Mr. Alex Rosen

delays in the receipt of Probation Violator cases. There has been a favorable increase in criminal informants; the number of potentials, however, has declined. Increased emphasis should be placed on the development of a productive reservoir of potential criminal informants. I am pleased to note that there has been improvement in the accomplishments attributable to informants although payments to informants have been reduced. It is essential that stringent economy be exercised in this, as in all other phases of operations, during the current fiscal year.

I am confident that close, vigorous supervision will enable your division to surpass the commendable record of statistical accomplishments compiled during the past fiscal year. The record for the first month of the current fiscal year reflects increases in fugitive apprehensions and automobile recoveries and declines in convictions and fines, savings and recoveries. Make certain that all personnel are fully aware of the necessity for constantly driving forward in our accomplishments.

There has been a decline in stenographic production since last inspection. Although there are a number of inexperienced stenographic employees, additional training and guidance should result in increased production. (Errors in correspondence, although below the Bureau average for June and July, 1956, reflect the necessity for closer attention by stenographic and supervisory employees. Four cases were pointed out by the Inspector in which Bureau supervisors had failed to instruct and follow the field to correct investigative delinquencies noted during last inspection. As the Inspector indicated, in the future you are to see that Unit Chiefs, as well as supervisors, maintain administrative ticklers on cases requiring special attention to insure that all necessary action is taken.) On an over-all basis, administrative operations were evaluated as good.

Personnel matters were rated as excellent. (The Inspector was impressed with the enthusiasm evidenced by employees in the recent investigative achievements of the division. This creditable attitude should be encouraged.)

Mr. Alex Rosen

It is expected that you and your staff will constantly strive to improve all of the operations of the division. In view of the progress you have made, I am pleased to inform you that you are being removed from probation at this time.

Sincerely yours,

John Edgar Hoover
Director

Mr. Tolson

8/31/56

B. C. Brown

RECHECK INSPECTION
INVESTIGATIVE DIVISION
INSPECTOR L. O. TEAGUE
AUGUST 9-24, 1956

SUMMARY

OFFICIALS: Alex Rosen, Assistant Director since November 12, 1940; E. H. Winterrowd, #1 Man - Criminal, Accounting and Fraud Sections; J. R. Malley, #1 Man - Employees Security, Name Check and Special Inquiry Sections; F. L. Price, Chief, Criminal Section; C. A. Evans, Chief, Accounting and Fraud Section; G. C. Callan, Inspector, Special Inquiry Section; C. H. Stanley, Chief, Employees Security Section; L. N. Conroy, Chief, Name Check Section.

LAST INSPECTION: January 6 - April 6, 1956.

EVALUATIONS:

(1) PHYSICAL CONDITION AND MAINTENANCE..... EXCELLENT

Space is neatly maintained and has efficient, business-like arrangement.

(2) INVESTIGATIVE OPERATIONS..... VERY GOOD

(A) As of 8/10/56, total matters pending amounted to 75,944 - divided: Criminal, Accounting and Fraud, 57,346, or 76%; Applicant and Loyalty, 7,887, or 10%, and 10,711, or 14%, were Name Check requests from other government agencies. Pending matters have increased 6.5% since last inspection. Volume of work is now 9.5% greater in Criminal, Accounting and Fraud categories than during last inspection; 1% less in Applicant and Loyalty and 2% less in Name Checks.

Enclosures

cc - Mr. Mohr (Attention H. L. Edwards) (sent separately)

✓ Inspection file of Investigative Division

LOT:hcc

(6)

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mason _____
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Parsons _____
Rosen _____
Tamm _____
Nease _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

Memo to Mr. Tolson

(B) Substantive errors found in two out of 118 files reviewed; errors in one of these files involved inaccurate and incomplete reporting chargeable to field; other involved failure of Bureau Supervisor and field to properly follow through on instructions issued during last inspection in a fugitive investigation. In three additional cases administrative memoranda prepared by Inspector to provide constructive guidance to the investigations.

(C) Since last inspection there has been a favorable reduction in time required to complete investigations in Civil Rights, Applicant and Security of Government Employee cases, (1) average time required to complete Civil Rights cases reduced from 21 to 15.46 days, (2) Atomic Energy Act Applicant cases, reduced from 38.3 to 36.9 days, (3) Security of Government Employee cases, reduced from 44.3 to 42.8 days. Average time to process Name Checks has been reduced from 2.8 to 2.7 days.

(D) Total pending fugitive investigations declined from 3,939 as of 1/1/56 to 3,860 as of 7/1/56; net decline of 79 cases resulted from decrease of 88 in Deserter cases and increase of nine General Fugitive cases.

(E) Review of 91 Parole Violator cases reflected field offices are receiving these more promptly from Probation Officers and that Investigative Division is following field closely to obtain explanations for any unreasonable delays in referrals (excessive delays found in referral of these cases last inspection).

(F) Approved criminal informants increased from 1,624 on 3/1/56 to 1,665 on 8/1/56, or 2.5%; during same period potentials decreased from 5,639 to 5,418, or 3.9%. Although average monthly payments to informants have declined from \$10,166.00 to \$9,482.00 in past year, the accomplishments of informants have increased, indicating greater value for less money.

(G) During fiscal year 1956 statistical accomplishments increased over the prior year in convictions by 3.2%, in fines, savings and recoveries by 106.6% and in autos by 2.7%; total fugitives were 14% lower than prior year but general fugitive apprehensions (exclusive of Deserters) were at an all-time high at 4,230. For July, 1956, compared with July, 1955, fugitives are up 22.4%, automobile recoveries are up 6.9%; convictions are down 3.7% and fines, savings and recoveries are down 4.5%.

Memo to Mr. Tolson

(3) ADMINISTRATIVE OPERATIONS..... GOOD

(A) Stenographic and typing work in current status; clerical work on hand averaged six hours per employee, satisfactory. Stenographic and typing production averaged 2.4 pages typed per hour (2.96 during last inspection). Reduced production attributed by Investigative Division to new and inexperienced personnel (majority have less than six months' experience). Training program in effect.

(B) During period May 28 through August 3, 1956, 29.5% of all SOG correspondence originated in Investigative Division. This mail contained errors amounting to 0.21% (lower than Bureau-wide average of 0.26% for same period). Four files contained one form error each (three agent and one clerical) in the 118 files examined - low. One copy of Division Defense Plans had not been brought up to date; corrected. A combination lock on a file cabinet containing classified material had not been changed annually as required; corrected during inspection.

(C) In four of the cases written up for substantive errors in last inspection, Bureau supervisors had obtained explanations from field as instructed. Seat of Government supervisors failed, however, to instruct and follow field to take necessary action to correct the investigative delinquencies involved. Inspector concluded, and Mr. Rosen agreed, that in future the Unit Chiefs as well as the supervisors should maintain administrative ticklers to insure corrective action taken in cases requiring special attention.

(4) PERSONNEL MATTERS..... EXCELLENT

Total personnel as of 8/10/56 was 310, consisting of 121 agents (two on special assignment) and 189 clerical employees - net number of personnel 308. Since last inspection, personnel increased by six, or 2%, and pending work has increased 6.5% during same period. Mr. Rosen considers present personnel adequate but not excessive - Inspector concurs. Voluntary overtime, during last three months, averaged two hours twelve minutes per day - equitably distributed. Morale of employees appeared high and the Inspector found employees enthusiastic over past year's highest statistical record and successful solution of Brinks, Reisel and Weinberger cases. Employees appear determined to improve record next year.

Memo to Mr. Tolson

CONCLUSIONS:

Mr. Rosen and Staff were commended by the Director July 23, 1956, for interest, diligence and application to duty for excellent statistical results in past fiscal year. Since last inspection Reisel and Weinberger cases successfully solved, time required to process urgent deadline investigations and name checks for other agencies has been reduced. Further efforts are needed to: (a) exploit general fugitive cases to offset decline in receipt of deserter cases, (b) increase accomplishments in convictions and fines, savings and recoveries wherein there has been a decline for first month of current fiscal year, (c) increase stenographic production, (d) insure that Unit Chiefs and supervisors maintain administrative ticklers to follow field in correcting investigative delinquencies.

As a result of the last inspection Messrs. Rosen, Winterrowd and Price were continued on probation primarily as a result of investigative delinquencies in Criminal Section. Current recheck reflects substantial improvement in supervision of investigative operations in the Criminal Section and generally satisfactory conditions in other operations throughout the Division. It appears that progress shown warrants removal from probation for Messrs. Rosen, Winterrowd and Price.

RECOMMENDATIONS:

1. Assistant Director Alex Rosen, GS-17, nonveteran, on probation since March 8, 1956, remove from probation. If approved, attached letter will serve.

2. Division #1 Man E. H. Winterrowd, GS-16, nonveteran, on probation since March 18, 1956, remove from probation. If approved, Administrative Division will handle.

(recommendations
continued on next
page)

Memo to Mr. Tolson

3. Criminal Section Chief F. L. Price, GS-15, nonveteran, on probation since March 8, 1956, remove from probation. If approved, Administrative Division will handle.

4. Recommendations as to other personnel submitted separately.

PERMANENT BRIEFS OF MESSRS. WINTERROWD AND PRICE ATTACHED

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN *JB 8/29/56*

DATE: 8/29/56

FROM : A. ROSEN *f*

SUBJECT: WEINAP

O
Investigative Division

Tolson _____
 Nichols _____
 Boardman _____
 Belmont _____
 Mason _____
 Mohr _____
 Parsons _____
 Rosen _____
 Tamm _____
 Nease _____
 Winterrowd _____
 Tele. Room _____
 Holloman _____
 Gandy _____

In connection with the above-entitled matter, Supervisor E. H. Hughes, to whom this case is assigned, closely followed all developments. In addition to Mr. Hughes, Supervisor R. J. Gallagher, who is the Supervisor in charge of the Unit, spent considerable time personally following this case. Section Chief F. L. Price, together with E. H. Winterrowd gave considerable time, thought and effort to the problems which came up in connection with the over-all supervision of this case.

RECOMMENDATION

It is recommended that letters of commendation be directed to Messrs. Hughes, Gallagher, Price and Winterrowd in connection with the Seat of Government supervision of this most important matter.

*MR Rosen should be commended also.
 Mr. Nichols agrees*

cc - Mr. Mohr

cc - Personnel files: Edward H. Hughes
 Richard J. Gallagher
 Frank L. Price
 E. Hugo Winterrowd

AR:LS
 (8)

*Memorandum to Mr. Boardman
 8/29/56*
 RECORDED-135

67-80005-1670

3. and

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

I certify that I have received the following Government property for official use:

~~returned~~

INSPECTOR'S MANUAL # 7 ✓
(issued 8-15-56)

RETURNED *destroyed 9/17/56*

INSPECTOR'S MANUAL # 11 ✓
(issued 4-7-52)

FILE

3-M

PER *af*

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

Very truly yours,

Alex Rosen
Alex Rosen

3 SEP 10 1956

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN

DATE: 8/15/56

SUBJECT: TWO-DAY CONFERENCE ON
LABOR RACKETEERING MATTERS

The two-day conference on labor racketeering matters held at the Bureau on August 13 and 14, during which 30 Agents from the field attended, was completed the evening of the 14th.

The conference was most productive and covered not only the investigative problems involved in this highly technical investigative area but also the administrative handling of cases.

A letter to all Special Agents in Charge is being prepared containing the beneficial information which might be disseminated to all offices, it being noted that the persons attending the school specifically understood they were to return to their offices, confer with their respective SAC's, and thereafter bring to the attention of all Agents who will work on these matters items covered during the conference.

I wish to advise that the supervisors assigned to the Labor Desk in the Accounting and Fraud Section, namely, [redacted] and H. Branch Wood, are responsible for preparing the material which was discussed during the conference and for leading the discussions. In addition, specific assignments were made to the representatives of the New York, St. Louis and Springfield offices. These were excellently handled by the personnel participating. Also there was a free and forthright discussion of all problems by all Agents in attendance.

I had the opportunity to attend the greater portion of this conference and on its conclusion numerous Agents stopped me in the hall and personally advised me of the excellence of the conference and the fact that they appreciated being asked to attend. This was a spontaneous reaction. I also wish to state that a rather unusual situation occurred when at the end of the conference after they were dismissed, those in attendance lined up in a so-called line "which formed to the right" and shook hands with the supervisors and personnel who participated in the conference at the Dept. of Government. I am advised by Courtney Evans, Chief of the Accounting and Fraud Section, that he too had experienced a similar situation.

Tolson

Nease

Clegg

Glavin

Ladd

Nichols

Rosen

Tracy

Harbo

Mohr

Winterrowd

Tele. Rm.

Holloman

Gandy

b6

Memorandum for Mr. Boardman

RECOMMENDATION

In view of the excellence of this conference, it is recommended that the following receive letters of commendation:

Special Agents Howard L. Gillespie of New York, John J. Buckley of St. Louis and Kermit F. Johnson of Springfield, who were assigned specific subjects.

Supervisors [redacted] and H. Branch Wood and Courtney A. Evans, Chief, of the Accounting and Fraud Section.

b6

gmw
Alb
VA
done 8/24/50
plotted
for 11/1/50
to Mr. Boardman
8-24-50
Also suggest
Rosen be commended
As he spent good
portion of his time
in the school and
was very enthusiastic
LEN

Also suggest Rosen be commended as he
spent good portion of his time in the
School and was very enthusiastic.

LEN

1
GMW
11/1/50

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN

DATE: 10/15/56

FROM : A. ROSEN

SUBJECT:

In connection with all outgoing correspondence through this office and reviewed by me as an approving official, there have been 10 errors of form during the last six months' period which were not detected by me but by the Reading Room. In accordance with existing instructions, a letter of censure should be directed to me.

Tolson _____
 Nichols _____
 Boardman _____
 Belmont _____
 Mohr _____
 Parsons _____
 Rosen _____
 Tamm _____
 Trotter _____
 Nease _____
 Winterrowd _____
 Tele. Room _____
 Holloman _____
 Gandy _____

AR:WW
 (2)

67-27215-388

Searched _____

Number _____

Let of _____

10 OCT 18 1956

FEDERAL BUREAU OF INVESTIGATION

3/29/1

October 17, 1956

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

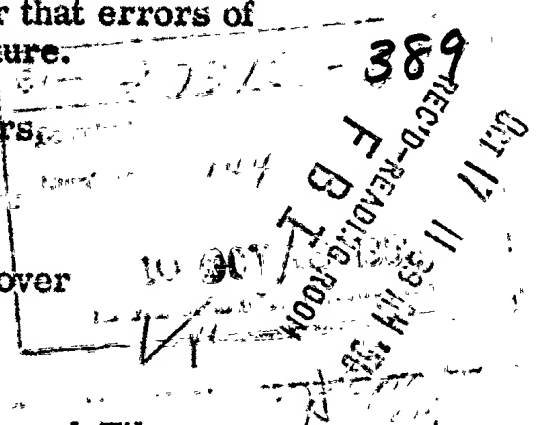
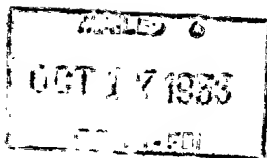
Dear Mr. Rosen:

In connection with efforts being made to eliminate nonsubstantive errors from official correspondence, my attention has been called to the fact that in the recent past you have failed to detect and have corrected a number of such errors in correspondence which you have approved.

Your failure to observe these errors indicates the need for greater thoroughness and care in reviewing all official mail in order that errors of this type may be eliminated in the future.

Very truly yours,

John Edgar Hoover
Director



cc - SOG Investigative Division Personnel File

TJN:mfj
(4)

Based on memo from Mr. Rosen to Mr. Boardman,
10/15/56, AR:WW.

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mason _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Nease _____
Verrow _____
Rosen _____
Toman _____
V _____

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN *LB*

DATE: 1/14/57

FROM : A. ROSEN *R*SUBJECT: RACIAL SITUATION
MONTGOMERY, ALABAMA

Handwritten initials and marks

Mr. Tolson _____
Mr. Boardman _____
Mr. Nichols _____
Mr. Belmont _____
Mr. Ladd _____
Mr. Clegg _____
Mr. Glavin _____
Mr. Harbo _____
Mr. Rosen *✓* _____
Mr. Tracy _____
Mr. Egan _____
Mr. Gurnea _____
Mr. Hendon _____
Mr. Pennington _____
Mr. Quinn Tamm _____
Mr. Nease _____
Tele. Room _____
Mr. Holloman _____
Miss Gandy _____

The Director has inquired as to why he was not telephonically advised of the information which Mr. Nichols furnished in the attached memorandum after I had called Mr. Hallford and then called Mr. Nichols to advise him of the Director's comments based upon information previously furnished by Messrs. Hallford and Nichols.

I should have immediately relayed this information rather than to immediately dictate a memorandum which subsequently was sent forward. There is no excuse for not having handled this matter telephonically. I regret that this matter was not handled properly so that ~~the Director would~~ have been fully apprised of this information.

RECORDED - 54 00-135-61-318
6 JAN 18 1957

1/14/57 - The attached memorandum was received in my office at 12:43 p.m., 1/12/57 and was read by me shortly before the Director's call to me Saturday afternoon.

L. V. Boardman *LB*AR:WW
(3)

Handwritten: 120

Stamp: PERS. FILES

Handwritten note: The point I make is that I was in the Bureau of Prisons evening I was never called to my attention until Sat P.M. during

Stamp: 27-215-390

Stamp: 7 JAN 31 1957

Vertical handwritten note on right margin: There are all with Boardman

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. TOLSON

DATE: 1/4/57

FROM :

[Handwritten signature]

SUBJECT: FIREARMS TRAINING DELINQUENCIES, 1956
BUREAU OFFICIALS AND SUPERVISORS

[Handwritten initials and checkmarks]
Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tamm _____
Nease _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

The following Bureau officials and supervisors are delinquent in the 1956 outdoor firearms training program:

1. Mr. Nichols
2. Mr. Rosen
3. Mr. Boardman
4. Mr. Mohr
5. Mr. Argett
6. Mr. DeLoach
7. Mr. A. J. Baker

Mr. Baker (Laboratory) who has an infected hand, Mr. Boardman and Mr. DeLoach, who underwent surgery in November, are scheduled for make-up training on January 7, 1957. The remainder have indicated they will shoot their make-ups at the first possible opportunity.

The Indoor Range training for the calendar year, 1956, will be completed on January 11, 1957. No delinquencies are anticipated.

Action

We will continue to follow the schedule closely and hold the delinquencies to a minimum.

HLS:rz
(3)

RECORDED 38

62-37021-544

Searched _____

Numbered 43

1 JAN 11 1957

FEDERAL BUREAU OF INVESTIGATION

[Handwritten signature]

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

12/20/56

I certify that I have received the following Government property for official use:

~~TEXT~~

POSITION CLASSIFICATION MANUAL #105 ✓

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

FILE

3-M

PER all

Very truly yours,

Alex Rosen

Alex Rosen

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-12-2010

February 28, 1957

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I was most displeased with the brief and summary prepared in the Investigative Division for my use in connection with my conference with the Attorney General today dealing with certain improper activities in the Labor-Management field and the pending Senate Committee investigation. Numerous very important matters were omitted entirely, other facts were not set out in sufficient detail and as initially submitted no table of contents or index was attached. The need of these in connection with a document of such length should have been thoroughly obvious to you and your subordinates.

The atrocious manner in which this brief was handled for me is typical of such material prepared in your division in the past and I do not intend to tolerate such slipshod work any longer. Accordingly, immediate steps must be taken by you to improve the quality of such memoranda and to prevent any further such deficiencies as I have referred to above.

Very truly yours,

John Edgar Hoover
Director

JIC:bak (5)

cc - Mr. Boardman (Personal Attention)

Investigative Division Personnel File

Based on memo from Mr. Tolson to the Director, 2/27/57, CT:DSS

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
Nease _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

Office Memorandum • UNITED STATES GOVERNMENT

TO : DIRECTOR

DATE: February 27, 1957

FROM : CLYDE TOLSON

SUBJECT:

Tolson _____
 Boardman _____
 Belmont _____
 Mohr _____
 Parsons _____
 Rosen _____
 Tamm _____
 Trotter _____
 Nease _____
 Winterrowd _____
 Tele. Room _____
 Holloman _____
 Gandy _____

The attached material for your use in the conference with the Attorney General tomorrow with respect to the Senate Select Committee on Improper Activities in the Labor Management Field, is typical of the type of briefs that have been prepared in the Investigative Divisions during the past several years.

The brief came to me without a table of contents and without an index. It certainly should be obvious that you could not fight through a long memorandum of this kind without some indication of its contents.

RECORDED-143

67-80005-1717

b6

On page 3 of the summary and on page 30 of the brief, reference is made to the fact that [] by pre-arrangement, met Hoffa on a street in Washington, D. C. No date of the meeting was given, which I have inserted in ink. The purpose of the meeting is not indicated, and I have instructed Mr. Evans to prepare a 1957 supplementary memorandum as to what the meeting was all about as it certainly seems pertinent to me to know the purpose of this contact.

The memorandum contains nothing concerning Dave Beck, his present whereabouts and the fact that recently some branch of the Labor Department asked the Civil Service Commission to conduct an investigation of Beck, as he was being considered for some official designation to represent the United States Government at an international meeting. As I recall it, we declined to make such an investigation. I certainly think the facts concerning this angle are pertinent to your conference with the Attorney General.

The general impression upon reading this memorandum is that they have thrown everything except the kitchen stove into the picture with the hope that they will not be criticized for leaving anything out. The end result certainly represents an extremely undesirable product for the purpose for which it was prepared and I strongly recommend strong letters of censure to Mr. Boardman,

143 Ltr to Boardman, Re: Mr. Hoffa
 and Evans 2/28/57

3/27/57

Copy to ALLEN, EVANS
A. Mr. Rosen and Mr. Evans for the most unsatisfactory manner in which this material was prepared. *also no grade who prepared it.*

CT:DSS

ADDENDUM

Another defect in the memorandum is that it does not specifically disclose the memoranda which we have sent to the Department for clearance to the Committee and what information has not as yet been cleared by the Department for the use of the Committee. I know we did send some information on communist infiltration of a labor union, which case is pending before the Subversive Activities Control Board and that Olney is objecting to furnishing this to the committee. We have also sent information concerning communist infiltration in the Teamsters' Union. This went to the Department this morning and has not yet been cleared. This information should have been clearly set out for your use in the prepared material.

cc Mr. Boardman
Mr. Belmont
Mr. Rosen
Mr. Evans

*This is atrocious. I want
Tamm to immediately
look into this & overall
operations of Mr. Dir.
as I do not intend to
tolerate such slipshod
shoddy work any longer*

March 1, 1957

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

b6

I wish to call to your attention the most inadequate manner in which a problem relating to the Impersonation investigation of [] was handled in the Investigative Division. A memorandum from the Albany Office was received in your division on February 12, 1957, and although it involved a complaint from another Government agency and possible dereliction on the part of an FBI Agent almost two weeks' time elapsed before a memorandum and an outgoing communication were submitted in satisfactory form. The nature and seriousness of this problem should have made the necessity for prompt and efficient handling obvious.

You were most derelict in not having set up proper controls to avoid such delays and you were also at fault in failing to recommend appropriate disciplinary action against the responsible employees until you were instructed to do so. I shall expect you to avoid any similar failures in the future.

Very truly yours,

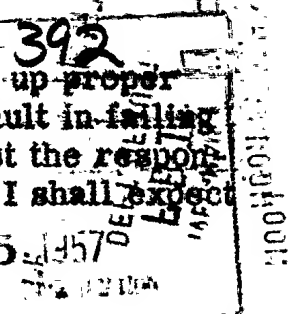
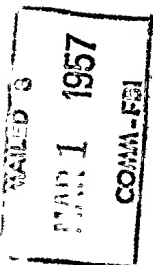
John Edgar Hoover
Director

JIC:bak (5)

cc - Mr. Boardman (Personal Attention)
Investigation Division Personnel File

Based on memo from E. E. Hargett to Mr. Rosen, 2/28/57, EEH:fds.

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
Nease _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____



March 11, 1957

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I am taking this means to tell you
that I am most appreciative of the exceptionally
fine way in which the investigation of the Bribery
case involving James Riddle Hoffa and others
was handled by you.

This was certainly a fast-breaking
case and your part in the planning and direction
of the various aspects was admirable. Please
accept my thanks for a job exceedingly well done.

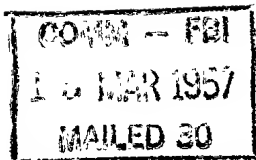
Sincerely yours,
J. Edgar Hoover

REC'D-READING ROOM

FBI

MAR 15 11 28 AM '57

393



LRH:js
(3)

Based on memo Mr. Boardman to The Director 3/14/57.
LVB:CSH.

Salutation per Reading Room.

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mason _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Nease _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

REPORT OF PERFORMANCE RATING

Name of Employee: ALEX ROSEN

Where Assigned: Investigative Division
(Division) (Section, Unit)

Official Position Title: Assistant Director

Rating Period: from April 1, 1956 to March 31, 1957

ADJECTIVE RATING: SATISFACTORY Employee's Initials
Outstanding, Excellent, Satisfactory, Unsatisfactory

Rated by: Clyde A. Nelson Associate Director 3/31/57
Signature Title Date

Reviewed by: [Signature] Assistant Director APR 5 1957
Signature Title Date

Rating Approved by: [Signature] Assistant Director APR 5 1957
Signature Title Date

TYPE OF REPORT

- (X) Official
(X) Annual

()	Administrative
()	60-Day
()	Transfer
()	Separation from Service
()	Special

FEDERAL BUREAU OF INVESTIGATION

NARRATIVE COMMENTS

Note: The regulations require that OUTSTANDING ratings be supported by a statement in writing setting forth IN DETAIL the performance IN EVERY ASPECT and the REASONS for considering each worthy of SPECIAL COMMENDATION. UNSATISFACTORY ratings must be supported by a statement in writing stating (1) WHEREIN the performance is unsatisfactory, (2) the facts of the (90 day) PRIOR WARNING, and (3) the efforts made AFTER THE WARNING TO HELP the employee bring his performance up to a satisfactory level.

Office Memorandum • UNITED STATES GOVERNMENT

TO : THE DIRECTOR

DATE: March 14, 1957

FROM : L. V. Boardman *LB*SUBJECT: JAMES RIDDLE HOFFA, et al,
Bribery; Obstruction of Justice; Conspiracy

Tolson _____
 Nichols _____
 Boardman _____
 Belmont _____
 Mohr _____
 Parsons _____
 Rosen _____
 Tamm _____
 Trotter _____
 Nease _____
 Winterrowd _____
 Tele. Room _____
 Holloman _____
 Gandy _____

RECOMMENDATIONS FOR COMMENDATION

At the conclusion of the Hoffa matter last night, I instructed SAC Laughlin, WFO, to get to me promptly his recommendations for commendation as to the agents of his office who participated in this investigation. Since, however, Laughlin had gotten no sleep Tuesday night (3/12) and had, therefore, been awake for approximately 40 hours, I sent him home and told him to get the recommendations to me promptly after he had had a chance to get some sleep.

Concerning the Investigative Division, the recommendations set out below are being made:

b6

67-86113
 [] - This case was assigned to Supervisor [] and in my frequent discussions with him I found him to be very well informed, alert to the pertinent points involved in this investigation, and his preparation of the memoranda to the AG (keeping him advised as to developments) was good. He expended a considerable amount of voluntary overtime. I recommend a letter of commendation.

H. B. ~~WOOD~~;
[]

These Supervisors in the Investigative Division have a detailed knowledge of labor matters and, through extensive research conducted, were able to supply valuable material to the field to assist in the investigation conducted in this matter. They expended a considerable amount of voluntary overtime. I recommend that they receive letters of commendation.

67-86113
 R. A. ~~GARVEY~~ - Supervisor Garvey is in charge of the Unit in which this case was being handled. I had numerous occasions to consult with him, had him present with me in the office at the time this case was

67-86113-
 Searched _____
 Numbered _____
 MAR 15 1957
 FEDERAL BUREAU OF INVESTIGATION

LVB:CSH (4)

cc Mr. Mohr

3, lb

Director

breaking, and found that he was able to provide me, promptly, concisely and clearly, with the answers to many questions. I recommend a letter of commendation for his assistance in this matter. ✓

A. J. ~~McGRATH~~ - McGrath is the #1 Man of the Accounting and Fraud Section, and was at my direction given many functions to perform during the course of this investigation, and particularly during the time it was in a fast, breaking stage. I found him well informed, aggressive insofar as getting things handled promptly was concerned, and I felt that he was of material assistance to me. I recommend he receive a letter of commendation. ✓

C. A. ~~EVANS~~ - Section Chief Evans has been assigned to maintain liaison with Robert Kennedy of the Senate Select Committee. Mr. Evans accompanied me during the numerous conferences I had with Departmental officials and with Senator McClellan. I found him thoroughly versed in the ramifications of this case and I am pleased with the perspective he has exhibited thus far in his handling of his liaison function. He was with me on those occasions when there were fast, breaking developments, and particularly he was of assistance last night. I recommend a letter of commendation. ✓

ASSISTANT DIRECTOR A. ~~ROSEN~~ - Mr. Rosen has worked with me continuously since this case started breaking. He collaborated with me on the procedures and plans to be followed in this operation and gave many of the instructions to the subordinates involved on the operational level. His assistance was invaluable. I recommend a letter of commendation. ✓

As soon as the recommendations are secured from SAC Laughlin, they will be brought to your attention.

also, a letter
to Boardman

2 →
✓

OK.

all letters sent
3/15/57
mm

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Rosen *fw*

DATE: 2-28-57

FROM : E. E. Harbo *fw*

SUBJECT:

IMPERSONATION

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mason _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Nease _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

With regard to the request by the Director's Office for a memorandum concerning the handling of the mail in the above-captioned matter, the following is submitted:

Albany memorandum to the Bureau dated 2-5-57, was received in the Investigative Division on 2-12-57, and received in the Criminal Section on 2-13-57. Since this was an impersonation matter, it was routed to the General Investigative Intelligence Unit to be handled by Supervisor . The Unit Chief is . On 2-12-57, the General Investigative Intelligence Unit was engaged in a special assignment preparing expedite memoranda in connection with the material being compiled for the McClellan Committee, on labor racketeering by the Accounting and Fraud Section of the Investigative Division. This work was completed on 2-14-57, and on the same day, Supervisor had to take Emergency Annual Leave due to his wife's illness. This letter was then reassigned to Supervisor H. J. Morgan for handling on 2-15-57.

He and Unit Chief dictated a memorandum on that afternoon and forwarded it to Section Chief F. L. Price late the same day. Price examined their memorandum on Saturday, 2-16-57, and found that it was unsatisfactory. He returned the material to Morgan and instructed him to correct it and return it. It was returned to Price on 2-18-57, by Morgan, and was sent to myself late on 2-18-57, dated for 2-19-57. I reviewed the entire matter on 2-19-57, and returned it to Price for revisions, as in my opinion, it was not clear, as I thought that each allegation should be set up separately in the memorandum and proper refutations set forth. Price had and Morgan rewrite the memorandum and it was returned to my desk on 2-20-57, at which time I noted that the memorandum was four pages. I, therefore, had a cover memorandum synthesizing the entire situation prepared by Night Supervisor W. E. Assmus, under date of 2-21-57. The memorandum, after being discussed with you, was sent to Mr. Boardman's Office on 2-21-57.

cc: Administrative Division

2/28/57 - This correspondence was received in my office at 9:28 a.m., 2/28. It was returned by Supervisor Scatterday to have the last sentence added on page 2 of the airtel, and was received back in my office at 1:13 p.m., 2/28. It was thereafter sent to Assistant Director Mohr, and was received in the Chief Clerk's Office at 3:29 p.m., 2/28, and in Mr. Mohr's office at 4 p.m., 2/28.

EEH:fdm
(6)

LVB

3/1/57

Memorandum to Mr. Rosen

ADDENDUM: 2-28-57, AR:fds

RECOMMENDATIONS:

1. Even though the material reviewed was voluminous and corrections had to be made, this memorandum could have been handled more quickly. It is recommended that Section Chief F. L. Price be given a letter of censure for his not seeing that the communication was handled more promptly in his section.

Mr. Price
Mr. King *2/28* *Mr. Price* *2/28* *Mr. Price* *2/28*

2. Number One Man E. E. Hargett should receive a letter of censure for his not seeing to it that it was handled more quickly in his office, where it was received in the late evening of 2-18-57.

Mr. Hargett *2/28* *Mr. Price* *2/28* *Mr. Price* *2/28*

3. I recommend that Unit Chief , who was responsible for the mail in his unit even though he was working on a special, should receive a letter of censure for not insuring that this matter was handled with more dispatch.

R *gm* *2/28* *Mr. Price* *2/28* *Mr. Price* *2/28* *Mr. Price* *2/28*

PERMANENT BRIEFS OF THE PERSONNEL FILES OF PRICE, HARGETT
AND ARE ATTACHED.

Boardman should spot such delays and recommend appropriate action

Letter of censure to Leggett, Price, Hargett, Rosen + Boardman, 3/1/57

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME Rosen, Alex			2. GRADE AND COMPONENT OR POSITION Ass't Director		3. IDENTIFICATION NO.
4. HOME ADDRESS (Number, street or R.F.D., city or town, zone and State)			5. PURPOSE OF EXAMINATION Annual		6. DATE OF EXAMINATION 4-24-57
7. SEX M	8. RACE W	9. TOTAL YRS. GOVT. SERVICE MILITARY CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE		11. ORGANIZATION UNIT
12. DATE OF BIRTH 9-14-05		13. PLACE OF BIRTH N.Y.		14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN	
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS NNMC				16. OTHER INFORMATION	

17. RATING OR SPECIALTY	TIME IN THIS CAPACITY: TOTAL	LAST SIX MONTHS
CLINICAL EVALUATION		
NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)		

NORMAL	ABNOR- MAL	(Check each item in appropriate column: enter "N. E." if not evaluated)
		18. HEAD, FACE, NECK, AND SCALP
		19. NOSE
		20. SINUSES
		21. MOUTH AND THROAT
		22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)
		23. DRUMS (Perforation)
		24. EYES—GENERAL (Visual acuity and refraction under items 60, 60, and 61)
		25. OPHTHALMOSCOPIC
		26. PUPILS (Equality and reaction)
		27. OCULAR MOTILITY (Associated parallel movements, nystagmus)
		28. LUNGS AND CHEST (Include breasts)
		29. HEART (Thrust, size, rhythm, sounds)
		30. VASCULAR SYSTEM (Varicosities, etc.)
		31. ABDOMEN AND VISCERA (Include hernia)
	X	32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate if indicated)
		33. ENDOCRINE SYSTEM
		34. G-U SYSTEM
		35. UPPER EXTREMITIES (Strength, range of motion)
		36. FEET
		37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)
		38. SPINE, OTHER MUSCULOSKELETAL
		39. IDENTIFYING BODY MARKS, SCARS, TATTOOS
	X	40. SKIN, LYMPHATICS
		41. NEUROLOGIC (Equilibrium tests under item 72)
		42. PSYCHIATRIC (Specify any personality deviation)

- One external hemorrhoid NCD

- Sebaceous cyst left low back NCD

Females only	(Check how done)
	43. PELVIC <input type="checkbox"/> VAGINAL <input type="checkbox"/> RECTAL

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively)		REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES
O.—Restorable teeth I.—Nonrestorable teeth X.—Missing teeth XXX.—Replaced by dentures (6 X 8).—Fixed bridge, brackets to include abutments		

RIGHT	X	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32

Meets dental standards.

LABORATORY FINDINGS

45. URINALYSIS: SP. GR. 1.010			46. CHEST X-RAY (Place, date, film number, result)		47. SEROLOGY (Specify test used and result)
ALBUMIN	SUGAR	MICROSCOPIC			
Neg.	Neg.	Neg.	See Report 9775-57		Kahn, Negative
48. EKG FEB 3			49. BLOOD TYPE AND RH FACTOR B		50. OTHER TESTS
Normal					

MAY 11 1954

MEASUREMENTS AND OTHER FINDINGS											
51. HEIGHT 6'		52. WEIGHT 179		53. COLOR HAIR Alopecia 25% Gray		54. COLOR EYES Hazel		55. BUILD: SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE <input type="checkbox"/>		56. TEMP. 98.6	
57. BLOOD PRESSURE (Arm at heart level)						58. PULSE (Arm at heart level)					
SITTING		SYS. 122 DIAS. 80		RECUM-BENT		SYS.		STANDING (3 min.)		SYS. 3	
59. DISTANT VISION				60. REFRACTION				61. 25m NEAR VISION 0.62m			
RIGHT 20/20 CORR. TO 20/				BY S. CX				20.15 CORR. TO 20.10 BY Lens			
LEFT 20/20 CORR. TO 20/				BY S. CX				20.15 CORR. TO 20.10 BY Lens			
62. HETEROPHORIA: (Specify distance) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD											
63. ACCOMMODATION RIGHT LEFT				64. COLOR VISION (Test used and result) AOC 1940 18/18				65. DEPTH PERCEPTION (Test used and score) UNCORRECTED CORRECTED			
66. FIELD OF VISION				67. NIGHT VISION (Test used and score)				68. RED LENS		69. INTRAOCULAR TENSION	
70. HEARING		71. AUDIOMETER								72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)	
		250 256		500 512		1000 1024		2000 2048		3000 2896	
RIGHT WV 15 /15 SV											
LEFT WV 15 /15 SV											
		RIGHT									
		LEFT									
73. NOTES (Continued) AND SIGNIFICANT OR INTERVAL HISTORY											

(Use additional sheets of plain paper if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)						76. PHYSICAL PROFILE					
						P U L H E S					
77. EXAMINEE (Check) <input checked="" type="checkbox"/> IS <input type="checkbox"/> IS NOT QUALIFIED FOR						78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER					
Strenuous Physical Exertion and use of Firearms.						PHYSICAL CATEGORY					
						A B C E					
79. TYPED OR PRINTED NAME OF PHYSICIAN						SIGNATURE s/J. J. Ring					
80. TYPED OR PRINTED NAME OF PHYSICIAN						SIGNATURE					
81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)						SIGNATURE s/M. Zustiak					
82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY						SIGNATURE					
						NUMBER OF ATTACHED SHEETS					

PATIENT'S LAST NAME—FIRST NAME—MIDDLE NAME

REGISTER NO.

WARD NO.

Staff Clinic

ROSEN, Alex FBI

AGE SEX (Check one)
☐ BEDSIDE, WHEELCHAIR, OR STRETCHER ☐ BED PATIENT ☐ AMBULATORY

EXAMINATION REQUESTED

REQUESTED BY

DATE OF REQUEST

(Above space for mechanical imprinting, if used)

PERTINENT CLINICAL HISTORY, OPERATIONS, PHYSICAL FINDINGS, AND PROVISIONAL DIAGNOSIS

FILM NO.

9775-57

DATE OF REPORT.

RADIOGRAPHIC REPORT

4/24/57 CHEST: Aside from primary Ghon complex on the left, no abnormality of the lung fields is noted. The cardiac outline is within normal limits. JCO:egc

SIGNATURE: (Specify location of laboratory if not part of requesting facility)

GPO c9-16-58006-5†

Standard Form 519A (Rev. Aug. 1954)
Promulgated by Bureau of the Budget
Circular A-32 (Rev.)

(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)

RADIOGRAPHIC REPORT

67-27215-395

ATTACHMENT TO STANDARD FORM 88
(Revised July 25, 1956)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form need not be completed:

2	67
3	68
11	69
14	71 (Item 71, audiometer examinations,
17	should be afforded whenever possible.)
62	
65	72

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X-ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee is qualified for strenuous physical exertion. (Designate which)
(is or is not)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

1. Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms? ☐ Yes ☒ No
2. Does examinee have any defects prohibiting safe operation of motor vehicles? ☐ Yes ☒ No

If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64 AND 70 PERTAINING TO VISUAL ACUITY, COLOR VISION AND HEARING BE COMPLETED IN DETAIL.

GR Johnston

(Signature of Medical Examiner)

APR 29 1957

(Date)

ROSEN, A.

67-27215-375

April 16, 1957

PERSONAL AND ~~CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

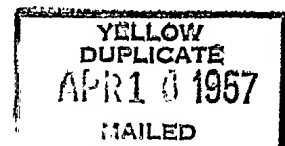
Dear Mr. Rosen:

Results of the recent inspection reflect a need for better coordination regarding outgoing correspondence, a reduction in airtels sent and establishment of an improved procedure to insure prompt issuance of Identification Orders. It is essential that mail of a nonroutine nature be approved above the unit or section level. It is expected that you will take the necessary action to insure that any future security violations are prevented.

There were favorable findings, including improvement in meeting deadline matters, increase in criminal informant production at lower cost, and the increase in three of four categories of statistical accomplishments. It is noted that the category of fines, savings and recoveries is down over last year's level by 50 per cent. It is expected that you and the employees under your supervision will remain continually alert to detect and closely follow those cases which will be productive in this regard.

It was necessary for the Inspector to point out deficiencies in the charge-out and inventory systems regarding keys maintained by your division. These facts should have been detected and corrected by you or employees under your supervision. Thirteen instances were noted wherein supervisory personnel had not recorded overtime on attendance registers at the end of the day in accordance with existing instructions. Periodic checks must be made to insure that the administrative records of your division are being kept in accordance with such requirements.

cc - Mr. Mohr (Attention: H. L. Edwards) (with enclosure)
cc - Personnel File of Assistant Director Rosen
cc - Inspection File - Investigative Division (67-7400-B)



NOTE: See memorandum from Q. Tamm to Mr. Tolson dated 4-15-57 captioned
LOT:mbk "Inspection - Investigative Division, Inspector W. M. Whelan,
(6) Inspector L. O. Teague, March 4 - 29, 1957, LOT:mbk."

Mr. Alex Rosen

It was most encouraging to note that the number of approved criminal informants has increased during 1956, and information received from these informants has resulted in increased accomplishments whereas payments to such informants have decreased.

Stenographic and clerical operations were found to be current and the production of stenographers in the Investigative Division averaged 2.65 pages per hour, which is above the Seat of Government average of 2.24 pages per hour. This is encouraging. The slight increase in pending investigative matters was analyzed by the Inspector and your attention was called to significant increases in individual categories of cases. It will be necessary for a continued analysis to be made of the trends of such cases in order to insure that proper supervision is being provided and that personnel is properly allocated.

Your attention has already been called to deficiencies in a brief submitted in connection with the McClellan Committee's activities. An examination was made by the Inspector of seven remaining briefs which had been prepared by your division, and it was specifically pointed out as to how these briefs could be improved upon by fixing over-all responsibility in one individual to insure that each brief contains a title page, table of contents, topic headings, index and tabs for ready reference. Thus, the appearance, as well as the contents of such briefs, should improve.

A survey was made regarding the handling of special memoranda and the management of paper work in your division. During a 5-day period, 110 items, amounting to 5.3 per cent of the total production, were retyped. Accuracy and promptness in the completion of our work are essential and every effort must be made to insure that you and your supervisory personnel provide all guidance possible prior to the preparation of material rather than after it has been prepared.

With regard to deadline cases, it was noted the time necessary to complete civil rights, applicant and employee security cases has decreased. You should continue to afford such matters detailed and preferred attention to insure that not only are investigative deadlines met but also matters of significant interest are promptly brought to the attention of the Department or other interested authorities. The Inspector reported that deadline-type cases were receiving close supervisory attention.

Mr. Alex Rosen

The errors found in outgoing mail amounted to 0.19 per cent, and, although this is below the Bureau average of 0.22 per cent, a further reduction is expected. The two substantive errors found in field reports point up the need for thoroughness in supervisory review at the Seat of Government to insure that matters being disseminated meet the Bureau's high standards of accuracy.

The Inspector directed your attention and that of Mr. Boardman to the practices wherein unit and section chiefs were approving mail directed to the personal attention of a Special Agent in Charge, or instructing him to take personal action or to allocate personnel. Two instances were found where unrealistic letters were prepared and sent from the Investigative Division to the field regarding delinquencies and accomplishment matters. It was further found that individual letters had been sent to the same field division where better correlation would have resulted if one letter were sent, thus serving to emphasize matters which are important and reflect better coordination by the Seat of Government. It is expected that you will insure that such delinquencies do not recur and establish the necessary coordination of mail whereby the volume sent will be reduced, achieving the same results and accomplishing sound economy in our operations.

The Inspector reported that overtime was being equitably shared and that morale was high. The successful conclusions of the Brink's Robbery and the Weinberger Kidnaping and the successful investigations in the Riesel and Hoffa cases have made a particularly favorable reflection on the Bureau. Because of these achievements and the public trust in the Bureau, you must recognize immediately when matters are of great public interest and afford such matters the ultimate in investigative guidance and supervision.

Ratings assigned to the phases of operations were as follows: Physical matters and investigative operations - good; administrative operations - fair, and personnel matters - very good. It is expected that you will insure that administrative practices are brought to a higher level of efficiency. The foregoing instructions, as well as the other observations brought to your attention during the course of the inspection, should be carefully reviewed with the supervisory staff in your division. You should advise when corrective action has been taken.

Sincerely yours,

John Edgar Hoover
Director

ALEX ROSEN

PAYROLL #: 9

EOD: 10-16-33

NONVETERAN

ASSISTANT DIRECTOR

GS-17 at \$14,620.00

NOT ON PROBATION

Mr. Tolson_____
Mr. Nichols_____
Mr. Boardman_____
Mr. Belmont_____
Mr. Mohr_____
Mr. Parsons_____
Mr. Rosen_____
Mr. Tamm_____
Mr. Trotter_____
Mr. Nease_____
Tele. Room_____
Mr. Holloman_____
Miss Gandy_____

INSPECTOR TEAGUE: Mr. Rosen has been Assistant Director of the Investigative Division since November 12, 1940. Under his guidance during 1956 and the first 3 months of 1957, statistical accomplishments went up in three categories (convictions, fugitives and automobiles) - down in fines, savings and recoveries by 50 per cent. General highlights of inspection findings were: Too many airtels had been used; too many separate letters had been sent; form-type mail should be sent out on a section level and nonroutine mail should be approved by division head or higher. Instances where this had not been done were found. Better coordination needed regarding outgoing correspondence. Two substantive errors found in field reports; briefs which had been prepared could be improved, and specific items were set out wherein this improvement could be accomplished regarding organization, format and content. There had been some duplication of work before Identification Orders had been issued; deadline set to insure prompt issuance.

Percentage of errors in mail was listed in Investigative Division at 0.19 per cent; over-all Bureau average of 0.22 per cent. More information being received at less cost from criminal informants, and the number of informants had increased; particularly successful conclusions of cases had occurred re Brink's, Weinap, Riesel and Hoffa matters.

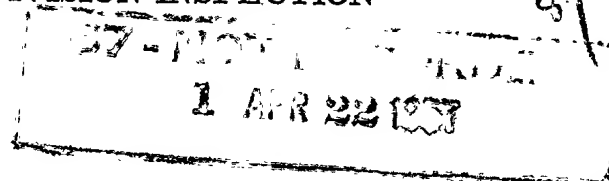
Supervisors state guidance had been furnished by officials in preparation of memoranda prior to rather than after memoranda had been prepared. Because of the accumulation of administrative-type delinquencies, censure is warranted.

Mr. Rosen makes an excellent personal appearance, is thoroughly familiar with Bureau policies, relations and procedures. Under his guidance accomplishments in the Investigative Division have improved.

INVESTIGATIVE DIVISION INSPECTION

April 15, 1957

LOT:mbk



2 - 210
3 - 210

Length of time to complete deadline matters has improved and with such improvements Inspector considers he is fully qualified to continue as Assistant Director.

Ratings on inspection were: Physical matters and investigative operations - good; administrative operations - fair, and personnel matters - very good. Daily overtime last 3 months - 3 hours 23 minutes.

RECOMMENDATION:

None . . . Informative. Recommendations as to Mr. Rosen's continuance in present capacity set forth in summary of inspection Tamm to Mr. Tolson dated 4-15-57.

May 17, 1957

PERSONAL AND ~~CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

The Investigative Division has been most derelict in its supervision of the Interstate Transportation of Stolen Property investigation based on the theft of jewelry from Eartz and King, jewelers, at Washington, D. C., on December 4, 1954. Although this case has been unsolved for over two years it apparently received little thoughtful or aggressive supervision in your division until I recently made inquiry concerning the case. In addition, details furnished me on May 10, 1957, disclosed an obvious delay on the part of the Washington Field Office but no effort was made to fix responsibility or to recommend administrative action until I made specific inquiry on this point.

396

There has been a definite failure to aggressively follow through on this case and I shall expect you to take steps to prevent any recurrence in the future.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

JIC:bak (5)

cc - Mr. Boardman (Personal Attention)

Investigative Division Personnel File

Based on memo from E.L. Price to Mr. Rosen, 5/14/57, AAS/rh.

COMM - FBI
MAY 17 1957
MAILED 31

2,112
Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
Nease _____
Tele. Room _____
Holloman _____
Gandy _____

ST-10-11

June 20, 1957

PERSONAL AND ~~CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

b6

I am greatly concerned at the failure on your part and on that of personnel under your supervision to properly supervise a phase of the Theft from Interstate Shipment case involving [REDACTED]. An agent assigned to the New York Division received a certain Bureau instruction relating to his appearance in court during the trial of this case and on June 10, 1957, you personally instructed that the Bureau be informed immediately of all subsequent developments. This was not done and there was a failure by the agent of the New York Division to carry out the intent of the instruction that had been received by him. If this matter had been followed more closely by you and your subordinates in the Investigative Division these delinquencies could have been avoided.

The significance of this matter should have been readily apparent to you and you should have recognized the need to afford it very close attention. I shall certainly expect you to make certain that in the future important matters such as this are supervised more effectively.

Very truly yours,

John Edgar Hoover
Director

TJN:bak (5)
cc - Mr. Boardman (Personal Attention)
Investigative Division Personnel File

Based on memo from Mr. Boardman to the Director, 6/17/57, LVB:CSH.

MAILED 8

JUN 21 1957

COMM-FBI

REC'D-READING ROOM

JUN 21 5 03 PM '57

FBI

33

JUN 21 1957

APM/100

APM/100

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

6-11-57

I certify that I have received the following Government property for official use:

~~XXXXXX~~ received

D. C. OFFICIAL PARKING PERMIT, Expires 6-30-58

~~DESTROYED~~

D. C. OFFICIAL PARKING PERMIT, Expires 6-30-57

3/pe

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

Very truly yours,

Alex Rosen
ALEX-ROSEN

9 JUN 12 1957

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Rosen *R*

DATE: 5/14/57

FROM : F. L. Price *FLP*

SUBJECT: UNKNOWN SUBJECTS (2)
 Theft of Jewelry Valued at \$100,000
 Bartz and King, Jewelers,
 Washington, D. C., 12/4/54
 INTERSTATE TRANSPORTATION OF STOLEN PROPERTY

Tolson _____
 Nichols _____
 Boardman _____
 Belmont _____
 Mohr _____
 Parsons _____
 Rosen _____
 Tamm _____
 Trotter _____
 Nease _____
 Tele. Room _____
 Holloman _____
 Gandy _____

b6
b7D

Previous memoranda advised of request received from Chicago by Washington Field 4/8/57, for information relating to unsolved major thefts to enable discussion with informant [redacted] Washington Field replied 4/30/57. The Director asked "Why does it take three weeks for Washington Field to reply?"

Chicago SAC Laughlin, Washington Field, has advised the Chicago communication was received by Relief Supervisor George R. Tucker who recognized its application to the Bartz and King jewel theft. He assigned the communication to SA James J. Ryan but because of the numerous communications handled did not acquaint the regular supervisor with this particular communication.

RECORDED - 139

67-131708-127

SA Ryan inquired among Agents and reviewed closed Interstate Transportation of Stolen Property cases for possible referral to the Chicago Office but located only the Bartz and King jewel theft case. Accordingly, letter was dictated by SA Ryan on 4/25/57, and sent on 4/30/57, requesting Chicago to contact the informant in connection with this jewel theft.

SAC Laughlin has recommended censure for SA Tucker in view of his failure to acquaint the regular supervisor with Chicago's request.

SAC Laughlin has also recommended censure for SA Ryan in view of his failure to promptly handle the Chicago inquiry.

The Chicago Office has advised the Bartz and King jewel theft discussed on 5/10/57, with [redacted] the Chicago jewel thief developed as an informant. After examination of photographs of jewelry stolen, [redacted] stated the items were distinctive. He stated, however, he had never seen any of the missing jewelry nor heard of a loss consisting of these pieces.

cc Mr. Mohr

AAS/rh

(7)

*Let's go Boardman, Rosen,
 Laughlin, Ryan & Tucker
 5/17/57*

b6
b7D

3/11C

Memorandum for Mr. Rosen

b6

Supervisor [] has completed a comprehensive review of this investigation. It was determined there were no suspects developed during the investigation who were not eliminated by investigative activity. As a result of the review, SA [] has prepared a memorandum instructing the Washington Field to again request all offices to thoroughly rediscuss this case with all informants. Particular emphasis should be placed on informants not previously contacted and those developed in recent months.

b6

As a result of SA [] review, the following is being offered for the consideration of the Washington Field Office:

b6

SA [] made the observation that a photograph of [] Philadelphia criminal, was eliminated by witnesses after a Metropolitan Police Department informant alleged [] implicated in theft. Bureau investigation did not establish informant's allegation. Metropolitan Police Department considers informant unreliable. It is being suggested that efforts be made to establish informant's veracity by use of polygraph. If this examination indicates informant's statements may be true, a thorough reinvestigation of [] and his associates will be ordered.

b6

A review also revealed that Bartz and King employee [] was of the opinion he had possibly seen one of the robbers while in military service. As a possibility, the Washington Field Office is being instructed to thoroughly reinterview [] with respect to his time in the military service and through use of records and personal photographs, attempt to identify the person whom [] observed in the military and who resembles one of the robbers.

RECOMMENDATION

(1) It is recommended that SA George R. Tucker, Washington Field Office, be censured for his failure to advise the regular supervisor of the receipt of the Chicago communication soliciting information with respect to major unsolved thefts.

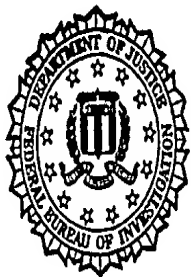
(2) It is recommended that SA James J. Ryan be censured for his failure to promptly handle the Chicago inquiry.

(3) Although he had no personal knowledge of the Chicago communication received by Washington Field on 4/8/57, it is recommended SAC Laughlin be censured in view of his over-all responsibility to insure inquiries having significance to this important investigation were promptly handled.

of this and
I recommend censure
for Boardman & Rosen
for failure to
aggressively follow
through on this until
they were forced to do so

→ It is regrettable but a rather common occurrence.

→ ϕ \rightarrow ϕ \rightarrow ϕ



FD-253 (11-22-54)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

WASHINGTON 25, D. C.

In Reply, Please Refer to
File No.Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name Mrs. H. Rosen Relationship Mother Date 5/27/57
Address 2859 Hampton Rd. Cleveland, Ohio

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty.

Name Same as above Relationship 67 - NO Date 6
Address 1 MAY 11 1957

MAY 27 1957

Very truly yours,

Alvin Rosen
Special Agent
Assistant Director

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN

DATE: 6/14/57

FROM : A. ROSEN *R*

b6

*New York*SUBJECT: was., et al.
THEFT FROM INTERSTATE SHIPMENT

Tolson _____
 Nichols _____
 Boardman _____
 Belmont _____
 Mohr _____
 Parsons _____
 Rosen _____
 Tamm _____
 Trotter _____
 Nease _____
 Tele. Room _____
 Holloman _____
 Gandy _____

With reference to the above-entitled matter, the following is submitted. The above was a most important matter and when it was brought to my attention on June 10th not only were those immediately responsible for the supervision of this case advised of it but others in the Division were also advised and subsequent information concerning the Bureau's position was brought to their attention through Mr. Nichols' memorandum reflecting his conversation with Rogers. Numerous calls have been made to the New York office and SAC Kelly and ASAC E. J. McCabe I thought understood our situation. It wasn't until June 13th that we were told Agent Flynn had done anything other than comply with our instructions. The New York office originally brought this to our attention because of the unusual situation wherein a subpoena was issued for the presence of an Agent and they were aware that Olney was trying to pull a fast one.

I do not wish to offer this explanation in mitigation of my position in this matter because I have been advised of the Director's observations by Mr. Boardman and I am in full accord with them. I have had a meeting of the Chiefs in this Division and have pointed out that on such matters of importance as this that it ought not to be necessary for Mr. Boardman or myself to remind them of the significance nor of the importance of such matters; the Director is constantly trying to iron these matters out with the Department for the benefit of all of us, and that certainly we ought not to allow these things to get by without having all of the answers up to date and current and the Director promptly advised of developments on such an important matter as this.

Mr. Boardman has asked for an explanation concerning my handling of this matter. I wish to advise that I regret that developments were not promptly brought to the Director's attention and that it was necessary to call the New York office even though we had previously instructed they keep us advised of developments in this matter.

In view of the situation in New York it would appear that we should again alert the field to the revision which was issued on 4/24/57, that Bureau authority is necessary before an Agent may sit at a counsel table to assist

1 copy auto
 AR:WW *7-8-57*
 (3)

131 100-27215-398
6 JUN 23 1957
LM

Mr. L. V. Boardman

Re: GEORGE EFRON, was., et al
THEFT FROM INTERSTATE SHIPMENT

the USA during a trial. Further, where an employee is to appear in connection with the trial of a case, he is not to line up witnesses or otherwise do any leg work or digesting for the USA's or their assistants prior to trial where reports and exhibits have been furnished to the USA. In view of what happened in New York, it would appear that all of our offices ought to be alerted to the functions of the Agent in this area of operation and in their contact with USA's in the preparation of the trial of cases. This is the function of the USA's office and the information which the attorney will need for trial should be, if the report is properly prepared, in the report, and the exhibits ought to be in such form so that the USA will be able to properly handle them. As indicated in the instructions, the Bureau will pass on any requests for an Agent to sit at the counsel table to assist the USA during the trial. I am preparing such instructions.

RECOMMENDATION:

I recommend that I receive a letter of censure and that E. E. ~~Hargett~~, under whose supervision this case falls, Section Chief F. L. ~~Price~~, Criminal Section, and Supervisor also receive letters of censure.

b6

Office Memorandum • UNITED STATES GOVERNMENT

TO : THE DIRECTOR

DATE: June 17, 1957

FROM : L. V. Boardman *70*

SUBJECT:

[redacted] et al,

THEFT FROM INTERSTATE SHIPMENT;
INTERSTATE TRANSPORTATION OF STOLEN PROPERTY

Tolson	_____
Nichols	_____
Boardman	_____
Belmont	_____
Mohr	_____
Parsons	_____
Rosen	_____
Tamm	_____
Trotter	_____
Nease	_____
Tele. Room	_____
Holloman	_____
Gandy	_____

b6

Pursuant to your direction, I am summarizing below the facts concerning the subpoenaing of Special Agent James P. Flynn by U. S. Attorney Williams, Southern District of New York, pursuant to the instructions of Assistant AG Warren Olney. Also below are summarized the explanations of SA Flynn, ASAC E. J. McCabe, SAC Kelly of the NY office, and Assistant Director Rosen and myself, with recommendations for administrative action.

Alex *X New York*

b6

A Memorandum from Mr. Price to Mr. Rosen, dated 6/6/57, reflects ASAC McCabe called from the NY office advising that AUSA [redacted] had specifically asked that a Bureau agent be permitted to assist him by sitting at the counsel table during the trial of instant case. ASAC McCabe stated he pointed out to [redacted] that agents would be available to testify at the trial of the case, and would be available for any pre-trial conferences, but because of the pressure of investigative matters it would not be possible to have an agent sit at the counsel table during the course of the trial. McCabe indicated that [redacted] was not too pleased and stated he might call the Department concerning instant matter.

RECORDED - 14

67-38609-571

With reference to this memorandum, the Director noted, "I am not going to allow any agent to be at counsel table with [redacted] in any case." The Director's comments were telephonically communicated to the NY office.

JUN 28 1957

A memorandum from Rosen to Boardman, dated 6/10/57 reflects that SAC Kelly called advising that Agent James P. Flynn had been served with a subpoena for his appearance in court in instant matter. SAC Kelly called USA Williams and inquired as to the reason for the issuance of a subpoena to agent Flynn. USA Williams stated that AAG Olney had called him at 9:30 a.m., 6/10/57, instructing Williams to serve Agent Flynn with a subpoena requesting his appearance in court. Olney desired this action in order "to bring this matter to a head." (Since Agents are normally

LVB:CSH (4)

cc Mr. Rosen, Mr. Mohr, Mr. Boardman

Enclosures

*Let's go Kelly, McCabe, Flynn,
Price, Handley, Hargrett, M. Hark
Rosen & Boardman 6/20/57*

3/20

The Director

notified that their presence is desired to testify at the trial of a case, the service of a subpoena was obviously designed to circumvent Bureau instructions that the agent was not to sit at the counsel table for the purpose of assisting in the trial of the case.) This memorandum further reflects that Mr. Rosen advised SAC Kelly that Agent Flynn should comply with the subpoena to appear as a witness, but that the agent was not to sit at the counsel table.

A memorandum from Mr. Rosen to Mr. Boardman, dated 6/10/57, reflects that Mr. Rosen called SAC Kelly on the evening of 6/10/57; to verify the fact that SAC Kelly understood completely that though the agent was to respond to the subpoena on the morning of 6/11/57, his appearance was merely for the purpose of testifying when called upon and he was not to sit at the counsel table. The memorandum further reflects that the NY office was to keep the Bureau advised of all developments in this matter.

b6

EXPLANATION OF SA JAMES P. FLYNN:

Flynn advises that on 6/10/57, while at the USA's office, AUSA [] SDNY, served him with a subpoena. Agent Flynn states he called ASAC EJ McCabe and advised him of this service, and was informed by McCabe that Flynn was not to sit at the counsel table, although he was to be available in the court to testify. Flynn stated that at 5 p.m., 6/10, he reported, pursuant to earlier instructions, to the office of ASAC EJ McCabe, and was then again informed that he was not to sit at the counsel table, although he was to report to the court in response to the subpoena. Agent Flynn stated he then was instructed to go to SAC Kelly's office where the latter personally informed him that Mr. Rosen had advised that Flynn was not to sit at the counsel table, but was to report to the court in accordance with the service of the subpoena.

b6

Flynn states that on the morning of 6/11 he advised AUSA [] that he was not going to sit at the counsel table. He then indicates that at the commencement of trial proceedings, defense counsel moved that all witnesses be excluded from the courtroom. AUSA [] requested the court's permission to exempt SA Flynn from this exclusion in order to assist in the prosecution. This request was granted by the court, over the objections of the defense counsel.

The Director

On reading the underscored portion of Agent Flynn's statement, I telephonically contacted SAC Kelly, 6/15/57, and inquired as to whether Flynn had advised the NY office that he, Flynn, had been exempted from exclusion "in order to assist in the prosecution." I pointed out to Kelly that this motion of exemption was an obvious clue to the USA's attitude of attempted circumvention of Bureau instructions to Agent Flynn.

SAC Kelly informed me that Agent Flynn had advised ASAC EJ McCabe telephonically of this motion. Kelly further informed me that McCabe had not brought this matter to Kelly's attention. McCabe stated he thought he had telephonically advised the Bureau of this matter, but was unable to locate any memorandum to that effect. A check with the telephone records fails to reflect any telephone call from NY. A check with Rosen's division fails to reflect the receipt of any such advice from McCabe.

b6

Flynn's explanation continues to the effect that after the exemption motion, Flynn again advised [] that he was not going to sit at the counsel table, but Flynn did remain in the courtroom in the spectators' section. Flynn states that as the trial progressed, AUSA [] on occasion, approached Flynn in the courtroom and requested him to have the US Marshal bring six rolls of woolens to the courtroom for identification by a witness, and to contact witnesses from the trucking company involved in the theft to assure their prompt appearance in the court for testimony. Flynn states he responded to these requests and subsequent to the adjournment of the trial for the day (6/11/57) conferred with AUSA [] in the latter's office concerning the following day's prosecution, in particular the obtaining of additional documents by a witness vital to the prosecution.

b6

Flynn continues that on 6/12/57 he again reported to the office of AUSA [] to confer prior to entering court. En route to the courtroom Flynn was requested to see AUSA [] and was advised by [] that Flynn was being released from the subpoena, but was still a necessary witness in the prosecution and was to be available in the courtroom, as it was not known exactly when he would be called as a witness. Agent Flynn continues that he advised ASAC McCabe as to the text of AUSA [] conversation, and informed McCabe that the formal withdrawal of the subpoena would have no effect, as Flynn had been advised by [] that he would be called upon to testify and would have to remain in court.

The Director

b6
b7D

Agent Flynn continued that he then proceeded to the courtroom, where he remained in the spectators' section, but did not sit at the counsel table. Flynn states that during the morning session of the trial, 6/12/57, AUSA [] requested Flynn to contact [] to insure his presence in the courtroom to testify. Flynn states he left the courtroom to comply with this request and after the start of the noon recess was requested by AUSA [] to go over with [] his testimony, in AUSA [] office. AUSA [] further requested SA Flynn to stay in the courtroom during [] testimony in order that SA Flynn might alert [] to any discrepancies in this witness testimony, as compared with the previous statements furnished by [] to the FBI, in view of the Jencks decision, so that AUSA [] might be in a better position to argue the government's case should it become necessary.

b6

Agent Flynn states that on 6/13/57 he reported to the courtroom to await being called as a witness and was again requested by AUSA [] to sit in the courtroom, with which request agent Flynn complied, and he departed from the courtroom at 12:30 p.m., at which time he was instructed by McCabe to immediately return to the NY office where he was to wait until called as a witness.

b6

Agent Flynn states he complied with the requests of AUSA [] after the formal withdrawal of the subpoena, because it was Flynn's opinion, in view of the court's ruling to permit his attendance in the courtroom during the proceedings, that failure to comply with the requests of AUSA [] might have resulted in possible embarrassment to the Bureau. (The net effect of Agent Flynn's conduct was to assist [] in the prosecution of the case, even though Flynn did not sit at the counsel table.)

EXPLANATION OF ASAC E. J. MCCABE:

ASAC E. J. McCabe advises that Agent Flynn, on the morning of 6/10/57, stated he had been served with a subpoena calling for his appearance at 10 a.m., 6/11/57. McCabe states he instructed Flynn that the latter was not to sit at the counsel table, even though served with a subpoena, and that Agent Flynn was to see McCabe when he returned to the office on the afternoon of 6/10/57. McCabe states that he advised SAC Kelly of the service of the subpoena and that SAC Kelly telephonically communicated with USA Williams and the Bureau.

The Director

ASAC McCabe states that on the evening of 6/10/57 he discussed with Agent Flynn the information developed to the effect that the subpoena had been issued and served pursuant to instructions of AAG Olney, obviously for the purpose of endeavoring to compel SA Flynn's presence at the counsel table. McCabe informed Flynn that this was the first time to McCabe's knowledge that a subpoena had been personally served on an agent by an Assistant USA, and that the usual practice was for the USA's office to verbally request the presence of the agent, without issuance of any formal subpoena.

McCabe explained to Flynn that the service of a subpoena on him merely required him to be present in response to the subpoena, and that it did not require him to sit at the counsel table, and it should be clearly pointed out to the USA's office that he would not under any circumstances sit at the counsel table. ASAC McCabe states that about 5:15 p.m., 6/10, SAC Kelly received a telephone call from the Bureau, re-emphasizing instructions to the effect that SA Flynn was not under any circumstances to sit at the counsel table, and Flynn went to Kelly's office to receive these instructions from Kelly personally.

ASAC McCabe's explanation then reflects that on 6/11, Agent Flynn appeared in court, in response to the subpoena; that AUSA [] requested and received authority to have agent Flynn, a government witness, exempted from the exclusionary move by defense counsel to exclude all witnesses from the courtroom. (As reflected previously, I ascertained 6/15/57 that McCabe had not advised either Kelly or the Bureau of this point.)

ASAC McCabe advises that on 6/12/57 he received a telephone call from Agent Flynn indicating that Flynn had been released from the subpoena but was still a necessary witness and would have to be available at the courthouse to testify. ASAC McCabe further states that on 6/13/57 he learned from Agent Flynn that Flynn had been assisting the USA by performing duties which should have been handled by the Assistant conducting the prosecution. McCabe states he telephonically brought this to the attention of the Bureau. (McCabe had done this in response to a specific request by the Bureau for information.)

The Director

b6

McCabe states that he now realizes that he should have issued specific instructions to SA Flynn to insure that, even though Flynn was not sitting at the counsel table, he was also not to render the same assistance to the AUSA as would be rendered were he sitting at the counsel table. ASAC McCabe states he also was at fault in not immediately notifying the Bureau of the formal withdrawal of the subpoena. (ASAC McCabe was further at fault in not notifying either SAC Kelly or the Bureau of the fact that AUSA [] had requested Flynn be exempted from the motion to exclude witnesses, "in order to assist in the prosecution.")

EXPLANATION OF SAC KELLY:

SAC Kelly states he was advised by McCabe on the morning of 6/10 of the service of a subpoena on Agent Flynn; that Kelly thereafter called USA Williams and verified the information previously indicated to the effect that AAG Olney had so instructed. ASAC Kelly advises that on the evening of 6/10 he personally informed Agent Flynn that the latter was to be in the spectators' section of the courtroom and was not to sit at the counsel table.

SAC Kelly states he was not advised by ASAC McCabe that Flynn had been released from the subpoena, nor was Kelly aware that Flynn had been of any assistance to the USA's office. SAC Kelly states that he had instructed McCabe that the Bureau was to be given a "blow by blow" account of all developments in this matter.

Kelly states he considers himself at fault in not positively insuring that the Bureau's desires were precisely followed.

In response to my telephonic inquiry, Kelly also stated he had no knowledge that [] had requested an exemption of agent Flynn from the defense motion to exclude all witnesses, in order that Flynn could assist in the prosecution of the case.

b6

EXPLANATION OF MR. ROSEN:

Mr. Rosen states that when instant matter came to his attention on 6/10/57, he brought the details of this situation to the attention of those in his division responsible for the supervision of such matters, specifically

The Director

b6

#1 Man E.E. Hargett, Section Chief F.L. Price, and Supervisor [redacted] He stated he had personally made two calls to NY on 6/10 and instructed that the Bureau be kept immediately informed of all developments. He stated it was not until 6/13 that the Bureau had received any information to the effect that Agent Flynn "had done anything other than comply with our instructions."

Rosen states, "I have had a meeting of the chiefs in this division and have pointed out that on such matters of importance as this, it ought not to be necessary for Mr. Boardman or myself to remind them of the significance or the importance of such matters; that the Director is constantly trying to iron these matters out with the Department for the benefit of all of us, and certainly we ought not to allow these things to get by without having all the answers, up to date and current, and the Director promptly advised of developments on such an important matter as this."

Mr. Rosen states that the fact that he was tied up on the Jencks and Hoffa matters was no excuse for not having followed the people in his own division as to the pertinent developments in this matter. Mr. Rosen further states that he regrets that developments were not promptly brought to the Director's attention.

Mr. Rosen has prepared an SAC letter to the field, reiterating Bureau instructions that authority is necessary before an agent may sit at counsel table to assist the USA during a trial, and further pointing out that appearance as a witness does not include lining up witnesses or otherwise doing the leg work for the USA's office. A cover memorandum and the SAC letter on this point are being submitted separately.

EXPLANATION OF MR. BOARDMAN:

I was aware of the memoranda emanating from Mr. Rosen's division on this matter, and of the Director's comments concerning this matter. Further, in discussion with Mr. Rosen on 6/11/57, Mr. Rosen informed me that the NY office had been instructed to keep the Bureau advised of all developments in this matter.

Prior to and during this period of time I was extensively engaged, following special matters pertaining to the Jencks decision, report revision matters and the Hoffa brief. This does not in any way excuse me for failure to have followed Mr. Rosen more carefully in instant matter.

The Director

RECOMMENDATIONS:

Special Agent James P. Flynn

Though Agent Flynn did advise ASAC E. J. McCabe (1) on 6/11/57 that he had been exempted from the exclusion of witnesses, in order to assist in the prosecution; and (2) on 6/12 did advise McCabe that he had been released from the formal subpoena, he nevertheless exercised very poor judgment in rendering the same type of assistance to AUSA [] that he would have rendered had he actually sat at the counsel table. b6

ASAC McCabe states he was not aware until 6/13/57 of the nature of the assistance afforded by Flynn to AUSA [] b6

An up-to-date brief of the file of Agent Flynn is attached hereto.

I recommend that Flynn be given a letter of severe censure.

ASAC E. J. McCabe

McCabe had been advised on 6/11/57 that Flynn was exempted from the exclusion of witnesses, "in order to assist in the prosecution." This should have alerted McCabe and caused him to clarify with Flynn that Flynn was not to perform any duties other than those expected of a witness. McCabe did not advise Kelly or the Bureau. He further failed to advise Kelly or the Bureau of Flynn's release from the subpoena on 6/12/57/

An up-to-date brief of the file of ASAC E.J. McCabe is attached.

For his exceedingly poor judgment, plus his failure to keep either SAC Kelly or the Bureau advised, notwithstanding specific instructions in this regard, I recommend censure and probation.

I agree
6/18
I agree
J. J. Kelly
6/18

I agree
J. J. Kelly
6/17

out
↓

The Director

SAC J. J. Kelly:

For his failure to properly follow with ASAC E. J. McCabe,
I recommend censure.

Supervisor

For his failure to properly follow this matter at the seat of
government, I recommend censure.

Section Chief F. L. Price:

For his failure to properly follow this matter at the seat of
government, I recommend censure.

#1 Man E. E. Hargett:

For his failure to properly follow this matter at the seat of
government, I recommend censure.

Assistant Director A. Rosen:

For his failure to properly follow this matter, I recommend censure.

Assistant to the Director Boardman:

In view of his over-all responsibility, I recommend censure for his
failure to have properly followed this matter with Assistant Director Rosen.

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

6/20/57

I certify that I have ~~received~~ ^{XXXXXX} the following Government property for official use:
returned

Operations and Procedures Manual on Personnel Matters # 34

FILE

3-M

PER da

Very truly yours,

A. Rosen
A. Rosen

READ

67-NOT RECORDED

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

Best Copy Available

July 17, 1957

Personal Attention

Mr. W. Lee Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I do not want the opportunity to go on without expressing my appreciation for the splendid statistical accomplishments of the Bureau in connection with the investigation of the crime during the fiscal year just ended.

I am indeed impressed by the excellent results realized in this field and want those in your Division who made these accomplishments possible through competent and diligent application to not to be commended. Please extend this recognition to them.

Sincerely yours,

J. Edgar Hoover

CRD:eac
(3)

Use if L.H. 100-34
FBI
RECORDING ROOM

349

JUL 19 1957

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Nichols _____
Boardman _____
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Rosen _____
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Gandy _____

September 25, 1957

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

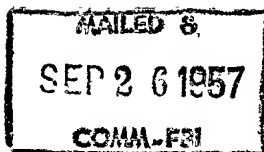
Dear Mr. Rosen:

It is with a great deal of pleasure that I take this means to commend the employees who assisted so ably in handling the work during the recent investigation of an important Civil Rights matter.

I am most impressed by the excellent performance of those who willingly and unselfishly gave of their time to be of assistance when the work load was so heavy. Please express my gratitude to all who participated in this important phase of the Bureau's work.

Sincerely yours,

J. Edgar Hoover



RECORDED - 145

REC'D-READING ROOM
FBI
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CC: Mr. Rosen (Personal Attention)
Rememo F. L. Price to you dated 9-19-57. Please commend those employees not directed individual letters of commendation.

JAD:jad
(4)

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mohr _____
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Gandy _____

MAIL ROOM ☐

September 25, 1957

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

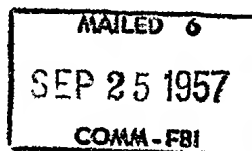
I am indeed grateful for your generous note of September 20 concerning my address before the National Convention of The American Legion.

It is encouraging to have your favorable remarks regarding the observations which I made, and I am most appreciative of your thoughtfulness. I certainly hope the American public will be ever alert to the vicious forces which seek to undermine the security of our Nation.

Sincerely,

JER

CBF:ijj
(3)



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Gandy _____

MAIL ROOM ☐

AL ROSEN

September 20, 1957

Mr. Tolson	_____
Mr. Nichols	_____
Mr. Boardman	_____
Mr. Belmont	_____
Mr. Mohr	_____
Mr. Parsons	_____
Mr. Rosen	_____
Mr. Tamm	_____
Mr. Trotter	_____
Mr. Nease	_____
Tele. Room	_____
Mr. Holloman	_____
Miss Gandy	_____

Dear Mr. Hoover,

I want to congratulate you on your forceful, forthright, and timely remarks before the American Legion Convention.

I have seen comments concerning your talk in various news sources and all widely quoted your emphatic observations regarding the forces which continue to remain as a constant threat to the security of our country. The public is greatly in need of knowing the real truth regarding these issues.

Sincerely,

Al Rosen

X Al Rosen

Honorable John Edgar Hoover
Director
Federal Bureau of Investigation
Washington, D. C.

*one (you) [unclear]
ack 10/23
CBK
HLL*

4/11

57-NOT RECORDED-1

THREE
BTW

Rosen, Alex, SA

8

LAST NAME	FIRST NAME AND INITIAL	IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE	AGENCY	CALENDAR YEAR
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Standard Form No. 1137
General Regulations No. 102

LEAVE RECORD

GPO 16-48999-1

Form prescribed by Comp. Gen., U. S.
June 28, 1946

MONTH	PAY PER. No.	ANNUAL		SICK		MONTH	PAY PER. No.	ANNUAL		SICK		ABSENCE WITHOUT PAY W-AWL-SUSPENDED				COMPENSATORY TIME RECORD				
		TAKEN		Hrs.	TAKEN			Hrs.	TAKEN		Hrs.	W-AWL-SUSPENDED		PAY PERIOD	HOURS WORKED	HOURS TAKEN	BAL.			
		HRS.	TOTAL	ACCR.	HRS.			TOTAL	ACCR.	HRS.	TOTAL	ACCR.	DATE					TYPE	HRS.	TOTAL
JANUARY						JULY														
FEBRUARY						AUGUST														
MARCH						SEPTEMBER														
APRIL						OCTOBER							REMARKS							
MAY						NOVEMBER							YEARLY SUMMARY (HOURS)							
														ITEM	ANN.	SICK				
														BAL. FORWARDED						
JUNE						DECEMBER							YR. ACCRUAL							
														TOTAL						
														TOTAL TAKEN						
													BALANCE							

LAST NAME	FIRST NAME AND INITIAL	IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE	AGENCY	CALENDAR YEAR
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Rosen, Alex, SA

10-16-33

1955

LAST NAME		FIRST NAME AND INITIAL		IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE	AGENCY	CALENDAR YEAR
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Standard Form No. 1137
General Regulations No. 102

LEAVE RECORD

GPO 16-48999-1

Form prescribed by Comp. Gen., U. S.
June 28, 1946

MONTH	PAY PER. No.	ANNUAL		SICK		MONTH	PAY PER. No.	ANNUAL		SICK		ABSENCE WITHOUT PAY				COMPENSATORY TIME RECORD				
		TAKEN		Hrs. ACCR.	TAKEN			Hrs. ACCR.	TAKEN		Hrs. ACCR.	W-AWL-SUSPENDED				PAY PERIOD	HOURS WORKED	HOURS TAKEN	BAL.	
		HRS.	TOTAL		HRS.				TOTAL	HRS.		TOTAL	HRS.	TOTAL	DATE					TYPE
JANUARY	2			8		JULY	15			112		56	0							
	3			16			16			120		60	0							
	4			24																
FEBRUARY	5			32		AUGUST	17	8	124	128	24	48	64	0						
	6			40	16		16	20			136		68	0						
							19			144	2	50	72	0						
MARCH	7			48	8	24	24	SEPTEMBER	20	8	132	152		76	0					
	8			56			21				160		80	0						
APRIL	9	4	4	64		32	OCTOBER	22			168		84		REMARKS					
	10	16	20	72		36		23			176		88	0						
MAY	11	80	100	80		40	NOVEMBER	24	8	140	184		92	0	YEARLY SUMMARY (HOURS)					
	12			88		44		25	8	148	192		96	0						
JUNE	13	16	116	96		48	DECEMBER	26			200		100	0	ITEM	ANN.	SICK			
	14			104		52		1	44	192	206		104	0	BAL. FORWARDED	720	539			
														TOTAL				928	643	
														TOTAL TAKEN				192	50	
														BALANCE				736	593	

LAST NAME		FIRST NAME AND INITIAL		IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE	AGENCY	CALENDAR YEAR
Rosen, Alex, SA						10-16-33		1954

Rosen, Alex SA

8-

LAST NAME	FIRST NAME AND INITIAL	IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE	AGENCY	CALENDAR YEAR
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Standard Form No. 1137
General Regulations No. 102

LEAVE RECORD

☆ GPO 16-48000-1

Form prescribed by Comp. Gen., U. S.
June 28, 1946

MONTH	PAY PER. No.	ANNUAL		SICK		MONTH	PAY PER. No.	ANNUAL		SICK		ABSENCE WITHOUT PAY W-AWL-SUSPENDED				COMPENSATORY TIME RECORD				
		TAKEN		HRS. ACCR.	TAKEN			HRS. ACCR.	TAKEN		HRS. ACCR.	DATE	TYPE	HRS.	TOTAL	PAY PERIOD	HOURS WORKED	HOURS TAKEN	BAL.	
		HRS.	TOTAL		HRS.				TOTAL	HRS.										TOTAL
JANUARY	2	56	56	8			4													
	3			16			8													
	4			24			12													
FEBRUARY	5			32	1		16													
	6			40			20													
MARCH	7			48			24													
	8			56			28													
	9			64			32													
APRIL	10			72			36													
	11			80			40													
MAY	12	8	64	88			44													
	13			96			48													
JUNE	14			104			52													
	15			112			56													
												REMARKS								
																YEARLY SUMMARY (HOURS)				
																ITEM	ANN.	SICK		
																BAL. FORWARDED	720	454		
																YR. ACCRUAL	216	108		
																TOTAL	936	562		
																TOTAL TAKEN	192	23		
																BALANCE	744	539		

LAST NAME	FIRST NAME AND INITIAL	IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE	AGENCY	CALENDAR YEAR
Rosen, Alex,	SA			10-16-33		1953

-8-

LAST NAME FIRST NAME AND INITIAL IDENTIFICATION No. TYPE OF APPOINTMENT EOD DATE AGENCY CALENDAR YEAR

Standard Form No. 1137
General Regulations No. 102

LEAVE RECORD

☆ GPO 16-48999-1

Form prescribed by Comp. Gen., U. S.
June 28, 1946

MONTH	PAY PER. No.	ANNUAL		SICK		MONTH	PAY PER. No.	ANNUAL		SICK		ABSENCE WITHOUT PAY				COMPENSATORY TIME RECORD				
		TAKEN		HRS. ACCR.	TAKEN			HRS. ACCR.	TAKEN		HRS. ACCR.	W-AWL-SUSPENDED				PAY PERIOD	HOURS WORKED	HOURS TAKEN	BAL.	
		HRS.	TOTAL		HRS.				TOTAL	HRS.		TOTAL	DATE	TYPE	HRS.					TOTAL
JANUARY	2	32	32	4		2	16		116		58									
	3			12		6	17		124		62									
	4			20		10														
FEBRUARY	5			28	48	14	18		132		66									
	6			36	72	18	19	4	124	140	70									
							20		148		74									
MARCH	7			44	80	22	21		156		78									
	8			52	80	26	22		164		82									
	9			60	80	30														
APRIL	10			68	80	34	23		172		86									
	11			76	8	38	24		180		90									
MAY	12	112	84	368	42	25			188		94									
	13	8	128	92	46	26	8	132	196		98									
JUNE	14			100		56	1	10	142	204	102									
	15			108		54														
												REMARKS								
																YEARLY SUMMARY (HOURS)				
																ITEM ANN. SICK				
																BAL. FORWARDED 220 220				
																YR. ACCRUAL 204 102				
																TOTAL 924 822				
																TOTAL TAKEN 142 368				
																BALANCE 720 792 454				

LAST NAME FIRST NAME AND INITIAL IDENTIFICATION No. TYPE OF APPOINTMENT EOD DATE AGENCY CALENDAR YEAR

Rosen, Alex, SA

10-16-53

1952

gpb

LAST NAME	FIRST NAME AND INITIAL	IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE	AGENCY	CALENDAR YEAR
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Standard Form No. 4137
General Regulations No. 102

LEAVE RECORD

GPO 16-48999-1

Form prescribed by Comp. Gen., U. S.
June 28, 1947

MONTH	PAY PER. No.	ANNUAL		SICK		MONTH	PAY PER. No.	ANNUAL		SICK		ABSENCE WITHOUT PAY W-AWL-SUSPENDED				COMPENSATORY TIME RECORD				
		TAKEN		HRS. ACCR.	TAKEN			HRS. ACCR.	TAKEN		HRS. ACCR.	W-AWL-SUSPENDED				PAY PERIOD	HOURS WORKED	HOURS TAKEN	BAL.	
		HRS.	TOTAL		HRS.				TOTAL	HRS.		TOTAL	DATE	TYPE	HRS.					TOTAL
JANUARY	23			4		JULY	16			116										
	3			12			17			124										
	4			20																
FEBRUARY	5			28		AUGUST	18			132										
	6			36			19			140										
MARCH	7	16	16	44		SEPTEMBER	20	8	24	148										
	8			32			21	8	32	143										
							22			149										
APRIL	9			60		OCTOBER	23		155	16	16	10								
	10			68			24		180	40	56									
	11			76																
MAY	12			84		NOVEMBER	25		188			20								
	13			92			26		196											
JUNE	14			100		DECEMBER	1		204			30								
	15			108			2	32	64	208										
												REMARKS								
												*13 hrs det.								
												*19 hrs al record								
												*26 hrs al sub for al taken 51								
												YEARLY SUMMARY (HOURS)								
												ITEM				ANN.		SICK		
												BAL. FORWARDED				720		720		
												YR. ACCRUAL				208		30		
												TOTAL				928		750		
												TOTAL TAKEN				90		30		
												BALANCE				838		720		

LAST NAME	FIRST NAME AND INITIAL	IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE	AGENCY	CALENDAR YEAR
SA	Rosen, Alex			10-16-33		1951

LAST NAME	FIRST NAME AND INITIAL	IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE	AGENCY	CALENDAR YEAR
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Standard Form No. 1137
General Regulations No. 102

LEAVE RECORD

GPO 16-48999-1

Form prescribed by Comp. Gen., U. S.
June 28, 1946

MONTH	PAY PER. No.	ANNUAL		SICK		MONTH	PAY PER. No.	ANNUAL		SICK		ABSENCE WITHOUT PAY W-AWL-SUSPENDED				COMPENSATORY TIME RECORD				
		TAKEN		Hrs. ACCR.	TAKEN			Hrs. ACCR.	TAKEN		Hrs. ACCR.	W-AWL-SUSPENDED				PAY PERIOD	HOURS WORKED	HOURS TAKEN	BAL.	
		HRS.	TOTAL		HRS.				TOTAL	HRS.		TOTAL	HRS.	TOTAL	DATE					TYPE
JANUARY	26			4		JULY	16			116		8								
	3			12			8	17			124									
	4			20																
FEBRUARY	5			28	8	8	8	18	24	112	132		8							
	6			26			19			140										
MARCH	7			44		8	20	8	120	148		8								
	8			32			21			156										
APRIL	9			60		8	22			164		16	REMARKS							
	10			68			23			172										
	11			76			24			180	8	16								
MAY	12			84		8	25	8	128	198		16	YEARLY SUMMARY (HOURS)				ITEM	ANN.	SICK	
	13			92			26			196							BAL. FORWARDED	720	720	
JUNE	14	40	40	100		8	1	40	168	204		16				YR. ACCRUAL	208	16		
	15	48	88	108			21	32	200	208						TOTAL	928	736		
																TOTAL TAKEN	700	16		
												720				BALANCE	708	720		

LAST NAME SA Rosen, Alex	FIRST NAME AND INITIAL	IDENTIFICATION No.	TYPE OF APPOINTMENT	EOD DATE 10-16-53	AGENCY	CALENDAR YEAR 1950
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LAST NAME FIRST NAME AND INITIAL IDENTIFICATION NO. TYPE OF APPOINTMENT EOD DATE AGENCY CALENDAR YEAR

Standard Form No. 1137
General Regulations No. 102

LEAVE RECORD

GPO 16-48990-1 Form prescribed by Comp. Gen., U. S.
June 28, 1946

MONTH	PAY PER. NO.	ANNUAL		SICK		MONTH	PAY PER. NO.	ANNUAL		SICK		ABSENCE WITHOUT PAY W-AWL-SUSPENDED				COMPENSATORY TIME RECORD						
		TAKEN		HRS. ACCR.	TAKEN			HRS. ACCR.	TAKEN		HRS. ACCR.	DATE	TYPE	HRS.	TOTAL	PAY PERIOD	HOURS WORKED	HOURS TAKEN	BAL.			
		HRS.	TOTAL		HRS.				TOTAL	HRS.										TOTAL		
JANUARY	22			4		JULY	16			116		0										
	3			12			17			124												
	4			20																		
FEBRUARY	5			28		AUGUST	18			132		0										
	6			36			19			140												
MARCH	7			44		SEPTEMBER	20	40	48	148		0										
	8			52			21			156												
APRIL	9			60		OCTOBER	22			164		0	REMARKS									
	10			68			23			172												
							24			180												
MAY	11			76		NOVEMBER	25			188		0	YEARLY SUMMARY (HOURS)				ITEM	ANN.	SICK			
	12			84			26	40	88	196								BAL. FORWARDED	720	720		
	13			92														YR. ACCRUAL	208	0		
JUNE	14			100		DECEMBER	1	80	168	204		0				TOTAL	928	720				
	15	8	8	108			20	32	200	208					TOTAL TAKEN	200	0					
																720	BALANCE	728	720			

LAST NAME FIRST NAME AND INITIAL IDENTIFICATION NO. TYPE OF APPOINTMENT EOD DATE AGENCY CALENDAR YEAR

SA Rosen, Alex

10-16-33

1949

LAST NAME FIRST NAME AND INITIAL IDENTIFICATION NO. TYPE OF APPOINTMENT EOD DATE AGENCY CALENDAR YEAR

Standard Form No. 1137
General Regulations No. 102

LEAVE RECORD

GPO 16-48999-1 Form prescribed by Comp. Gen., U. S.
June 28, 1946

MONTH	PAY PER. NO.	ANNUAL			SICK			MONTH	PAY PER. NO.	ANNUAL			SICK			ABSENCE WITHOUT PAY W-AWL-SUSPENDED				COMPENSATORY TIME RECORD					
		TAKEN		HRS. ACCR.	TAKEN		HRS. ACCR.			TAKEN		HRS. ACCR.	TAKEN		HRS. ACCR.	W-AWL-SUSPENDED				PAY PERIOD	HOURS WORKED	HOURS TAKEN	BAL.		
		HRS.	TOTAL		HRS.	TOTAL				HRS.	TOTAL		HRS.	TOTAL		HRS.	TOTAL	DATE	TYPE					HRS.	TOTAL
JANUARY	1	16	16	8				JULY	15	40	61	110			0										
	2			16					16			124													
	3			24			0																		
FEBRUARY	4			32			0	AUGUST	17	56	117	132			0										
	5			40					18			140													
MARCH	6			48			0	SEPTEMBER	19			148			0										
	7	5	21	56					20			156													
APRIL	8			64			0	OCTOBER	21			164			0	REMARKS									
	9			72					22			172													
									23			180													
MAY	10			80			0	NOVEMBER	24			188			0										
	11			88					25			196													
	12			92																					
JUNE	13			100			0	DECEMBER	26	4	121	204			0										
	14			108					20	40	161	208													
														720				BALANCE				767 720			

LAST NAME FIRST NAME AND INITIAL IDENTIFICATION NO. TYPE OF APPOINTMENT EOD DATE AGENCY CALENDAR YEAR

SA Rosen, Alex

10-16-38

1948

ACC. ANN. 90 - (15-7)														ACC. SICK 90 - (15-7)														ADV. SICK													
ACC. ANN.														ACC. SICK														ADV. SICK													
99-0 ✓														90-0 /																											
90-0 /																																									
DAY OF MO.	JAN		FEB		MAR		APR		MAY		JUNE		DAY OF MO.	JULY		AUG		SEPT		OCT		NOV		DEC		DAY OF MO.															
	D	H	D	H	D	H	D	H	D	H	D	H		D	H	D	H	D	H	D	H	D	H	D	H																
1													1												1																
2													2												2																
3													3												3																
4													4												4																
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31													31												31																
AGGREGATE													A												A																
													S												S																
													WP												WP																

Calendar Year 1945

	LWOP	SUSP.
No. of days		
Annual lost		
Sick lost		
ANNUAL		
As of 1-1-45	90-0 ✓	
Current Acc.	16-0	
Total	106-0	
Leave lost		
Balance		
Total taken	16-0	
Balance 1-1-46	90-0	
(amt. lost)	10-0	
SICK		
As of 1-1-45	90-0 ✓	
Current Acc.		
Total		
Leave lost		
Balance		
Total taken		
Balance 1-1-46	90-0	

ACC. ANN.														ACC. SICK														ADV. SICK													
ACC. ANN.														ACC. SICK														ADV. SICK													
DAY OF MO.	JAN		FEB		MAR		APR		MAY		JUNE		DAY OF MO.	JULY		AUG		SEPT		OCT		NOV		DEC		DAY OF MO.															
	D	H	D	H	D	H	D	H	D	H	D	H		D	H	D	H	D	H	D	H	D	H	D	H																
1													1												1																
2													2												2																
3													3												3																
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31													31												31																
AGGREGATE													A												A																
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													WP												WP																

Calendar Year 1948

	LWOP	SUSP.
No. of days		
Annual lost		
Sick lost		
ANNUAL		
As of 1-1-48		
Current Acc.		
Total		
Leave lost		
Balance		
Total taken		
Balance 1-1-49		
(amt. lost)		
SICK		
As of 1-1-48		
Current Acc.		
Total		
Leave lost		
Balance		
Total taken		
Balance 1-1-49		

EMPLOYEE		SA		Rosen, Alex		EOD		10-16-33		DIV.				
ACC. ANN.		90-0 ✓		ACC. SICK		90-0 ✓		ADV. SICK						
ACCURED ANN.						103-0 ✓		96-4						
ACCURED SICK								90-0						
DAY OF MO.	JAN	FEB	MAR	APR	MAY	JUNE	DAY OF MO.	JULY	AUG	SEPT	OCT	NOV	DEC	DAY OF MO.
	D H	D H	D H	D H	D H	D H		D H	D H	D H	D H	D H	D H	
1							1							1
2							2							2
3							3							3
4							4							4
5							5							5
6							6							6
7							7							7
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30							30							30
31							31							31
AGGREGATE							A	30		13-0				A
							S			0-0				S
							WP							WP

al 720 al 720

Calendar Year 1947

LWOP		SUSP.	
No. of days			
Annual lost			
Sick lost			
ANNUAL ✓			
As of 1-1-47		90-0 90-0	
Current Acc.		14-4 101-4	
Total		104-4 112-8	
Leave lost			
Balance		113	
Total taken		3 17	
Balance 1-1-47		101-4 (96-0)	
(amt. lost)		90-0 6-0	
SICK			
As of 1-1-47		90-0 ✓	
Current Acc.			
Total			
Leave lost			
Balance			
Total taken			
Balance 1-1-48		90-0	

PRINTED IN U. S. A. BY Postindex COMPANY, JAMESTOWN, N. Y.

97-J-C-9033-B P

ACC. ANN.		90-0		ACC. SICK		90-0		ADV. SICK						
ACCURED ANN.						103-0								
ACCURED SICK						90-0								
DAY OF MO.	JAN	FEB	MAR	APR	MAY	JUNE	DAY OF MO.	JULY	AUG	SEPT	OCT	NOV	DEC	DAY OF MO.
	D H	D H	D H	D H	D H	D H		D H	D H	D H	D H	D H	D H	
1							1							1
2							2							2
3							3							3
4							4							4
5							5							5
6							6							6
7							7							7
8							8							8
9							9							9
10							10							10
11							11							11
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14							14							14
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16							16							16
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25							25							25
26							26							26
27							27							27
28							28							28
29							29							29
30							30							30
31							31							31
AGGREGATE							A							A
							S							S
							WP							WP

Calendar Year 1946

LWOP		SUSP.	
No. of days			
Annual lost			
Sick lost			
ANNUAL ✓			
As of 1-1-46		90-0	
Current Acc.		25-4	
Total		115-4	
Leave lost			
Balance			
Total taken		25-4	
Balance 1-1-47		90-0	
(amt. lost)		0-4	
SICK			
As of 1-1-46		90-0 ✓	
Current Acc.		2-0	
Total		92-0	
Leave lost			
Balance			
Total taken		2-0	
Balance 1-1-47		90-0	

(92)

ACC. SICK

ADV. SICK 1 - 0

INDIVIDUAL RECORD OF ABSENCE FOR THE YEAR OF 1937

[illegible]

DIV.

ACC. SICK 36-3-30 ADV. SICK

INDIVIDUAL RECORD OF ABSENCE FOR THE YEAR OF 1940

[illegible]

DIV.

DIV.

[illegible]

ACC. ANN. 96-0-0 (12-2-30)

ACC. SICK 721-30 ADV. SICK INDIVIDUAL RECORD OF ABSENCE FOR THE YEAR OF 1943

[illegible]

ACC. ANN. 60-0-0 (7-5-0)

ACC. SICK 65-0-0 ADV. SICK INDIVIDUAL RECORD OF ABSENCE FOR THE YEAR OF 1942

[illegible]

1. NAME 00009 CR Rosen, Alex
 LAST FIRST MIDDLE

2. OFFICE OF ASSIGNMENT Washington, D.C., SOG Div. 6

NOTE: PLEASE READ THESE INSTRUCTIONS BEFORE COMPLETING FORM.

IF IN BUREAU 15 YEARS FROM EOD LISTED UNDER ITEM 8 AND NO LEAVE WITHOUT PAY IN EXCESS OF 6 MONTHS IN ANY ONE CALENDAR YEAR, AS LISTED UNDER ITEM 10, IT WILL ONLY BE NECESSARY FOR YOU TO CERTIFY YOUR STATUS BY PLACING A CHECK MARK IN THE "15 YEARS OR OVER" BOX IN THE "TOTAL FEDERAL SERVICE" SPACE AT THE TOP OF THIS PAGE, AND SIGNING THE FORM. DO NOT FILL IN OTHER INFORMATION IN SUCH CASES.

TOTAL FEDERAL SERVICE

(CHECK ONE, PER ITEM 11)

LESS
THAN
3 YRS.

3 YRS. BUT
LESS THAN
15 YRS.

15 YRS.
OR
OVER

(AS OF CLOSE OF BUSINESS ON JANUARY 6, 1952)

DATE YOU WILL REACH NEXT CATEGORY:

MONTH

DAY

YEAR

3. PREVIOUS CIVILIAN GOVERNMENT SERVICE (GIVE COMPLETE NAME OF AGENCY AND BRANCH)	DATE EOD	DATE SEPARATED	TOTAL LENGTH OF SERVICE WITH EACH AGENCY			TOTALS ITEMS 4, 6, 8 9, 10, and 11
			YRS.	MOS.	DAYS	
						YRS. MOS. DAYS

4. TOTAL LENGTH OF PREVIOUS CIVILIAN GOVERNMENT SERVICE
 (ADD ALL TIME LISTED UNDER ITEM 3, DIVIDE TOTAL DAYS BY 30, TOTAL MONTHS BY 12, - GIVE TOTAL IN EXACT YEARS, MONTHS AND DAYS SERVED)

5. MILITARY SERVICE (INDICATE BRANCH - ARMY, NAVY, MARINE CORPS, COAST GUARD, AIR FORCE, ETC. IF NO MILITARY SER- VICE, WRITE "NONE" IN THIS SPACE)	DATE ENTERED ON ACTIVE DUTY DATE GIVEN ON SEPARATION DOCUMENT	DATE DISCHARGED DATE GIVEN ON SEPARATION DOCUMENT	TOTAL SERVICE WITH MILITARY (EACH BRANCH)		
			YRS.	MOS.	DAYS

FOLD ON THIS LINE

6. TOTAL MILITARY SERVICE
 (ADD ALL TIME LISTED UNDER ITEM 5, DIVIDE TOTAL DAYS BY 30, TOTAL MONTHS BY 12 - GIVE TOTAL IN EXACT YEARS, MONTHS AND DAYS SERVED)

7. STATUS AT TIME OF ENTRANCE ON DUTY WITH ARMED FORCES (CHECK ONE)	ON MILITARY LEAVE FROM CIVILIAN GOVERNMENT SERVICE	RESIGNED FROM CIVILIAN GOVERNMENT SERVICE TO ENTER ARMED FORCES	ENTERED ARMED FORCES FROM PRIVATE EMPLOYMENT OR SCHOOL	TOTAL SERVICE SINCE LAST EOD DATE		
				YRS.	MOS.	DAYS

8. PRESENT FBI SERVICE
 (IF REINSTATED, LIST DATES
OF PREVIOUS SERVICE WITH
FBI UNDER ITEM 3)

LATEST EOD
DATE

10th 16 1933
 MONTH DAY YEAR

TO CLOSE OF BUSI-
NESS JAN. 6, 1952

CARRY THIS FIGURE
IN COLUMN ON RIGHT

9. FEDERAL SERVICE TIME - GROSS TOTAL
 (ADD ITEMS 4, 6, AND 8, DIVIDE TOTAL DAYS BY 30, TOTAL MONTHS BY 12 - GIVE TOTAL IN EXACT YEARS, MONTHS AND DAYS SERVED.)

10. LEAVE WITHOUT PAY (EXCLUDING MILITARY) IN EXCESS OF SIX MONTHS TAKEN DURING
 ANY ONE CALENDAR YEAR. (LIST TOTAL IN YEARS, MONTHS, AND DAYS)

11. FEDERAL SERVICE TIME-NET TOTAL
 (SUBTRACT ITEM 10 FROM ITEM 9. THIS WILL GIVE YOU YOUR ACTUAL SERVICE TIME.)

I CERTIFY THAT THE ABOVE INFORMATION IS COMPLETE AND CORRECT TO THE BEST OF MY

67 - KNOWLEDGE AND BELIEF

9 OCT 3 1957

(SIGNED)

(DATE)

Alex Rosen

(WRITTEN SIGNATURE)

1-7-52

3



1. Agency and organizational designations U.S. Dept. of Justice					2. Pay roll period		3. Block No.		4. Slip No. 620	
5. Employee's name (and social security account number when appropriate) Mr. J. Edgar Hoover 07357					6. Grade and salary 17, 11, 835					
PAY ROLL CHANGE DATA										
	BASE PAY	OVERTIME		GROSS PAY	RET.	TAX	BOND	F. I. C. A.		NET PAY
7. Previous normal										
8. New normal										
9. Pay this period										
10. Remarks:						11. Appropriation(s) 36			12. Prepared by	
									13. Audited by	
<input checked="" type="checkbox"/> Periodic step-increase <input type="checkbox"/> Pay adjustment <input type="checkbox"/> Other step-increase _____										
14. Effective date 12-22-57	15. Date last equivalent increase 11-22-56	16. Old salary rate 11, 120	17. New salary rate 11, 835	18. Performance rating is satisfactory or better. <div style="text-align: right;">(Signature or other authentication)</div>						
19. LWOP data (Fill in appropriate spaces covering LWOP during following periods): Period(s):				(Check applicable box in case of excess LWOP) <input type="checkbox"/> In pay status at end of waiting period. <input type="checkbox"/> In LWOP status at end of waiting period.						
<input checked="" type="checkbox"/> No excess LWOP. Total excess LWOP _____				Initials of Clerk 1/21/58						